



UMZINYATHI DISTRICT MUNICIPALITY 2020/21 DRAFT ANNUAL REPORT AUGUST 2021



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ACRONYMS AND ABBREVIATIONS

AG	:	Auditor General
BEE	:	Black Economic Empowerment
CBO	:	Community Based Organisations
DEAT	:	Department of Environment, Agriculture and Tourism
COGTA	:	Department of Co-operative Governance and Traditional Affairs
EXCO	:	Executive Committee
FPSU	:	Farmer Production Support Unit
GIS	:	Geographical Information System
HOD	:	Head of Department
IDP	:	Integrated Development Plan
KPI	:	Key Performance Indicator
KPA	:	Key Performance Area
KZN	:	KwaZulu-Natal
LED	:	Local Economic Development
MANCO	:	Management Committee
MM	:	Municipal Manager
MEC	:	Member of the Executive Council (Cooperative Governance and Traditional Affairs)
MFMA	:	Municipal Finance Management Act, No. 56 of 2003
MIG	:	Municipal Infrastructure Grant
MSA	:	Municipal Systems Act, No. 32 of 2000
MISA	:	Municipal Infrastructure Support Agent
SPLUMA	:	Spatial Planning and Land Use Management Act, No 16 of 2013
OPMS	:	Organisational Performance Management System
PMS	:	Performance Management System
IPTP	:	Integrated Public Transport Plan

RF	:	Representative Forum
SALGA	:	South African Local Government Association
SEA	:	Strategic Environmental Assessment
SDF	:	Spatial Development Framework
SDBIP	:	Service Delivery and Budget Implementation Plan
UDM	:	uMzinyathi District Municipality
EMF	:	Environmental Management Framework
NT	:	National Treasury
PT	:	Provincial Treasury
MTID	:	Municipal Transformation and Institutional Development
BSID	:	Basic Services and Infrastructure Development
LED	:	Local Economic Development
GGPP	:	Good Governance and Public Participation
MFVM	:	Municipal Financial Viability and Management
CC	:	Cross Cutting
EPWP	:	Extended Public Works Programme

CHAPTER 1: MAYORS FOREWORD AND EXECUTIVE SUMMARY

COMPONENT A: MAYORS FOREWORD

The uMzinyathi District Municipality herewith presents the annual report for the 2020/2021 financial year in compliance with Section 121, Chapter 12 of Local Government: Finance Management Act, 2003 (Act No.56 of 2003). The purpose of this annual report is;

- To provide a record of the activities of the municipality during the 2020/2021 financial year;
- To provide a report on performance against the budget of the municipality for 2020/2021, and
- To promote accountability to the local community for the decisions made throughout the year by the municipality.

I am pleased to present the district municipality's Annual Report 2020/2021. In the pages that follow, we update you on the progress we have made in implementing all our annual plans. We also outline the steps we took to expand our service delivery outreach during the past year, despite the enormous challenges faced by all of us in the in recent months with the global pandemic covid-19.



The mandate of uMzinyathi District Municipality is not unique to the broader mandate given to Local Government by the South African Constitution. The only uniqueness we have are the different legitimate expectations of our citizens. Our specific mandate is from the road shows we embark upon in drafting our Integrated Development Plan, which is our guiding document in our performance. Our resolve is based on servicing our communities wherever they live.

The current annual report outlines our successes and shortcomings in relation to our political mandate as provided in the key focus areas of local government, these can be summarized as follows;

- Building a municipality that is efficient, effective and responsive;
- Strengthen accountability;
- Accelerating service delivery;
- Promoting economic and social development;
- Fostering development partnerships with the private sector, social cohesion and community development

This annual report will be advertised once it has been considered and approved by Council, it will also be made available in our district office, and our local municipal offices. I will also be visiting all our communities personally to account on the performance of the municipality not only for this period but throughout my tenure as mayor. As a municipality we value transparency, and we want to make this a norm by holding imbizo and openly discussing the state of the district.

The year under review is our last as an IFP led council as we will be having the local government elections once the official date has been finalized. The past year has been one of the most difficult years we have ever encountered as a municipality, we have had to adapt to a new way of doing things – the new normal. As a rural municipality adjusting to the new normal has not been easy but we have endured and forged ahead in making sure our citizens are served with dignity. Council's main goal is to make sure that every household has clean and easily accessible water and a fully functional and dignified toilet – a goal I do believe we have more than fulfilled in the years we have been at the helm. There are areas that need improvement but our main goal has been achieved.

I wish to thank members of the uMzinyathi Council, the Executive Committee, Amakhosi, uMzinyathi Management and Staff and all members of strategic committees within the municipality for continuing to work together in making sure that our community has quality and sustainable service delivery especially in the area of water and sanitation.

THUTHUKA MZINYATHI



Ald. Cllr: PMS Ngubane
Honorable Mayor

COMPONENT B: EXECUTIVE SUMMARY

Municipal Manager's Overview

I am proud to present the annual report for the financial year 2020/2021. The municipality has successfully reviewed and approved the organizational structure in order to improve the operational capacity. The revised structure contributed positively to the organization especially in the Technical Services department where there was shortage of staff and now has full staff complement and tools of trade to take charge of improving service delivery.



During the past year we have continuously improved on developing and implementing more efficient policies and procedures and became more equipped to deal with our obligations and challenges to improve the lives of our people and to foster economic development and sustainable services. We are constantly aware of the backlog in infrastructure and basic service delivery, especially the provision of essential services such as water.

uMzinyathi District Municipality managed to provide water to most areas where the community resides and I am aware that there are still communities with no water supply. The municipality is faced with critical challenges in providing water and the significant one is budget limitations. The budget allows the municipality to provide water in certain areas within the financial year and that means that each financial year the municipality is providing water in different areas of the district.

The municipality has put serious efforts to clear the previous year's audit queries which lead to a Disclaimer and there are high hopes that the status of the report will improve.

The Municipality approved the final IDP & budget in line with MFMA requirements. Successful public participation was conducted during May and June 2021. Internal Audit and audit committee were appointed during the year to strengthen the oversight role required by the Municipal finance management act.

I want to thank the Mayor, Deputy Mayor, Speaker, Members of EXCO, Council, All Heads of Departments, staff, stakeholders and individuals who had the will of the people at heart for supporting Council and I this financial year in delivering in our mandates. I wish that the trust and understanding we share will be maintained and the uMzinyathi District Municipality will excel in its achievements.

THUTHUKA MZINYATHI!

A handwritten signature in black ink, appearing to read 'Thuthuka Mzinyathi'.

1.1 Municipal Functions, Demographics and Overview

Introduction

The 2020/21 Draft Annual Report comprises of the audited Annual Performance Report which is compiled in terms of section 121 (1) of the Municipal Finance Management Act No. 56 of 2003 and Section 46 of the Municipal Systems Act No. 32 of 2000, which indicates that a municipality is required to prepare an annual report and an annual performance report for the year under review.

The purpose of the annual report is to provide a record of the activities of the municipality, and a report on performance against the budget for that financial year with the aim of promoting accountability to the local community for the decisions made by the municipality and its entity. The annual performance report on the other hand reflects the performance of the municipality and its service providers during the year under review, comparison of performances of the current and previous financial years and measures taken to improve performance.

The 2020/21 Draft Annual Report provides a true, honest and accurate account of the set performance and financial goals, the extent to which they were met, the resultant successes and the challenges that were experienced in pursuance thereof.

Municipal Functions

In order to develop a functional capacity of the municipality, it is necessary to be aware of the powers and functions as they were gazetted. The functional responsibilities applicable to uMzinyathi District Municipality in relation to the shared functions, which have a bearing on district responsibilities, are shown in table 1 below.

Table 1: Powers and Functions

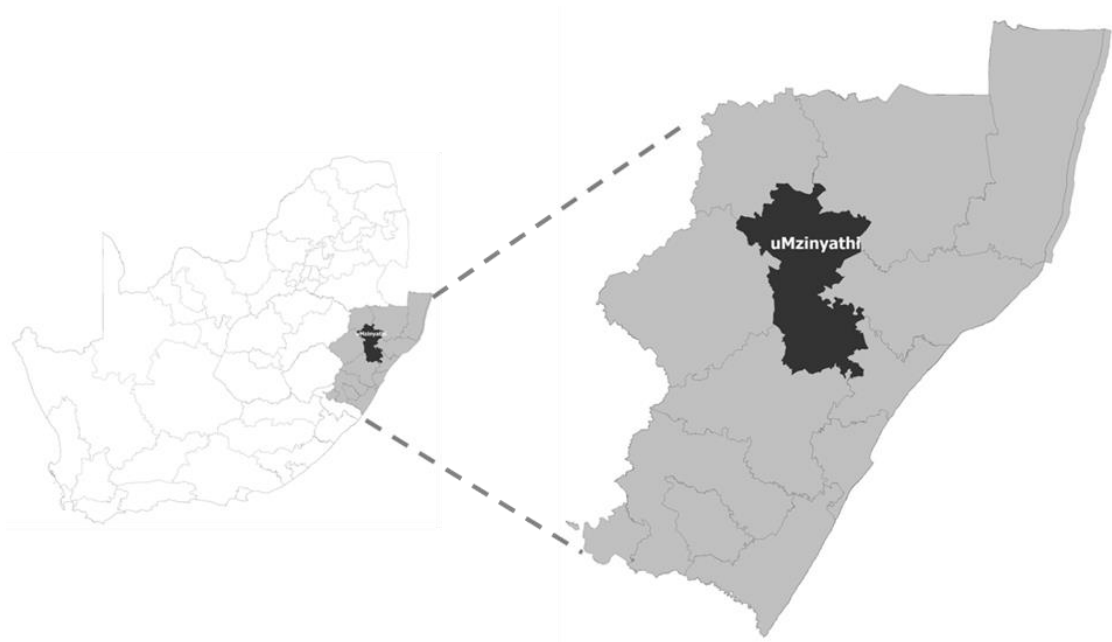
District Functions	Shared Functions
Water	Integrated Development Planning
Sanitation	Tourism

District Functions	Shared Functions
Integrated Development Planning	Municipal roads
Solid waste disposal sites	Firefighting services
Tourism	Fresh produce markets and (abattoirs
Municipal Roads	
Municipal airports serving the area of the District Municipality as a whole	
Municipal environmental health serving the area of the District Municipality as a whole	
Firefighting services for district municipality including planning and co-ordination.	
Fresh produce markets and (abattoirs) serving the area of the District Municipality as a whole	
Municipal public works relating to any of the above functions and/ or other functions assigned to the District Municipality	
The receipt, allocation and, if applicable, distribution of grants made to the District Municipality	
Air Pollution	

District overview

The uMzinyathi District Municipality (DC24) is one of the ten District Municipalities in the province of KwaZulu-Natal. The Municipality is bordered in the north by the Amajuba District Municipality, in the west by uThukela District Municipality, in the south west by the uMgungundlovu District Municipality, in the south east by the iLembe District Municipality and in the east by uThungulu District Municipality and Zululand District municipality as shown in Map1 below:

Map 1: Provincial Context

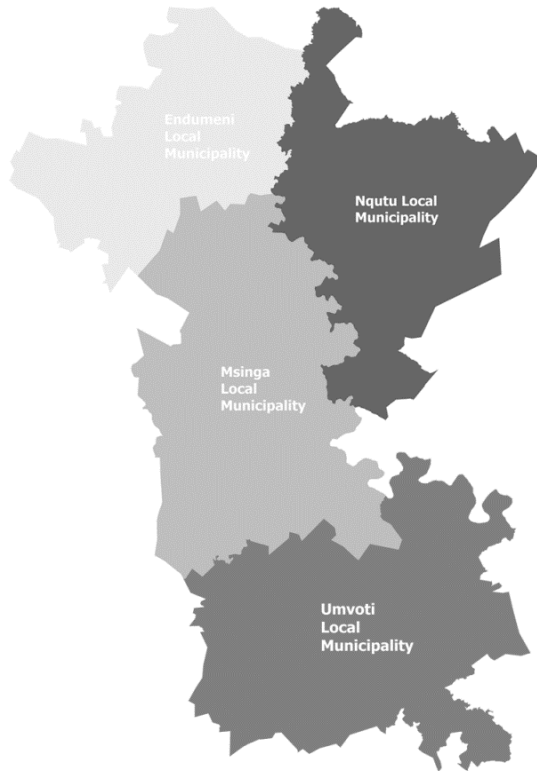


The district consists of four Local Municipalities, namely:

- Endumeni (KZ 241)
- Nquthu (KZ 242)
- Msinga (KZ 244)
- Mvoti. (KZ 245)

The Map 2 below shows the location of the local municipalities within the district:

Map 2: District Context



The Municipal area is 8079 km² and has extensive grasslands in the north supporting the primary agricultural sector based on cattle ranching for beef, small scale sheep and mixed farming and maize cultivation. In the southern areas substantial forestry is prevalent. Sugar cane and smaller scale fruit farming such as avocado and kiwi fruit cultivation also occur.

Mineral deposits found in the district include coal and metal ores. Only coal was mined on a large scale in the Endumeni Municipality. The coal mining industry is undergoing a restructuring process. There is a decline in corporate interest in the industry; however, there is interest in the small-scale regeneration of the coal belt for SMME development. A small amount of stone quarrying occurs in the district. Within Endumeni Local Municipality, Dundee has the main economic activities ranging from retail trade, tourism and farming. Dundee is a center from which tourism based on the cultural heritage of the Zulu Kingdom and “Battlefields” is emphasized and managed to some extent, and there is also Glencoe which serves as a secondary center to Dundee.

uMzinyathi Municipality, in conjunction with its North Western neighbor Amajuba Municipality, is branded as the “custodian” of the “Battlefields region of the Zulu Kingdom”. The

“Battlefields of the Zulu Kingdom” are located in the hinterland and lie “in the shadow” of the majestic Drakensberg mountains spanning the western boundary of KwaZulu-Natal. This branding is of international and regional significance. In conjunction with the Beaches of the South and North Coast and Big Five Game attractions in the East of KwaZulu-Natal, the “Battlefields of the Zulu Kingdom” play a vital role in the spatial economy of the province from a tourism perspective. However, within uMzinyathi District, the range of battlefields attractions is not adequately harnessed for their job creating opportunities across the Municipality.

The main town in the Mvoti Municipality is Greytown. It is the agricultural center of the district and contributes substantially to the economic viability of the district. Nquthu and Msinga Local Municipalities are rural based subsistence economies with cultural heritage areas that attract some tourists but need to be substantially developed. The main towns are Nqutu, Pomeroy and Tugela Ferry.

The topography of the district is characterized by extensive undulating terrain with deep river gorges, rolling grasslands, extensive wetlands, hills and valleys bush-velds. These characteristics make the development of infrastructure difficult and costly particularly in the steep terrains. The general slope of the land is between 1:5 and 1:6 and it is susceptible to soil erosion where it is not carefully managed.

Demographic trends and characteristics

The Kwa-Zulu Natal comparative population figures by Districts for Census 2011 and CSS 2016 are depicted in Table 3 below. This information indicates that the population of uMzinyathi District Municipality has increased from 510 838 in 2011 to 554 882 in 2016, contributing 5.0% in the entire province which is the same percentage as in 2011 due to population growth of other districts, namely, uMgungundlovu, King Cetshwayo etc.

Table 2: Comparative population figures by District for Census 2011 and CSS 2016

District	Census 2011		CSS 2016	
	N	%	N	%
DC21: UGu	722484	7	753336	7
DC22: UMgungundlovu	1017763	10	1095865	10

DC23: Uthukela	668848	7	706588	6
DC24: uMzinyathi	510838	5	554882	5
DC25: Amajuba	499839	5	531327	5
DC26: Zululand	803575	8	892310	8
DC27: uMkhanyakude	625846	6	689090	6
DC28: uThungulu	907519	9	971135	9
DC29: iLembe	606809	6	657612	6
DC43: Sisonke	461419	4	510865	5
ETH: eThekwini	3442361	34	3702231	33
KwaZulu-Natal	10267300	100	11065240	100

Source: 1. Statistics SA, Census 2011

2. Statistics SA, CSS 2016

The comparative population figures by local municipalities for Census 2011 and CSS 2016 are depicted in Table 4 below. This information indicates that all four local municipalities experienced an increase in population growth. The strongest population growth was evident in Mvoti Municipality as one of the major economic centers of the district.

Table 3: Umzinyathi District Municipality Comparative population and households figures by Local Municipalities for Census 2011 and CSS 2016

Municipality	Census 2011			CSS 2016		
	Population	%	Households	N	%	Households
Endumeni	64 862	12.7	16,852	76639	13.8	21134
Nqutu	165 307	32.4	31,613	171325	30.9	32622
Msinga	177 577	34.8	37,723	184494	33.3	38372
Mvoti	103 093	20.2	27,282	122423	22.1	34664

uMzinyathi	510 838	100	113,470	554 882	100	126791
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Strategic Framework

Vision

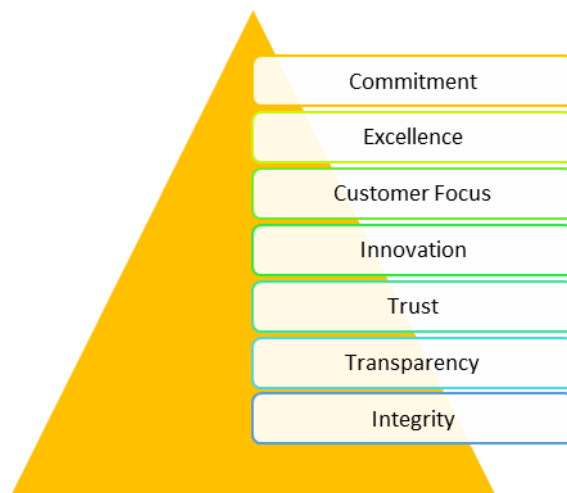
“Championing an economically viable district which strives to promote sustainable development by 2035”

Mission

Championing Service Delivery through:




- Co-operative governance and public participation;
- Enhancing Rural Development and Agrarian Land Reform;
- Creating a conducive environment for job creation and economic growth;
- Supporting skills development for economic growth;
- Provision and management of water and sanitation; and
- Promoting SMME and entrepreneurial development.

Core Values



OVERVIEW OF THE LOCAL MUNICIPALITIES WITHIN UMZINYATHI DISTRICT MUNICIPALITY

Table 4:Local municipalities overview

Municipal Logo	Municipal Name	Municipal Characteristics
	Endumeni	<p>This municipality is unique, its population is predominantly urbanised or based on commercial farms and unlike the other local municipalities, there is no tribal authority land. The main town is the Commercial centre, which is Dundee; it has most diversified economy, commercial cattle farming and dairy production and is the centre of the Battlefields tourist region.</p>
	Nquthu	<p>This municipality is typically rural and largely tribal authority where the population is largely previously disadvantaged and relatively dispersed and where services are scarce and often at rudimentary levels. The main town is Nquthu and subsistence agriculture is the main activity in the area.</p>
	Msinga	<p>Owing to its rugged terrain Msinga's population is relatively dispersed and where services exist, they are concentrated along road infrastructure and water sources such as the Tugela River. The main towns are Pomeroy and Tugela Ferry, its rural region with subsistence farming.</p>
	Mvoti	<p>This local municipality comprises of urban areas, commercial agricultural areas and tribal authority areas all of which exhibit typical characteristics associated with these settlement types. Service levels in urban areas are high except for informal areas, in commercial agricultural areas they are relatively high as farmers provide their own services and in tribal authority areas, they are low to</p>

Municipal Logo	Municipal Name	Municipal Characteristics
		moderate.

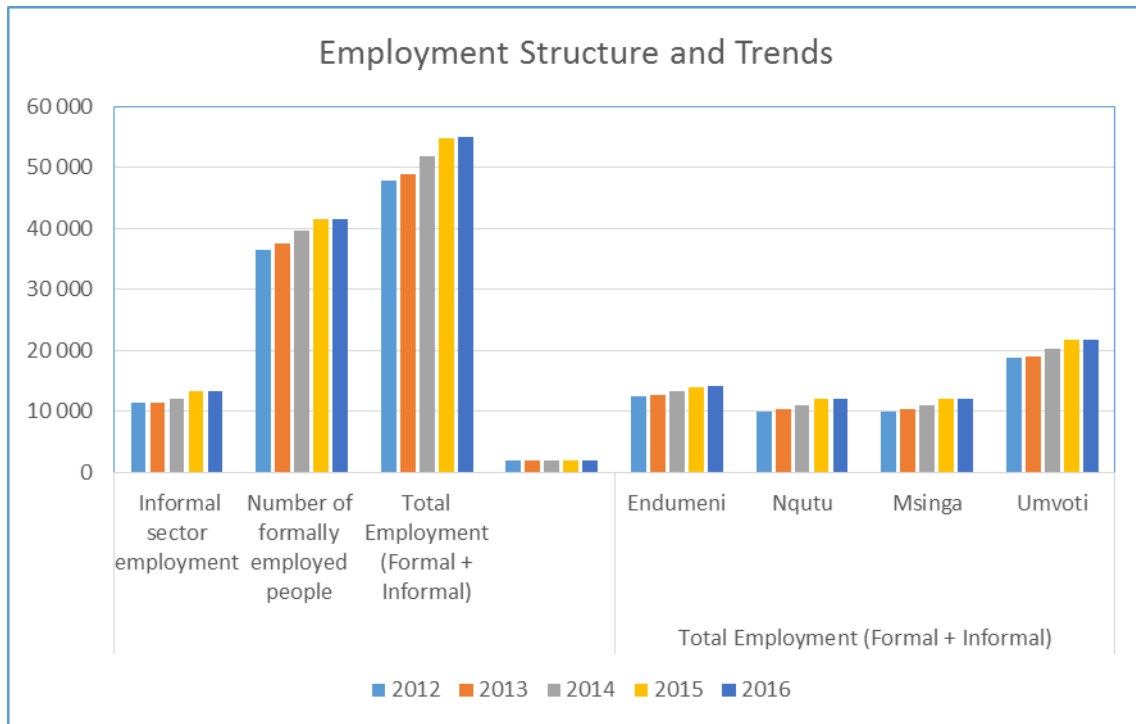
Economic analysis

Economic structure and trends

Overall, the employment market in the district indicates an increasing trend between 2012 and 2016 with a marginal increase in both the informal and formal sector employment opportunities. The Informal Sector had an increase of 1948 from 11416 in 2012 to 13364 in 2016 whilst the formal sector had an increase of 5071 from 36484 to 41555 in 2016.

This could be seen as a direct influence with the district's education levels as more and more people now are literate according to the education levels below. The statistics indicated that there is rapid growth within the urban areas of the district whilst the rural areas are growing slowly. This aspect will also act as an important push factor in migration decision-making of the district population, and is likely to contribute to the out migration of the economically active population from the district.

Graph 1: Employment structure and trends

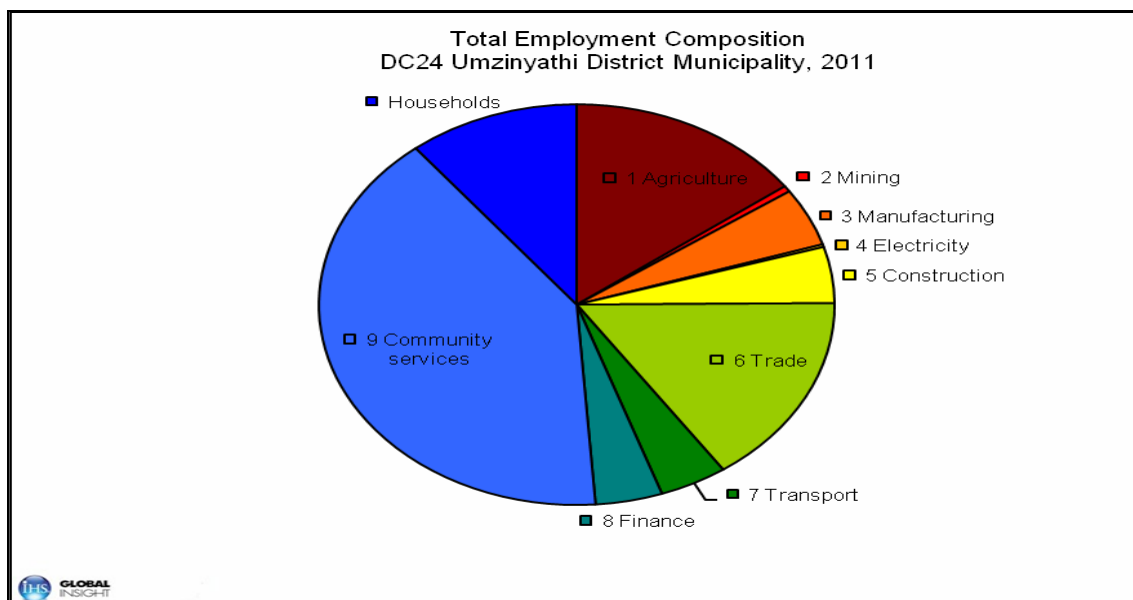


Source: Global insight 2016

Employment

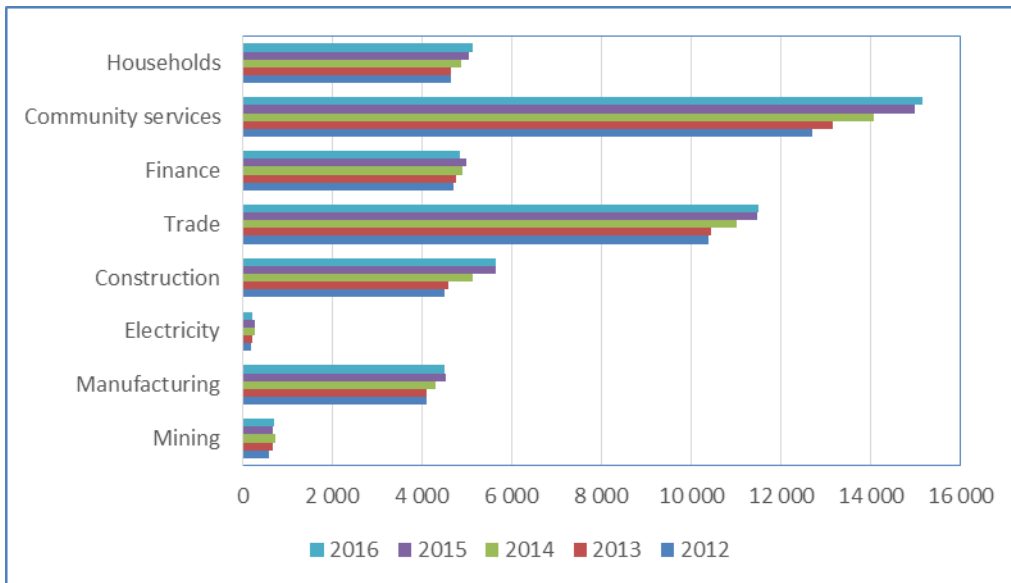
The sources of employment of the employed population are reflected in the Pie Chart below Graph 2. The employment sectors of uMzinyathi District Municipality Are Mining, Manufacturing, Electricity, Construction, Trade, Finance, Community Services and Households. The majority of the employed population in the district is active in the Community Services and Trade sector. The Community Sector has always been the highest contributor in the district if compared to the statistical information from 2012 to 2016.

Graph 2: Employment composition



Source: Global Insight of SA, 2011

Graph 3: Employment by Sector



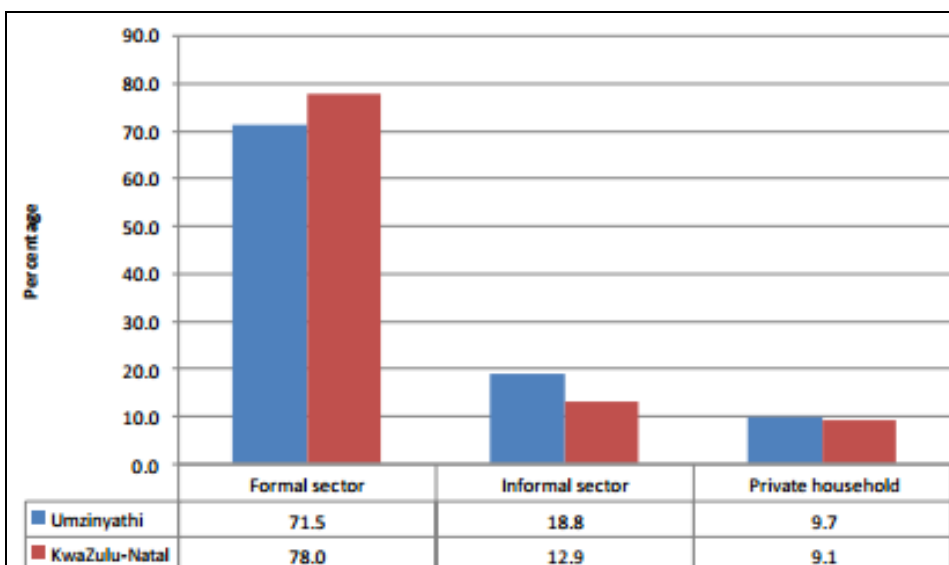
Source: Global insight 2016

Employment Status (Formal and Informal)

The sources of employment of the employed population are reflected in **graph 4:**

Employment status. The majority of the employed population in the district is active in the formal sector (71.5%), with a further 18.8% involved in the informal sector. This figure of 18.8% in the informal sector is notably higher than the overall comparative figure for the province which stands at 12.9%. A total of 9.7% of the employed population is employed by private households. The 71.5% of the employed population in the formal sector is very similar to the other rural dominated districts within the province such as Harry Gwala, uMkhanyakude and Zululand.

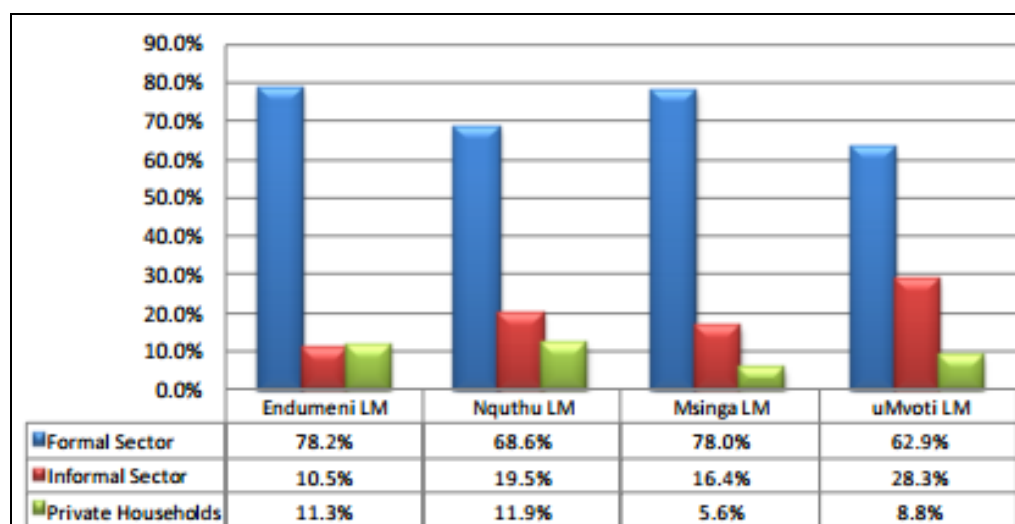
Graph 4: Employment status



Source: uMzinyathi DGDP 2015

Graph 5: Employment sector in Local Municipalities illustrated the number of distinguishing characteristics is evident at local municipality level. The first is the significantly higher proportion of population active in the informal sector in the Mvoti LM (28.3%) compared to other three local municipalities (between 10.5% and 19.5%). The proportion of the employed population employed by private households is significantly lower in the Msinga LM (5.6%) compared to the other municipalities. As indicated on the attached graph high proportional concentrations of economically active population employed in the informal sector is concentrated in the central parts of Msinga, especially the settlement areas between and around Tugela Ferry and Pomeroy, as well as a large number of settlements scattered across Nqutu.

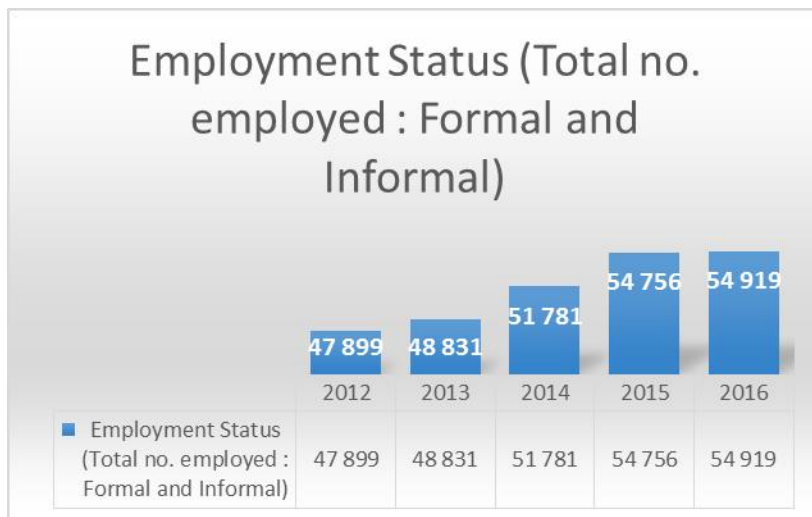
Graph 5: Employment sector in Local Municipalities



Source: uMzinyathi DGDP 2015

The census data does not separate formal and informal employment opportunities; however, it is evident that the employment rate of the uMzinyathi district grew steadily from 2012 to 2016. The graph below illustrates the employment status for the past five years.

Graph 6: Employment Status (Formal and Informal)



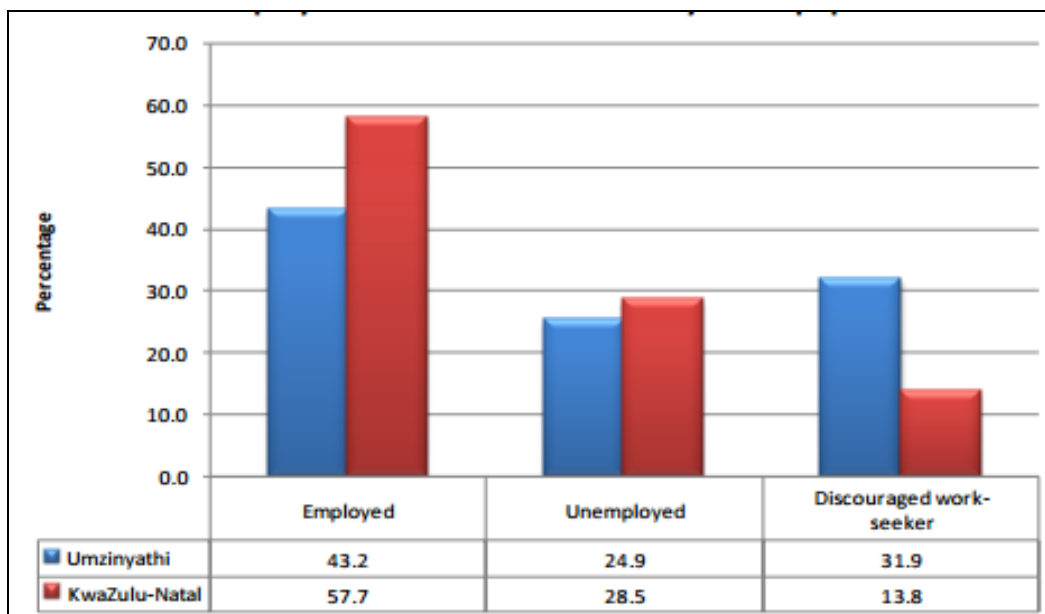
Source: Global insight 2016

Employment status of economically active population

The comparative employment status of the economically active population at district and provincial level is outlined in the Employment Status graph below. The unemployment rate of 24.9% is surprisingly somewhat lower than the overall provincial rate of 28.5%. This graph can however be explained by the extraordinary high proportion of the economically active population classified as discouraged work seekers (31.9%), a figure nearly 2.5 times higher than the provincial average of 13.8%.

This implies a general perception of the unavailability of formal sector employment in the district as manifested by the high proportion of discouraged work seekers reflecting a situation where a significant proportion of the economically active population has effectively given up hope of finding (or seeking) employment. This aspect will also act as an important push factor in migration decision-making of the district population, and is likely to contribute to outward migration of the economically active population from the district.

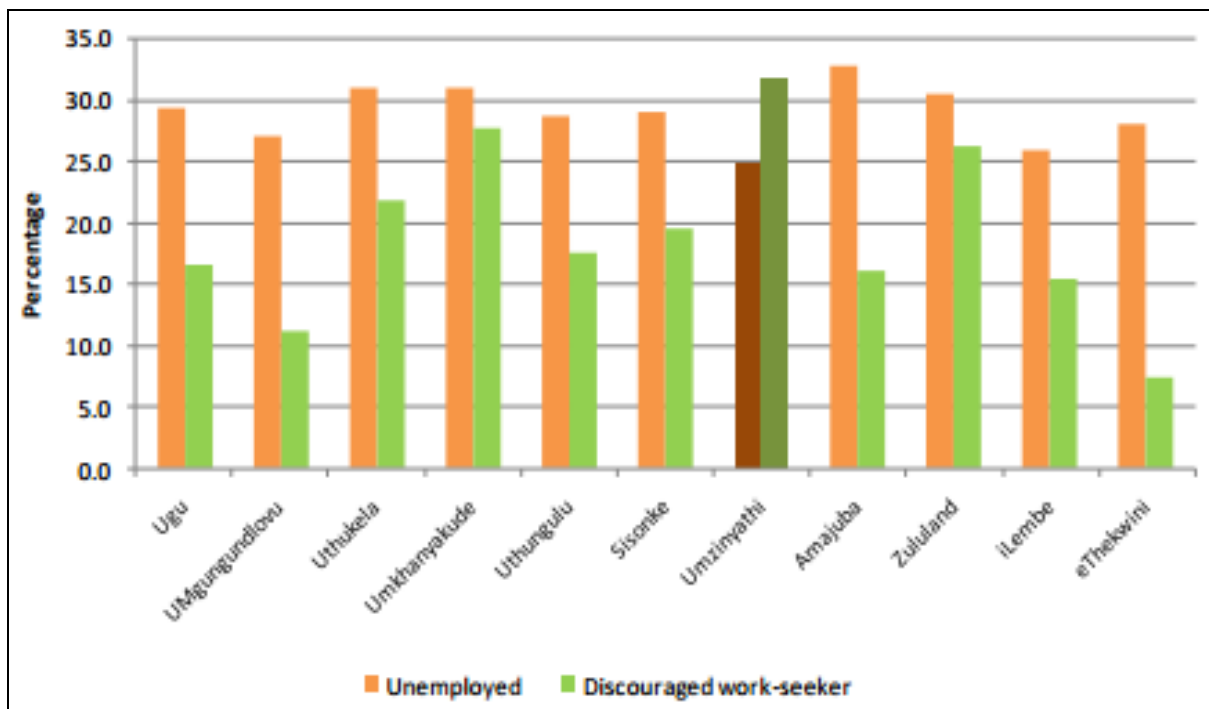
Graph 7: Employment status of economically active population



Source: uMzinyathi DGDP 2015

The information depicted in Employment status (District Level) graph below compares the unemployment rate and proportion of discouraged work seekers amongst the various districts in the province. This information confirms that the proportion of discouraged work seekers in the uMzinyathi District Municipality (31.9%) is by some margin have the highest figure amongst all districts within the province. The information indicated on the attached thematic map indicates that the areas with the highest percentage of unemployed population are located in the northern parts of the Nqutu LM, the central parts of the Msinga LM (especially the settlement areas west of Pomeroy) and some settlements in the southern parts of the municipality in the Kranskop area. The substantial spatial concentration of discouraged work seekers in the central parts of the district, especially over large parts of the Msinga LM and the eastern parts of Nqutu is also highlighted on the thematic map of discouraged work seekers.

Graph 8: Employed & Discouraged Work Seekers (District Level)

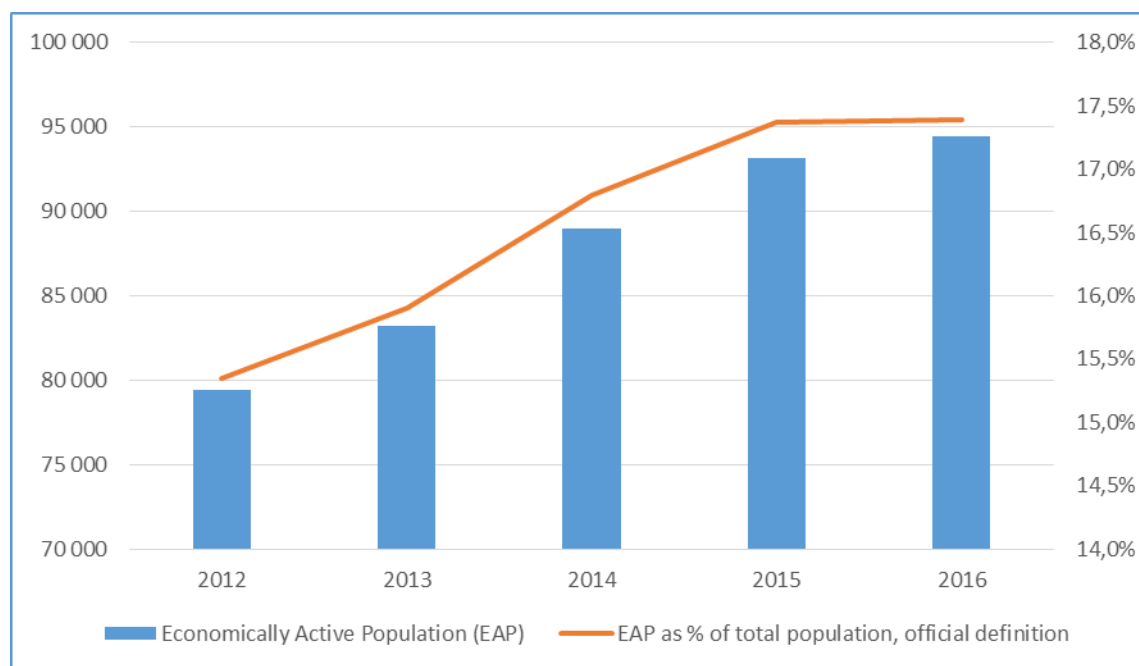


Source: uMzinyathi DGDP 2015

Economically Active Population

The Global Insight data indicates that in 2016 the economically active population (EAP) for the district represented 17% which was an improvement on the 15.5% in 2012. The graph below illustrates a positive growth in the number of economically active population within the district. The failure of the economy to absorb current labour force has to a greater extent discouraged potential labour participants from seeking employment.

Graph 9: Economically Active Population



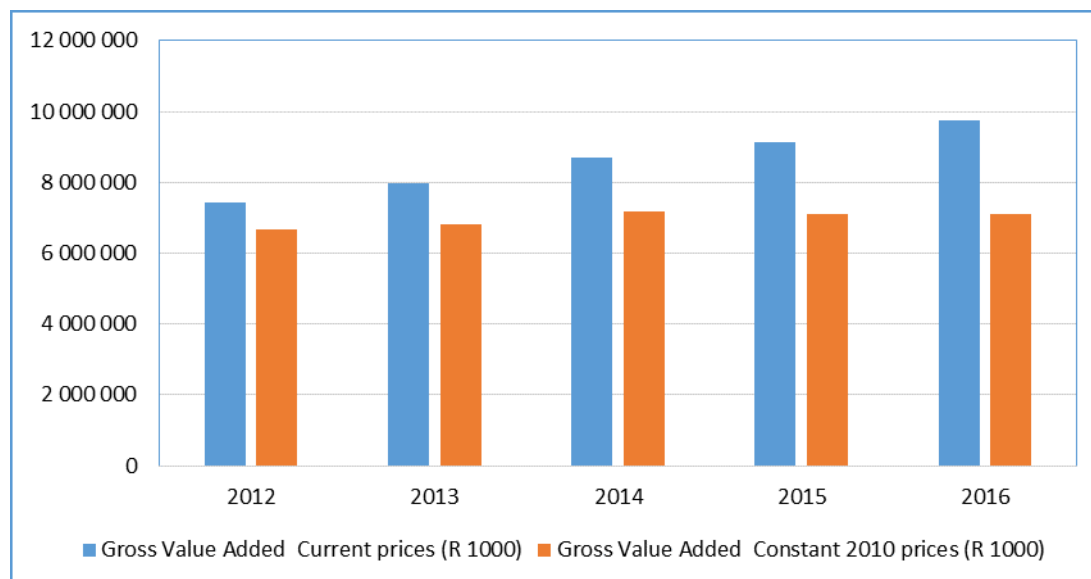
Source: Global Insight 2016

Gross Value Added

The total size of the district economy as measured by the total GVA has grown from R3.2 billion in 2012 to approximately R5.8 billion in 2016. Although growing from a very small base, it is indicative of relatively strong economic growth of just over 7% per annum over this period. Although this growth rate declined slightly from 2014, the impact of the global economic crisis seems to have impacted on the growth rate of the district economy as severely as in other areas. The compositional trends of the district economy however reveal a somewhat different picture.

The manufacturing sector increased its contribution to total GVA from 12.3% to 19.0%, and the transport and communication sector by 2.5%. Although still growing in absolute terms, the proportional contribution of the agricultural sector (declining from 15.0% to 10.8%) and the general government sector (20.4% to 16.9%) showed the biggest decline between 2012 and 2016. Other sectors with a declining contribution to the district economy include retail (decline from 17.1% to 15.2%) and the community and social services sector (8.3% to 7.3% contribution)

Graph 10: Gross Value Added



Source: Global Insight 2016

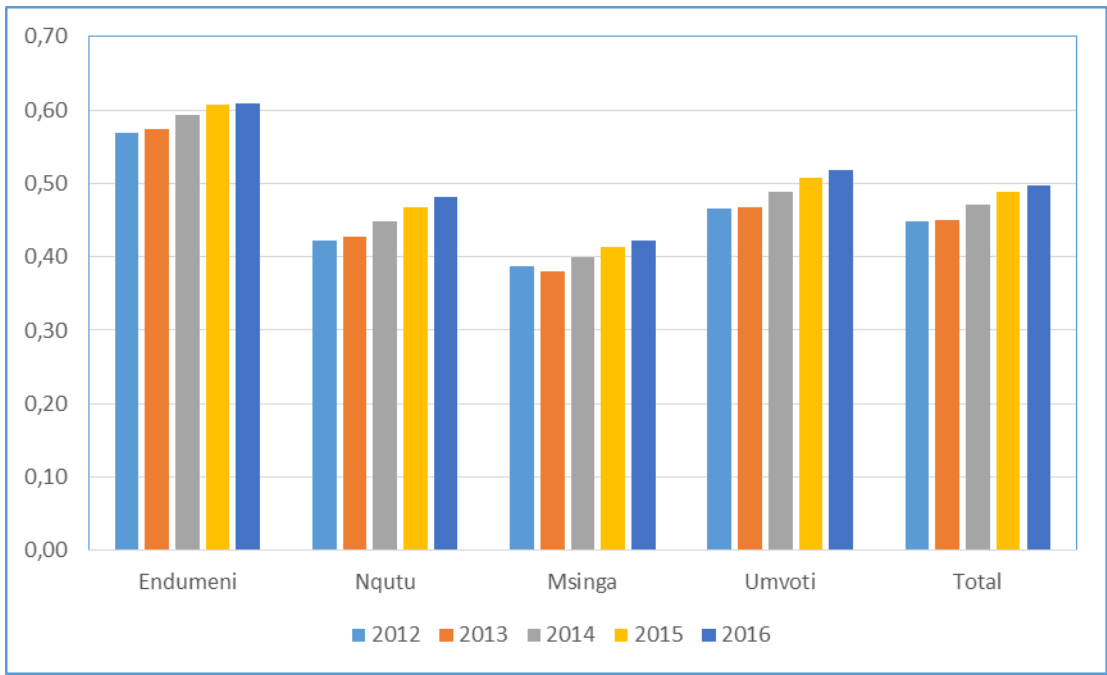
Poverty Index

One of the critical challenges identified in the National Development Plan 2030 is the extremely high levels of unemployment amongst the people of South Africa. The information depicted in Graph 11 reflects the occurrence and characteristics of this phenomenon within the district between the years 2012 to 2016. The breakdown of the unemployed population in the district is very similar to the overall figures for KZN. As much as 35.9% of the unemployed population is younger than 25 years of age with a further 33% between 25 and 34 years.

This implies that 68.9% of the unemployed population in the district is younger than 35 years of age. The age profile of the unemployed population is also very similar across the four local municipalities within the district, although the percentage of the unemployed population is somewhat higher in the Mvoti and Endumeni local municipalities.

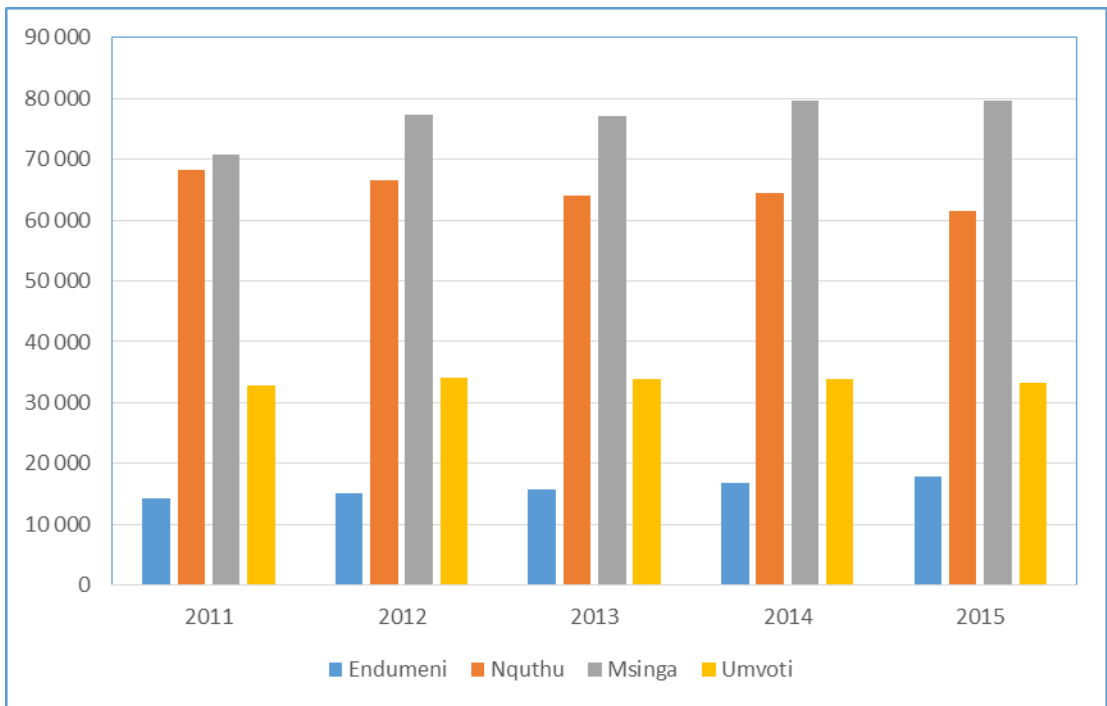
Statistics South Africa (Stats SA) report uses different figures to count those living in poverty. Stats SA's new poverty lines come from a cost-of-basic-needs approach, including both food and non-food. It calculates the minimum amount of money you need to survive. Those who fall below that line live in poverty.

Graph 11: Poverty Index (HDI)



Source: Global Insight 2016

Graph 12: People living below Food Poverty line



Source: Global Insight 2016

In 2012 to 2016 poverty statistics, the new measures for poverty show some increases in the number of people struggling across District. A rebasing of three national poverty indicators shows that more people in the district are poor than previously thought. The reason is that the upper-bound poverty line, which measures the income people need for essential items after

meeting their basic food needs, was recalculated from R620 a month to R779. This coincide with the provincial status of being the province with most people living below the poverty line, indicating that Kwa-Zulu-Natal has the highest food poverty line at R354 per capita a month. Msinga local Municipality has the highest number of people living below the poverty line, while Endumeni has the lowest within District.

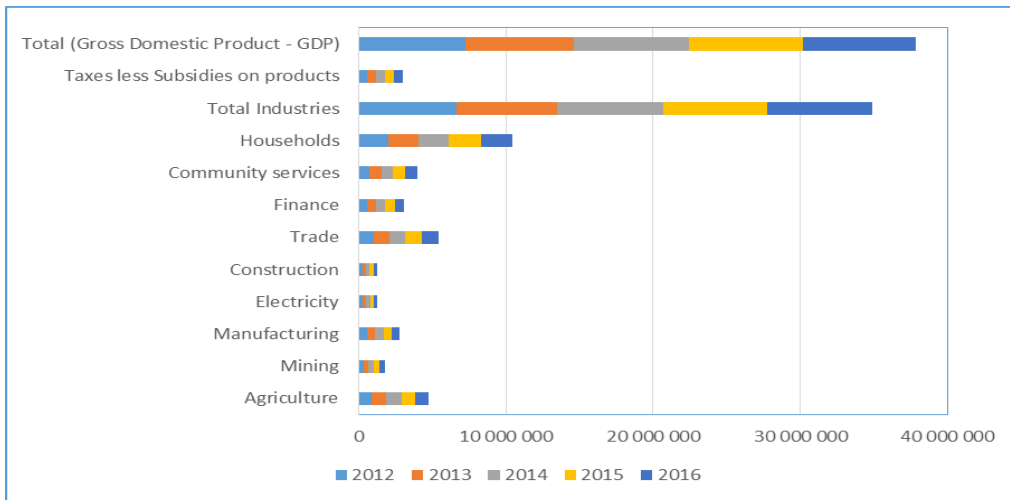
Main Economic Contribution in uMzinyathi

Overall, the employment market in the district remained stagnant between 2012 and 2016 with a marginal decrease in formal sector employment opportunities from 43494 to 43381. The general government sector overtook the agricultural sector as the main source of employment in the district by 2012. This is the result of a substantial decrease in the number of employment opportunities in the agricultural sector from 13 867 to 5379 between 2012 and 2016, whilst the figures in the general government sector increased from 7126 to 10 258.

The other main sources of employment in the district includes the community and social services sector (8535), and the retail, catering and accommodation sector (6620). Despite the dominant role of manufacturing in the economic output of the district, it only provided 10.8% of the district formal sector employment. The only other sectors with notable increases in formal sector employment were the community and social services sector (increasing from 7272 to 8535) and the manufacturing sector (from 2698 to 4692).

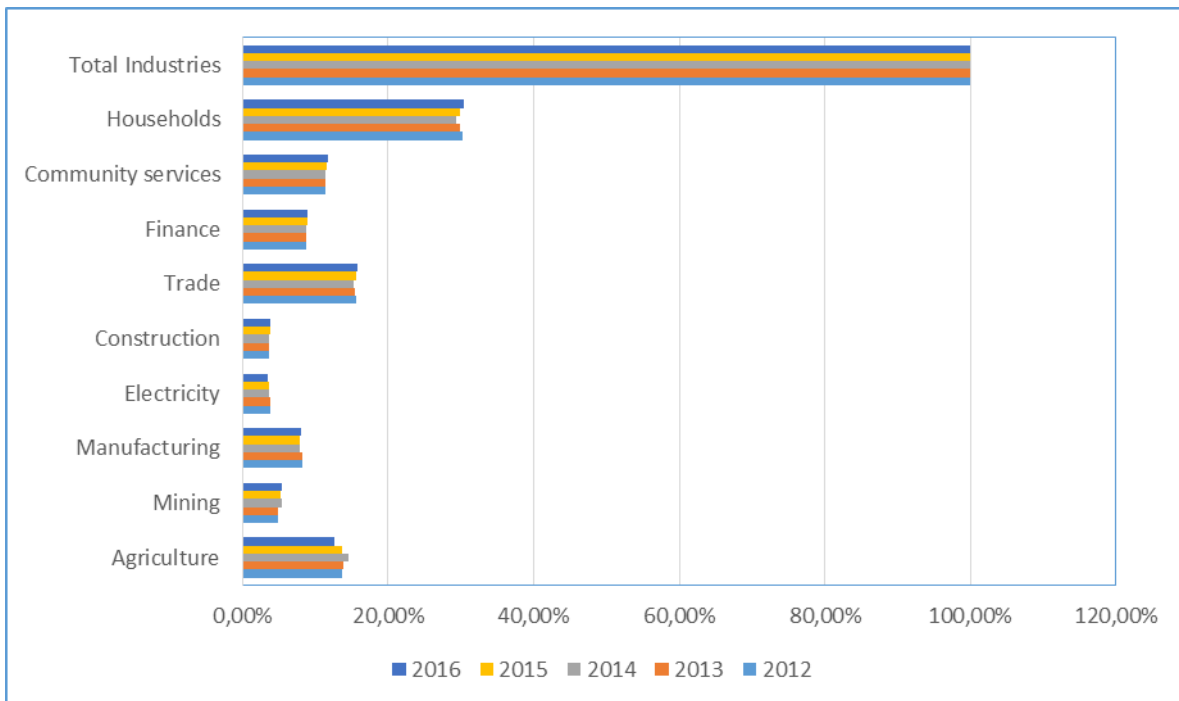
The number of employment opportunities in the informal sector increased by an estimated 1750 from 8643 in 2012 to 10393 in 2016. The biggest gains were recorded in the community and social services sector (842) and the retail sector (635), while massive losses were experiences in the informal agricultural sector (decreasing from 2463 to 935).

Graph 13: Main Economic Contribution in uMzinyathi



Source: Global Insight 2016

Graph 14: Contribution Share Percentage



Source: Global Insight 2016

1.2 Service Delivery Overview

During the year under review, the target for water provision in terms of households was One Thousand Five Hundred (1500) and the municipality managed to provide water to ninety-eight (98) households with water. The municipality has noted the service delivery challenge in terms of piped water in Msinga LM and has prioritized this municipality with the Kwakopi water project which will provide water to a number of households.

In terms of sanitation, the target for sanitation provision in terms of households was eight hundred (800) and the municipality managed to provide sanitation facilities to eight hundred and one (801) households.

A number of sod turnings took place throughout the financial year, these sods turning are an indication on the municipalities promise on fulfilling and meeting its strategic objectives and in turn bettering the lives of uMzinyathi District citizens.

Some of the targets that have been in progress and some achieved for LED relate to assisting craft cooperatives through training them on product design, and development as well as supporting them in accessing markets. Other programmes relate to provision of financial support and training to the Six (6) CTOs to enable them to render tourism marketing and promotion services effectively and the Battlefields Route Association, support has been rendered to Co-operative in their second and third year of existence to ensure that these Co-operative continue operating into the future.

The COVID pandemic continues to be a challenge in the implementation of planned targets, programmes planned for Community Skills development, support of sport codes and recreational programmes had to be suspended in compliance to the COVID regulations.

In the 2020/21 financial year the allocated budget for free basic services in this instance being water was 126% spent, this shows that the municipality is committed in providing services to its citizens at all costs.

A total of one hundred and forty seven (147) jobs were created through LED initiatives and four hundred and twenty-two (422) jobs were created through the EPWP programme .For the past four (4) years the municipality has continued to support the Battlefields Route Association ,the Community Tourism Organisation and other Tourism events to enable the advertisement of the district as a destination of choice ,this was achieved despite the COVID 19 virus that hindered the achievement of most activities within the municipality ,this affirms the district's commitment made to ordinary citizens and small business owners.

1.3 Financial Health Overview

Will be updated on the second version of this document

Table 5: Operating Costs vs Budget

Components	2019/20 %	2020/21 %
Employee Costs	24%	33.7%
Repairs and Maintenance	16%	33%
Finance charges and Impairment	0.99%	0.98%

Employee related costs have increased by 9.7% from the previous financial year and sitting at 33.7% to total operating expenditure.

Repairs and maintenance make 33% of the total operating expenditure. This shows a decline by 17% from the expenditure of the previous financial year.

Finance costs are sitting at 0.98% of the total operating expenditure. The municipality did not acquire any long-term debt within the financial year and therefore the interest reported in 2020/21 only relates to the finance charges.

Table 6: Budget Summary

Components	2019/20 %	2020/21 %
Employee Costs	30.56%	45%
Repairs and Maintenance	35.68%	26.61%
Finance charges and Impairment	1.7%	2.75%

The total budget for the municipality has increased from the previous year. This is shown by an increment in value of 10.5% from 2019/20 financial year. This is also supported by an increase in government grants and subsidies that the municipality has received.

The actuals have decreased by 7.16% from 2019/20 to 2020/21. There have been measures put in place to track government grants spending and for the financial year being reported, therefore grants were spent according to the conditions. The municipality received a roll-over of R1m from the funds that were allocated in the 2019/20 financial year.

1.4 Organizational Development Overview

During the year under review, the municipality prepared and implemented the Integrated Development Plan accordingly, in line with other strategic documents which include the Organisational Scorecard which provides details of the targets to be achieved by the municipality at an organisational level, and also the Service Delivery and Budget Implementation Plan which then provides the breakdown of the targets in terms of specific programmes and projects, in line with the budget and relevant departments which will be an implementing agents.

The municipality strives to enhance and skill its employees in order to safeguard and retain skills, in the 2020/21 financial year the municipality had three (3) workplace skills development programmes that were implemented, namely the Municipal Finance Management Programme and Staff bursaries in the first and third quarter.

The workplace skills development budget expenditure increased by 26% when compared to the previous financial year.

Statutory Annual Report Processes

The Annual Report of a municipality and every municipal entity must be tabled in the municipal council on or before 31 January each year according to (MFMA S127). The activities, implications, process and timeframes are described below for ease of reference and are under implementation.

Table 7: Statutory Annual Report Process

No	Activities	Timeframe
----	------------	-----------

1.	Consideration of next financial year's Budget and IDP process plan. Except for the legislative content, the process plan should confirm in-year reporting formats to ensure that reporting and monitoring feeds seamlessly into the Annual Report process at the end of the Budget/IDP implementation period	July
2.	Implementation and monitoring of approved Budget and IDP commences (In-year financial reporting).	
3.	Finalise the 4th quarter Report for previous financial year	
4.	Submit Draft Annual Performance Report to Internal Audit	August
5.	Audit committee considers Draft Annual Performance Report of municipality	
6.	Municipality submits Annual Performance Report and Draft Annual Report including consolidated unaudited Annual Financial Statements to Auditor General	
7.	Auditor General audits the Annual Performance Report including consolidated Annual Financial Statements	September / October
8.	Municipalities receive and start to address the Auditor General's comments	November
9.	Auditor General issues the audit report to the municipality	December
10.	Mayor tables Annual Report and audited Annual Financial Statements to Council complete with the Auditor- General's Report	January
11.	Audited Annual Report is made public and representation is invited	February
12.	Oversight Committee assesses Annual Report	February / March
13.	Council adopts Oversight report	March

14.	Oversight report is made public	April
15.	Oversight report is submitted to KZN Legislature, Provincial and National Treasury and KZN COGTA	April

2 CHAPTER 2: GOVERNANCE

COMPONENT A: POLITICAL AND ADMINISTRATIVE GOVERNANCE

2.1 Political Governance

uMzinyathi District Municipality is a Category C municipality as determined by the Municipal Demarcation Board in terms of Section 4 of the Municipal Structures Act, No 117 of 1998. The municipality functions under a Collective Executive System, which consists of five (5) members. The Council of the municipality consists of twenty-five (27) Councillors and five (5) members of the Traditional Authorities. The composition of Council in terms of party representation is indicated below:

Table 8:Councillors allocation

COUNCILLORS	NO
IFP	15
ANC	12
Traditional Authorities	5
Total	32

The political structure of the district is represented as per the below picture:

In terms of the municipal structures which serve to promote good governance in the municipality, which were fully functional during the year under review, and the details of their functionality are as follows:

The municipality has for the 2020/21 financial year operated under a full council since the delay of appointment to office in August 2016.

The Council was inaugurated on the 13th of June 2017. The municipal Council with the assistance of An Administrator, Mr Nkosi, from KZN Cogta has ensured and strived to the best of its capacity to achieve the objectives as set out in Section 152 of the Constitution which indicates that Council must annually review:

- The needs of the community;
- The priorities to meet those needs;
- Its processes in involving the community;
- Its organisational and delivery mechanism for meeting the needs of the community
- Its overall performance in achieving the objectives as set out in Section 152 of the Constitution.

The members of the municipal Council are outlined in the below table:

Table 9:List of Councillors

No.	Councillors' names	Political party	Designation
1.	Cllr PMS Ngubane (M)	IFP	Mayor
2.	Cllr NG Mdlalose (F)	IFP	Deputy Mayor
3.	Cllr FJ Sikhakhane(M)	IFP	Speaker
4.	Cllr LWS Ngubane(M)	ANC	EXCO member
5.	Cllr LG Mabaso(F)	ANC	EXCO member
6.	Cllr MS Yengwa(M)	IFP	EXCO member
7.	Cllr XS Xaba(M)	IFP	Chairperson of MPAC

8.	Cllr TH Mchunu(F)	IFP	
9.	Cllr M. Phakathi(M)	IFP	
10.	Cllr NC Xaba(F)	IFP	
11.	Cllr BS Chambule(M)	ANC	
12.	Cllr CZ Mbatha(M)	IFP	
13.	Cllr BP Ngcobo(F)	IFP	
14.	Cllr BP Madondo(M)	IFP	
15.	Cllr B Mthethwa(M)	ANC	
16.	Cllr SK Radebe(M)	ANC	
17.	Cllr BS Mdluli(M)	ANC	
18.	Cllr IL Shabalala(M)	IFP	
19.	Cllr EM Mkhwanazi(M)	IFP	
20.	Cllr PM Ngobese(M)	IFP	
21.	Cllr LC Moloji(M)	ANC	
22.	Cllr TJ Motloung (M)	ANC	
23.	Cllr RN Ngubane(F)	ANC	
24.	Cllr XP Ndlovu (F)	ANC	
25.	Cllr TC Ngubane (M)	ANC	
26.	Cllr PS Hlophe (M)	ANC	
27.	Cllr AS Ndlovu(M)	IFP	
28.	Inkosi MPM Mazibuko	n/a	Chairperson of Tribal Authority within the district

29.	Inkosi PBN Molefe	n/a	
30.	Inkosi SW Mthembu	n/a	
31.	Inkosi NE Mchunu	n/a	
32.	Inkosi SK Sithole	n/a	

During the year under review, financial year under review is the 2020/2021 financial year starting from 01 July 2020 to 30 June 2021, the **Council** had sixteen (16) meetings and the details of the meetings are as follows:

Date of the Meetings
31 July 2020, 28 August 2020, 23 September 2020, 30 September 2020, 28 October 2020, 04 November 2020, 18 November 2020, 09 December 2020, 29 January 2020, 25 February 2020, 04 March 2020, 26 March 2021, 06 May 2020, 28 May 2020, 29 June 2020.

Executive Committee

The Executive Committee members were appointed on the 20th of June 2017. The Executive Committee receives reports from other committees of Council, the committee must then forward these reports together with their recommendations to Council when it cannot dispose of the matter in terms of its delegated powers.

The Executive Committee comprises of the Mayor Ald. Cllr PMS Ngubane, Deputy Mayor Cllr NG Mdlalose, the Speaker FJ Sikhakhane and the Chairperson of the Portfolio Committees Planning and Economic Development as well as Corporate Services Cllr MS Yengwa, Cllr LG Mabaso and Cllr LWS Ngubane.



Speaker Cllr FJ Sikhakhane



During the year under review, the Executive Committee had thirteen (13) meetings, and the details of the meetings are as follows:

Date of the Meetings

28 July 2020, 27 August 2020, 23 September 2020, 30 September 2020, 08 October 2020, 27 October 2020, 08 December 2020, 17 February 2021, 24 February 2021, 25 March 2021, 06 May 2021, 28 May 2021 & 29 June 2021.

Portfolio Committees

During the financial year the municipality had effective and fully functional Portfolio Committees which are established in line with Section 79 of the Municipal Structures Act (No 117 of 1998) this is with the exception of the Technical Services Portfolio Committee which had three (3) sittings in the 2020/21 financial year, the members were committed in other Council activities however issues were dealt with in joint sittings of the Finance and Technical Services Portfolio. The Portfolio Committees are established in line with the macro structure of the municipality, they are chaired by the members of the Executive Committee.

The Community Services Portfolio Committee is still operational however the administrative functions have been moved to the Planning and Economic Development Department as well as the Office of the Municipal Manager as resolved at the Special Council meeting on the 26th of July 2018.

The establishment of the committees is to ensure effective and efficient performance of the municipality in terms of service delivery, and also to assist the Executive Committee. The municipality has five (5) Portfolio Committees that serve to report to the Executive Committee in accordance with the performance directives of the Executive Committee.

During the year under review, the municipality had the following committees in place:

The **Finance Portfolio Committee** that is responsible for all finance related matters as well as inspection of items submitted by the Budget and Treasury office prior to them being submitted to the Executive Committee and Council.

The allocation of the Portfolio Committee is as follows:

Table 10: Finance portfolio committee members

Finance Portfolio Committee	
Name of Portfolio member	Number of Meetings
1. Cllr PMS Ngubane- Chairperson	06
2. Cllr B.P. Madondo	
3. Cllr T.H. Mchunu	
4. Cllr EM Mkhwanazi	
5. Cllr T.C Ngubane	
6. Cllr F.E Khumalo	
7. Cllr LWS Ngubane	

The **Corporate Services Portfolio Committee** that is responsible for providing oversight on Human Resource matters, Labour relations, administrative support as well as for inspecting items submitted by the Corporate Services departments and sub-structures such as the Local Labour Forum prior to them being submitted to Council.

The allocation of the portfolio committee is as follows:

Table 11: Corporate Services committee members

Corporate Services Portfolio Committee	
Name of Portfolio member	Number of Meetings
1. Cllr MS Yengwa-	3

Chairperson	
2. Cllr B.P. Madondo	
3. Cllr T.H. Mchunu	
4. Cllr T.J Motloung	
5. Cllr B.P Ngcobo	
6. Cllr F.E Khumalo	
7. Cllr B Mthethwa	

The **Infrastructure and Maintenance Portfolio Committee** responsible for providing oversight on the core function of the municipality being water and sanitation, infrastructure development and maintenance thereof as well as inspection of items submitted by the Technical Services department prior to the items being submitted to the Executive Committee and Council.

The Portfolio Committee is allocated as follows:

Table 12:Infrastructure and Maintenance Portfolio Committee members

Infrastructure and Maintenance Portfolio Committee	
Name of Portfolio member	Number of Meetings
1. Cllr PMS Ngubane- Chairperson	3
2. Cllr L.N Dladla	
3. Cllr N.C Xaba	
4. Cllr EM Mkhwanazi	
5. Cllr L.C Moloji	
6. Cllr B Mthethwa	

Infrastructure and Maintenance Portfolio Committee	
Name of Portfolio member	Number of Meetings
7. Cllr R.N Ngubane	

The **Community Services Portfolio Committee** responsible for providing oversight on the socio-economics issues, sports and recreation, deliberating on issues submitted by the District Task Team, Operation Sukhuma Sakhe as well as items presented by the Priority Programmes unit prior to their submission to the Executive committee and Council.

The allocation of the portfolio committee is as follows:

Table 13:Community Services Portfolio Committee members

Community Services Portfolio Committee	
Name of Portfolio member	Number of Meetings
1. Cllr NG Mdlalose- Chairperson	0
2. Cllr C.Z Mbatha	
3. Cllr P.M Ngobese	
4. Cllr C.N Xaba	
5. Cllr B.S Chambule	
6. Cllr P.S Hlophe	
7. Cllr S.K Radebe	

The **Planning and Economic Development** responsible for providing oversight on all development planning matters, geographical issues, environmental health and compliance, tourism, development of the local economy, disaster management issues as well as deliberating on items submitted by the Planning and Economic Development department prior to them being submitted to Council.

The allocation of the portfolio committee is as follows:

Table 14: Planning and Economic Development portfolio committee members

Planning and Economic Development Portfolio Committee	
Name of Portfolio member	Number of Meetings
1. Cllr M.S Yengwa- Chairperson	3
2. Cllr B.P. Ngcobo	
3. Cllr T.H. Mchunu	
4. Cllr C.N Xaba	
5. Cllr S.K Radebe	
6. Cllr T.J Motlounge	
7. Cllr B Mthethwa	

Municipal Public Accounts Committee (MPAC)

During the year under review, the municipality had a functional Municipal Public Accounts Committee which was responsible for the following.

- Assist Council to hold the executive and administration to account;
- Councillors are therefore responsible for exercising oversight over both the executive and administration;
- Proper administration of oversight requires Councillors to be fully informed of their own agenda, business or affairs; and

Councillors are accountable for the performance of the council; hence they must supervise the Municipal Council agenda, in order to achieve the desirable performance.

The MPAC members were allocated as follows:

Table 15:MPAC members

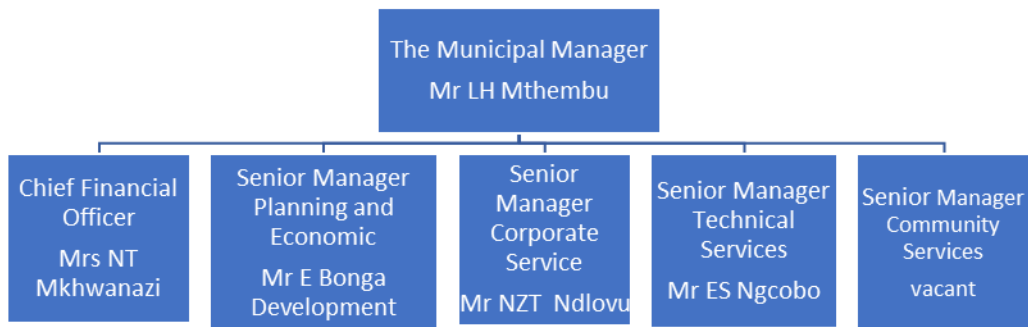
Municipal Public Accounts Committee	
Name of Portfolio member	Number of Meetings
1. Cllr X.S Xaba-Chairperson	6
2. Cllr B.P. Madondo	
3. Cllr T.M Mahaye	
4. Cllr B.S Chambule	
5. Cllr R.N Ngubane	
6. Cllr C.Z Mbatha	
7. Cllr P.M Ngobese	

In the 2020/21 financial year a total of Six (6) meetings were held details of the meetings are as follows:

Date of the Meetings
16 September 2020, 16 October 2020, 03 December 2020, 23 March 2021, 15 June 2021 and 28 June 2021.

2.2 Administrative Governance

The details of the macro structure of the municipality which was in place during the year under review, is indicated below. The Community Services position remained vacant throughout the financial year, advertisement and shortlisting was carried out, appointment for this position will be done in the 2021/22 financial year, the Manager in the Office of the Mayor is currently acting as the Senior Manager in this position.



In terms of the administrative governance structures which were in place during 2020/21 financial year, and were functional to manage the administrative matters and the details in terms of the functions of the departments are as follows:

- Top Management Committee;
- Office of the Municipal Manager;
- Technical Services;
- Corporate Services;
- Planning and Economic Development; and
- Budget and Treasury;
- Community Services;

Top Management Committee

During the year under review, the municipality had a functional and effective Top Management Committee. The committee consisted of the Accounting Officer, Acting Senior and Senior Managers. The functions being performed by the Top Management Committee was to assist the Accounting Officer in managing and coordinating the financial management and overall administration of the municipality.

Office of the Municipal Manager

- Promote sound financial management throughout the municipality;

- Be responsible for all income and expenditure, all assets and the discharge of all liabilities;
- Ensure compliance with the Municipal Finance Management Act (MFMA) No. 56 of 2003;
- Prevent fruitless and wasteful expenditure; and
- Disclose all information on debts.
- The Office of the Municipal Manager also managed the following sections:
 - Internal Auditing; and
 - Securing Protocol.
 - Communications;

Department: Community Services

The Office of the Mayor consisted of the following sections:

- Social Services;
- Health and Wellness;
- Environmental Health; and
- Disaster Management.

Department: Technical Services

- Municipal Infrastructure Implementation;
- Municipal Infrastructure Operations and Maintenance;
- Water Service Authority; and
- Community Development Facilitation;

Department: Planning and Economic Development

- Local Economic Development;
- Geographic Information Systems;
- Municipal Planning;
- Integrated Development Planning and Performance Management System; and
- Environmental Management.

Department: Corporate Services

- Human Resources;
- Administrative Services / Council support;

- Registry Services;
- Fleet Management; and
- Security services for the building
- Information Technology.

Department: Budget and Treasury

- Income and Expenditure,
- Procurement;
- Customer Care and Billing
- Asset Management
- Budgeting and Reporting;
- Financial Reporting

2.2 Corporate Governance

Municipal Website

During the year under review, the municipality had a functional website with the information required to be made public in terms of the Municipal Systems Act and Municipal Finance Management Act, e.g., IDP, Budget, Policies, Performance Agreements, SDBIP etc. Furthermore, an upgrade of the current website was undertaken to ensure that it is user friendly and compatible with the latest technology.

Public Satisfaction on Municipal Services

During the year under review, the municipality did not undertake the Customer Satisfaction Survey due to budget constraints but will be undertaken during 2020/21 financial year. The aim of the study is to determine the perceptions of the community on the services the municipality is providing. The Customer Satisfaction Survey also contributes to improving communication between the municipality and the community in determining the social and economic needs that require urgent attention and deemed necessary to improve the quality of lives.

AUDIT COMMITTEE

The Audit Committee of uMzinyathi District Municipality is functional and is composed of five (5) members. As required by Section 166(4)(b) of the Municipal Finance Management Act, The Audit Committee had four (4) meetings in the 2020/2021 financial year; the details of which are as follows:

NO	DATE OF THE MEETING
1.	29 th October 2020
2.	10 th December 2020
3.	23 rd March 2021
4.	28 th June 2021

Internal Auditors

The function of the Internal Auditors is to provide assurance to management on issues of Governance, Risk and Control Audit. The permanent audit team members remained at two (2) staff members and one additional member joined through secondment from CoGTA as an intern. The municipal Internal Audit Unit is co-sourced through the appointment of an Internal Audit firm to complement the current existing structure of the Internal Audit function of the municipality with a view to strengthen the areas of skills and human capacity.

The internal audit function oversees the internal audit function of the municipality through provision of independent and objective assurance on the effectiveness of Governance, Risk Management and Internal Control.

Internal audit plan implementation and progress made in the 2020/21 financial year.

The 2020/2021 Risk Based Annual Internal Plan was implemented effectively despite Covid-19 restrictions throughout the financial year. Internal Audit executed its projects and communicated areas of weaknesses identified to both Management and Audit Committee for appropriate implementation and rectification. During the 2021/2022 financial year,

Internal Audit will conduct Follow-up Audits with a view to establish the latest position and status of these in the year ending 30 June 2022.

Implementation of resolutions taken by the Audit Committee.

Despite the difficult financial year, management managed to implement the implementable Audit Committee recommendations/resolutions. Some recommendations could not be implemented due to instability in Senior Management position(s) and municipal pecuniary difficulties.

Compliance of the IA unit with IA Charter and other guiding prescripts such as the King 4 report and section 165 of the MFMA.

Internal Audit unit has maintained the highest compliance attitude towards the Approved Internal Audit Charter and King IV and International Standards for the Professional Practice of Internal Audit. In compliance with these standards, the unit is working on implementing concerns raised by the External Independent Quality Assessor in the prior year on areas of sourcing the system/tool to be used by the Internal Audit to automate all Internal Audits

Progress made with resolving findings on the AG action plan when compared to 2019/20 financial year.

The municipal external audit opinion stagnated from prior year. Various issues were contributing factors and these include inter alia issues on Infrastructure Assets, Trade Payables, Expenditure, VAT, Revenue, Distribution of Water Losses etc.

Despite challenges faced by the municipality, the municipality has managed to clear a number of qualifying issues raised in the prior year. Through beefing of departmental teams, the municipality is hoping to achieve a better audit outcome this financial year.

Other matters of public interest

The Internal Audit unit of the municipality is committed to remain independent of the municipal functions and objective in discharging duties on both assurance and consulting roles. The unit is also committed to continue bringing a systematic and disciplined approach in evaluating and improving the effectiveness of Risk Management, Governance and Control processes.

Policies

The municipality has prepared policies which will enable the municipality to perform its powers and functions effectively; the policies which are in place are as follows as per the responsible implementing departments, the policies have been reviewed and approved by Council, to ensure that they are relevant and practical in terms of implementation:

Table 16: List of Policies

No	Policy Name	Responsible Department
1.	Risk Management Policy	Budget and Treasury office
2.	Nepotism Policy	Corporate Services
3.	Private Work Policy	Corporate Services
4.	Tariff Policy	Corporate Services
5.	Credit Control and Debt Collection Policy	Budget and Treasury office
6.	Budget Policy	Budget and Treasury office
7.	Asset Management Policy	Budget and Treasury office
8.	Supply Chain Management Policy	Budget and Treasury office
9.	Petty Cash Policy	Budget and Treasury office
10.	Banking and Investment	Budget and Treasury office
11.	Indigent Support Policy	Budget and Treasury office
12.	Subsistence and Travelling Allowance Policy	Budget and Treasury office
13.	ICT Policy	Budget and Treasury office
14.	Debt Impaired and Debt Write Off Policy	Budget and Treasury office
15.	Transfer of Budget Policy	Budget and Treasury office

16.	Grants and Donation Policy	Budget and Treasury office
17.	Treatment and Evaluation Policy	Corporate Services
18.	Financial Regulation Policy	Budget and Treasury office
19.	Unauthorized, Irregular, Fruitless and Wasteful Expenditure Policy	Budget and Treasury office
20.	Casual Work Policy	Corporate Services
21.	Recruitment, Selection and Appointment Policy	Corporate Services
22.	Special Leave Policy	Corporate Services
23.	Bursary Policy	Corporate Services
24.	Records Management Policy	Corporate Services
25.	Communications Policy	Corporate Services
26.	Fleet Management Policy	Corporate Services
27.	Sexual Harassment Policy	Corporate Services
28.	Incapacity Due to Ill Health Policy	Corporate Services
29.	Incapacity Due to Intoxicating and Substance Abuse Policy	Corporate Services
30.	Telecommunication Policy	Budget and Treasury office
31.	Attendance and Punctuality Policy	Corporate Services
32.	Human Resource Development Policy	Corporate Services
33.	Succession Planning (Career Pathing) Policy	Corporate Services
34.	Employment Equity Policy	Corporate Services

35.	Acting Allowance Policy	Corporate Services
36.	Incapacity Due to Poor Performance Policy	Corporate Services
37.	Housing Policy	Corporate Services
40.	Relocation Policy	Corporate Services
41.	Transfer Policy	Corporate Services
42.	Funeral Attendance Policy	Corporate Services
43.	Acceptance of Gifts Policy	Corporate Services
44.	Delegation Framework Policy	Corporate Services
45.	Overtime Policy	Corporate Services
46.	Performance Management Policy	Planning and Economic Development
47.	Pauper Burial Policy	Corporate Services
48.	Placement Policy	Corporate Services
49.	EPWP Policy	Planning and Economic Development
50.	Retention Policy	Corporate Services
51.	Promotion and demotion Policy	Corporate Services
52.	Induction Policy	Corporate Services
53.	Smoking Policy	Corporate Services
54.	Dress and Uniform Policy	Corporate Services
55.	Employee identification and badge policy	Corporate Services
56.	Internet and email policy	Corporate Services
57.	Disaster Management Policy	Community Services

58.	Land and assets disposal policy	Budget and Treasury office
59.	Whistle blowing policy	Corporate Services
60.	Free water and sanitation policy	Budget and Treasury office
61.	Student (external) assistance policy	Community Services
62.	Car allowance policy	Budget and Treasury office
63.	Cellular telephone policy	Budget and Treasury office
64.	Anti-fraud and anti-corruption policy	Corporate Services
65.	Fire arm policy	Corporate Services
66.	Occupational health and safety policy	Corporate Services
67.	Individual Performance Management Policy	Corporate Services
68.	OHS (Covid-19 Policy) new	Corporate Services
69.	Substance and Travelling (Car Allowance)	Corporate Services
70.	Human Resources Development Policy	Corporate Services
71.	Recruitment, Selection and Appointment	Corporate Services
72.	Bereavement Policy	Corporate Services
73.	Information Security Policy	Corporate Services
74.	ICT Firewall Policy	Corporate Services
75.	ICT Patch Management policy	Corporate Services
76.	Risk Policy and Procedures	Corporate Services

77.	Disaster Recovery Plan	Corporate Services
78.	Master Systems Plan (ICT Strategy)	Corporate Services
79.	Change Management Policy	Corporate Services

COMPONENT B: INTERGOVERNMENTAL RELATIONS

2.3 Inter-governmental Relations

The Intergovernmental Relations Framework Act No 13 of 2005, stipulates that there must be a district Intergovernmental forum to promote and facilitate intergovernmental relations between the district and local municipalities within the district.

In the 2020/21 financial year the composition of the Intergovernmental Structures was as follows:

DISTRICT INTERGOVERNMENTAL FORUMS		
NAME	DESIGNATION	MUNICIPALITY
ALD Cllr PMS Ngubane	Mayor (Chairperson)	uMzinyathi DM
Cllr NG Mabaso	Deputy Mayor	Umzinyathi DM
Cllr Mdluli	Mayor	Endumeni LM
Cllr Nkosi	Deputy Mayor	Endumeni LM
Cllr BP Ngcobo	Mayor	Msinga LM
Cllr SG Masimula	Deputy Mayor	Msinga LM
Cllr Kunene	Mayor	Nquthu LM
	Deputy Mayor	Nquthu LM
Cllr TC Ngubane	Mayor	Umvoti LM
SPEAKERS FORUM		
NAME	DESIGNATION	MUNICIPALITY
Cllr FJ Sikhakhane	Speaker (Chairperson)	Umzinyathi DM
Cllr TL Khumalo	Speaker	Endumeni LM
Cllr ME Mnguni	Speaker	Nquthu LM
Cllr Kunene	Speaker	Msinga LM

Cllr SK Khoza	Speaker	Umvoti LM
MUNICIPAL MANAGERS FORUM		
Mr. LH Mthembu	Municipal Manager	Umzinyathi DM
Mr. S Mbhele	Municipal Manager	Endumeni LM
Mr. S Sokhela	Municipal Manager	Msinga LM
Mrs. TN Ngiba	Municipal Manager	Umvoti LM
Mr. Mthembu	Municipal Manager	Nquthu LM
DISTRICT INFRASTRUCTURE FORUM		
Mr. LH Mthembu	Senior Manager Technical Services	Umzinyathi DM
Mr. Hlongwane	Director Technical Services	Nquthu LM
Mr. Mnguni	Director Technical Services	Msinga LM
Mr. N Iwabe	Director Technical Services	Umvoti LM
Mr. M Ntanzani	Acting Director Technical Services	Endumeni LM
DISTRICT AREA FINANCIAL FORUM		
Mrs. NT Mkhwanazi	CFO	Umzinyathi DM
Mr. Mpanza	CFO	Nquthu LM
Mr. M Nene	CFO	Msinga LM
Mr. Ndlovu	CFO	Endumeni LM
Mrs. CB Mkhize	CFO	Umvoti LM
DISTRICT CORPORATE SERVICES		
Mr. OS Mnguni	Senior Manager Corporate Services (Chairperson)	Nquthu LM
Mr. Ntanzani	Snr Manager Corporate Services	Msinga LM
Mr. M Maphanga	Snr Manager Corporate Services	Umvoti LM
Mr. E Bonga	Snr Manager Corporate Services	Umzinyathi DM
Mr. S Zulu	Snr Manager Corporate Services	Endumeni LM

	Acting Snr Manager Corporate Services Acting Manager Corporate Services	
District Communicators Forum		
Ms. N Nzimakwe Mrs. K Mayekiso Ms. K Ziqubu Ms. P Phungula Ms. S Shoba	Manager Communication Communications Officer Communications Officer Consultative Comm Officer Communications Officer	Umzinyathi DM Endumeni LM Msinga LM Umvoti LM Nquthu LM GCIS MEDIA
DISTRICT DISASTER ADVISORY FORUM		
Mr. IW Mngomezulu Mr. Vilakazi Mr. M Zulu Mr. B Zulu	Manager Disaster Disaster Officer Disaster Officer Disaster Officer	Umzinyathi DM Umvoti LM Nquthu LM Endumeni LM Msinga LM
PLANNING AND ECONOMIC DEVELOPMENT		
Mr. E Bonga	Chairperson	Umzinyathi DM Umvoti LM Msinga LM Nquthu LM Endumeni LM

During 2019/20 financial year, the municipality had in place the following Intergovernmental Relations structures:

Table 17: IGR Meetings

Intergovernmental Relations Forum	Objective of the Function	Date of the Meetings
Umzinyathi District	The objective of the forum is to promote and facilitate intergovernmental relations and	The structure was reconfigured into Political

Intergovernmental Relations Forum	Objective of the Function	Date of the Meetings
Coordinating Forum	cooperative government between the district and local municipalities, the forum meets on quarterly basis and is not functional.	<p>Hub as per the District Development Model mandate, Political DDM meeting were convened as per below:</p> <ul style="list-style-type: none"> • 16 July 2020 • 13 Aug 2020 • 27 Aug 2020 • 10 Sept 2020 • 17 Sept 2020 • 23 Sept 2020 • 15 Oct 2020 • 17 Dec 2020 • 24 Dec 2020 • 30 Dec 2020 • 07 Jan 2021 • 15 Jan 2021 • 28 Jan 2021 • 15 April 2021 • 20 May 2021 • 10 June 2021

Intergovernmental Relations Forum	Objective of the Function	Date of the Meetings
Municipal Managers Forum	The Municipal Managers Forum serves as a technical support to the District Coordinating Forum and implements their resolutions. The members of the Technical Support structure are the Municipal Managers of the district family of municipalities, and/or officials designated by them, and it also meets on quarterly basis and is functional.	<p>The MMs Forum meeting was reconfigured into a technical hub meeting as per DDM mandate, Meeting were convened as per below:</p> <ul style="list-style-type: none"> • 01 July 2020 • 19 Aug 2020 • 26 Aug 2020 • 09 Sept 2020 • 16 Sept 2020 • 30 Sept 2020 • 14 Oct 2020 • 05 Nov 2020 • 15 Dec 2020 • 23 Dec 2020 • 07 Jan 2021 • 13 Jan 2021 • 27 Jan 2021 • 31 March 2021 • 04 May 2021 • 08 June 2021
Speakers Forum	The forum has been established, and it also meets on quarterly basis and is functional.	Speakers' forum was reconfigured into a Political Hub as per

Intergovernmental Relations Forum	Objective of the Function	Date of the Meetings
		<p>District Development Model, Meeting was convened as per below:</p> <ul style="list-style-type: none"> • 16 July 2020 • 13 Aug 2020 • 27 Aug 2020 • 10 Sept 2020 • 17 Sept 2020 • 23 Sept 2020 • 15 Oct 2020 • 17 Dec 2020 • 24 Dec 2020 • 30 Dec 2020 • 07 Jan 2021 • 15 Jan 2021 • 28 Jan 2021 • 15 April 2021 • 20 May 2021 • 10 June 2021
Corporate Services Forum	The purpose of the forum is to share information on Administrative, Communications, Human Resource and Security issues, and also to provide advice to the Municipal Managers Forum on such	The structure was reconfigured into DDM Clusters called GSCID, meetings were convened as per below:

Intergovernmental Relations Forum	Objective of the Function	Date of the Meetings
	matters.	01 July 2020 30 April 2021 1 June 2021 24 June 2021
Planning and Development Forum	The purpose of the forum is to co-ordinate planning and development within uMzinyathi District by ensuring improved and continued communication amongst the various planning sectors, and also to ensure that planning and development within the district is undertaken in a holistic way and that it takes place within the framework of all Municipalities' Integrated Development Plans,	This structure was reconfigured into DDM cluster together with CFOs, Corporate Services Forum and meetings were as per below: <ul style="list-style-type: none"> • 01 July 2020 • 30 April 2021 • 01 June 2021 • 24 June 2021
IDP – LED Technical Planning Committee	The purpose of the forum is to: <ul style="list-style-type: none"> • Streamlining planning process; • Finding a common district wide development vision; • Consolidation and alignment of programmes and budgets; • Unifying the channelling of both private and public sector investments; • Combating socio-economic ills in a strategic and coordinated manner; and • Put forward a plan of action that will enjoy 	<ul style="list-style-type: none"> • 27 November 2019 • 10 March 2020

Intergovernmental Relations Forum	Objective of the Function	Date of the Meetings
	political buy-in at levels.	
Disaster Advisory Forum	The purpose of the forum is to implement, monitor and co-ordinate all disaster management related issues within uMzinyathi District by ensuring improved and continued communication. The forum consists of representatives from the district and local municipalities, SAPS, Traffic, emergency services etc.	<ul style="list-style-type: none"> • 23 October 2020 • 30 March 2021 • 23 June 2021
District Communicators Forum	<p>The functions of the forum include but are not limited to the following:</p> <ul style="list-style-type: none"> • To coordinate the sharing of information pertaining to all spheres of government; • To coordinate and organize a calendar of events for the district to ensure that these activities are streamlined across the district to avoid duplication and wastage of resources; and • To provide support to local municipalities with regard to development of communication strategies. 	<p>The structure is reconfigured into DDM cluster and has been integrated into GSCID Cluster along with other structures, meeting convened as per below:</p> <ul style="list-style-type: none"> • 01 July 2020 • 20 Aug 2020 • 04 Nov 2020 • 30 April 2021 • 24 June 2021

Intergovernmental Relations Forum	Objective of the Function	Date of the Meetings
Chief Financial Officer Forum	The purpose of the forum is to share information on sound financial management matters and also to provide advice to the Municipal Managers Forum on such matters.	<p>The structure was reconfigured into a DDM Sub technical cluster called GSCID Cluster</p> <ul style="list-style-type: none"> • 01 July 2020 • 30 April 2021 • 01 June 2021 • 24 June 2021
Infrastructure Forum	The purpose of the forum is to ensure the integration and alignment of Infrastructure projects being implemented within the district.	<p>The structure has been reconfigured into a DDM sub technical cluster; meetings were convened as per below:</p> <ul style="list-style-type: none"> • 18 Aug 2020 • 12 Jan 2021 • 29 April 2021 • 04 May 2021 • 22 June 2021

COMPONENT C: PUBLIC ACCOUNTABILITY AND PARTICIPATION

2.4 Public Meetings

Since the IDP involves participation of a number of stakeholders, it was crucial for the municipality to adopt an appropriate approach and also put in place appropriate structures to ensure effective participation, the pandemic also affected the sitting of these structures however alternative arrangements were made to ensure that the work and purposes of these structure are met. The municipality had a number of structures advocating public participation which are as follows:

IDP_LED Technical Committee (IDP Representative Forum)

The IDP Rep forum is a broad-based meeting where all the key role players meet to discuss developmental programmes and projects of the district, and it also serves for alignment and integration of the IDP's. The representatives that attend these meetings include the IDP, LED, and Planning Personnel of the district and four local municipalities, the sector departments, Service Providers, NGO's etc.

The Planning and Development Forum

The IDP Alignment Committee is a mechanism that is established with the purpose of addressing specific issues of interest that need to be aligned between the district and local municipalities. The Alignment Committee meetings are different from the IDP_LED Technical Committee meetings. The intension is that the alignment meetings focus on specific challenges and opportunities that exist between the district and local municipalities.

Public Engagements

Known as the IDP Roadshows it is a process where communities are being provided an opportunity to discuss developmental issues as required by Chapter 4, Section 16 & 17 of the Municipal Systems Act, which stipulates that municipalities are required to develop a culture of public participation and consult their respective communities on developmental issues. Public engagements to discuss the draft IDP and budget for the 2020/21 financial year were successfully held through radio communication due to Covid - 19.

2.5 IDP Alignment

Horizontal Alignment

Alignment of the uMzinyathi IDP with the local municipalities is imperative to ensure that there is a sharing of information – particularly with regards to strategies, objectives, programmes and projects, and it will be undertaken through the Planning and Development Forum which convenes on bi-monthly basis. The frequency of the meetings for the assisted the district family to monitor alignment issues constantly.

Vertical Alignment

Alignment with Sector department and Service providers will also take place as it is essential in order for the district family to have consistence planning and also priorities can be indicated in their project prioritization. This was undertaken through meetings or one-on-one basis. The district convened two (2) IDP_LED Technical Committee meetings (IDP Representative Forums), these meetings serve to discuss integration issues and also to present to Sector Departments the key municipal priorities which require funding for implementation in the next financial year, and also to obtain feedback from Sector Departments also for integration.

COMPONENT D: CORPORATE GOVERNANCE

Risk Management

During the year under review, the municipality conducted a Risk Assessment during the weeks of the 10th -22 June 2020 via the Zoom platform due to the COVID 19 pandemic which produced a Risk Register to be implemented during the 2020/2021 financial year. In the compilation of the Risk Register, the municipality adhered to the guidelines provided for by the National Treasury.

On the main, the primary objective of risk register is to facilitate the execution of risk management. The register was prepared to give effect to the implementation of the risk management in the municipality and set out all risk management activities which were planned for the 2020/2021 financial year.

The top five (5) risks of the municipality are as follows:

- Insufficient water provision to the district
- Inadequate monitoring of the approved budget
- Inadequate and ineffective records management services
- Failure to prepare financial statements and components thereof in accordance with the GRAP standards
- Ineffective system of internal controls for assets

Based on the above indicated risks, the municipality has developed an action plan for implementation which serves as a management tool for the risks, and is monitored on a quarterly basis. Furthermore, the municipality is to establish a risk Management committee that will ensure all risk mitigation strategies are implemented in order to attain the strategic objectives of the municipality.

Anti – Corruption and Fraud

The municipality developed this policy with the intention of demonstrating its stance against “fraud and corruption” as well as to reinforce existing systems, policies, procedures, rules and regulations of the municipality aimed at determining, preventing, detecting, reacting to, and reducing the impact of fraud and corruption, where such dishonest activities exist. Furthermore, the purpose of this policy document is to confirm that the Municipality supports and fosters a culture of Zero Tolerance to fraud and corruption in all its activities.

The policy intends to deal with to all attempts and incidents of alleged / suspected fraud and corruption impacting or having the potential to impact on the Municipality. The policy has been under effective implementation during the year under review.

2.6 Supply Chain Management

The municipality developed the Supply Chain Management Policy and Procedures in terms of section 111 of the Local Government Municipal Finance Management Act (No.56 of 2003). The implementation of the policy applied when the municipality, procures goods or services; disposes goods no longer needed; selects contractors to provide assistance in the provision of municipal services otherwise than in circumstances where Chapter 8 of the Municipal Systems Act applies; or selects external mechanisms referred to in section 80 (1) (b) of the Municipal

Systems Act for the provision of municipal services in circumstances contemplated in section 83 of that Act. The implementation of the policy has been under effective implementation during the year under review.

2.7 By-Laws

In terms of the By-laws the municipality has two which have been promulgated namely for Water Services By-Law and Environmental Health By-Law there have been under effective implementation during the year under review.

2.8 Municipal Website

The municipality has a website which has been operational for a number of years as required in terms of Section 21 (b) of the Municipal Systems Act (No 32 of 2000). During the year under review, the municipal website consisted of public documents as required in terms of the Municipal Systems Act (No 32 of 2000) and Municipal Finance Management Act (No 56 of 2003) e.g., IDP, Budget, SDBIP, Policies, Annual Report, and Spatial Development Framework etc.

2.9 Internal Auditors

The function of the Internal Auditors is to provide assurance to management on issues of Governance, Risk and Control Audit. The permanent audit team members remained at two (2) staff members and one additional member joined through secondment from CoGTA as an intern. The municipal Internal Audit Unit is co-sourced through the appointment of an Internal Audit firm to complement the current existing structure of the Internal Audit function of the municipality with a view to strengthen the areas of skills and human capacity.

The internal audit function oversees the internal audit function of the municipality through provision of independent and objective assurance on the effectiveness of Governance, Risk Management and Internal Control.

INTERNAL AUDIT PLAN IMPLEMENTATION AND PROGRESS MADE IN THE 2020/2021 FINANCIAL YEAR.

The 2020/2021 Risk Based Annual Internal Plan was implemented effectively despite Covid-19 restrictions throughout the financial year. Internal Audit executed its projects and communicated areas of weaknesses identified to both Management and Audit Committee for appropriate implementation and rectification. During the 2021/2022 financial year, Internal Audit will conduct Follow-up Audits with a view to establish the latest position and status of these in the year ending 30 June 2022.

IMPLEMENTATION OF RESOLUTIONS TAKEN BY THE AUDIT COMMITTEE.

Despite the difficult financial year, management managed to implement the implementable Audit Committee recommendations/resolutions. Some recommendations could not be implemented due to instability in Senior Management position(s) and municipal pecuniary difficulties.

COMPLIANCE OF THE IA UNIT WITH IA CHARTER AND OTHER GUIDING PRESCRIPTS SUCH AS THE KING 4 REPORT AND SECTION 165 OF THE MFMA.

Internal Audit unit has maintained the highest compliance attitude towards the Approved Internal Audit Charter and King IV and International Standards for the Professional Practice of Internal Audit. In compliance with these standards, the unit is working on implementing concerns raised by the External Independent Quality Assessor in the prior year on areas of sourcing the system/tool to be used by the Internal Audit to automate all Internal Audits.

PROGRESS MADE WITH RESOLVING FINDINGS ON THE AG ACTION PLAN WHEN COMPARED TO 2017/18 FINANCIAL YEAR.

The municipal external audit opinion stagnated prior year. Various issues were contributing factors and these include inter alia issues on Infrastructure Assets, Trade Payables, Expenditure, VAT, Revenue, Distribution of Water Losses etc.

Despite challenges faced by the municipality, the municipality has managed to clear a number of qualifying issues raised in the prior year. Through beefing of departmental teams, the municipality is hoping to achieve a better audit outcome this financial year.

OTHER MATTERS OF PUBLIC INTEREST

The Internal Audit unit of the municipality is committed to remain independent of the municipal functions and objective in discharging duties on both assurance and consulting roles. The unit is also committed to continue bringing a systematic and disciplined approach

in evaluating and improving the effectiveness of Risk Management, Governance and Control processes.

3 CHAPTER 3: SERVICE DELIVERY PERFORMANCE

COMPONENT A: BASIC SERVICES

3.1 Water Provision

During the year under review, the target for water provision in terms of households was One Thousand five hundred (1500), the municipality managed to provide water to ninety-eight (98) households. The underperformance was caused by the legal issues on the Muden Canal agreement regarding the use of the water source between the

Municipality and the farm owners, a legal opinion was drafted and submitted on the agreement that was originally sent by the farm owners which was in disagreement with the terms of use of the Muden Canal, the Technical Services department is seeking alternative sources to ensure the water provision, a business plan in this effect has been submitted to the Department of Water and Sanitation.

The National Lockdown also hampered the provision of service delivery with a number of projects that had to be put on hold in compliance to the national lockdown regulations. A number of water projects were complete in terms of construction and incomplete in terms of operations due to the water source challenges and drought conditions.

The below table outlines the water projects that were applicable for the 2020/21 financial year;

Table 18:Water projects

UMZINYATHI DISTRICT MUNICIPALITY: PROJECTS REPORT 2020 TO 2021 FINANCIAL YEAR								
Water Projects 2020-2021								
No.	LM	Ward No.	No. of Households	Project Name	Funding source	Budget 2020-2021	Expenditure 2020-2021	Variance
1	Nquthu	3,4,5		Ntinini Regional Water	MIG	25 000 000	62 185 755	-37 185 755
2	Msinga	6,7		Mthembu West - Tugela Ferry Water	MIG	21 266 845	20 488 692	778 153
3	Msinga	1,2		Douglas Water	MIG	10 000 000	15 033 338	-5 033 338
4	Msinga	2,3,4		Msinga bulk	MIG	15 000 000	38 645 978	-23 645 978
5	Umvoti/Msinga	14		Muden Regional	MIG	15 000 000	20 647 746	-5 647 746
6	Umvoti/Msinga	10		Kwakopi	WSIG	15 610 045	31 293 591	-15 683 546
7	Umvoti	8		Ophathe water supply	MIG	8 691 509	0	8 691 509

UMZINYATHI DISTRICT MUNICIPALITY: PROJECTS REPORT 2020 TO 2021 FINANCIAL YEAR

Water Projects 2020-2021

No.	LM	Ward No.	No. of Households	Project Name	Funding source	Budget 2020-2021	Expenditure 2020-2021	Variance
8	Umvoti	7,9,10		Greytown regional bulk	RBIG	16 738 000	9 628 211	7 109 789
9	Umvoti	5,6		Makhabeleni Water supply	MIG	10 000 000	2 761 439	7 238 561
10	Nquthu	11, 14		Thelezini/Ndindindi	MIG	5 115 241	3 657 500	1 457 741
11	Endumeni	1		Ruigtefontein & Kunene Farm Water Supply	MIG	5 000 000	2 128 441	2 871 559
12	Mvoti	4	98	Lilani Water Supply	WSIG	5 369 768	579 016	4 790 752
13	Endumeni	1,2,3,4,5,7		Biggarsberg water supply	WSIG	8 720 187	26 434 930	-17 714 743

UMZINYATHI DISTRICT MUNICIPALITY: PROJECTS REPORT 2020 TO 2021 FINANCIAL YEAR

Water Projects 2020-2021

No.	LM	Ward No.	No. of Households	Project Name	Funding source	Budget 2020-2021	Expenditure 2020-2021	Variance
14	Msinga	2		Gunjani Water Supply	WSIG	10 235 000	17 620 738	-7 385 738
15	Msinga	8		Nkubungwini Water Supply	WSIG	10 000 000	4 346 884	5 653 116
16	Endumeni	6		Tayside Abstruction Works	WSIG	15 000 000	38 434	14 961 566
17	Nquthu	1,2,13		Nquthu Emergency Water Supply	MIG	3 284 728	5 056 489	-1 771 761
			98	TOTAL WATER		200 031 323	260 547 182	-60 515 859

Rudimentary Projects 2019-2020

UMZINYATHI DISTRICT MUNICIPALITY: PROJECTS REPORT 2020 TO 2021 FINANCIAL YEAR**Water Projects 2020-2021**

No.	LM	Ward No.	No. of Households	Project Name	Funding source	Budget 2020-2021	Expenditure 2020-2021	Variance
No.	LM	Ward No.	No. of Households	Project Name	Funding source	Budget 2018-2019	Expenditure 2018-2019	Variance
1	All	All	0	Rudimentary projects	MIG	-	-	-

Sanitation Provision

During the year under review, the target for sanitation provision in terms of households was one hundred and fifty (150), the municipality managed to provide sanitation facilities to one hundred and nine households (109). The details of the infrastructure sanitation projects implemented are as follows:

Table 19:Sanitation project

No.	LM	Ward No.	No. of Households	Project Name	Funding source	Budget 2020-2021	Expenditure 2020-2021	Variance
1	Umvoti	7,8,10,11&14	800	Umvoti sanitation	MIG	12 000 000	10 150 220	1 849 780
			800	TOTAL SANITATION		12 000 000	10 150 220	1 849 780

Other Infrastructure Projects

During 2020/21 financial year, the municipality obtained grant funding through the Municipal Infrastructure Grant for the construction of a modern District Disaster Management Centre. Endumeni Municipality donated land to the district for the construction of an advance District Disaster Management Centre, and the progress in terms of the construction of the center has been stagnant due to the ongoing investigation. Other infrastructure projects are as follows:

Table 20:Other Infrastructure projects

No.	LM	Ward No.	No. of Households	Project Name	Funding source	Budget 2019-2020	Expenditure 2019-2020	Variance
1	Various	N/A	N/A	PMU Support	MIG	9 358 250	7 032 161	2 326 089
				TOTAL OTHER PROJECTS		9 358 250	7 032 161	2 326 089

3.2 Expanded Public Works Programme (EPWP)

The EPWP program is one of government's shining light, where job opportunities are being created each and every financial year. uMzinyathi District Municipality is one of the most rural and poor districts in the province, work opportunities are few and far between, our people depend on programs such as EPWP to sustain themselves.

The EPWP program is a twelve-month contract. EPWP office in the district strives to make sure participants are being capacitated with skills that will help them to create job opportunities after the program.

This program has employed more than two hundred 200 participants during the 2020/21 financial year through EPWP grant and currently running eight (8) projects, with a budget of R5 457 000-under Integrated Grant (IG).

The programme has introduced sewing project where participants are being trained in sewing and textile so that they will be able to created job opportunities for themselves. Also, there is a mash wire project where participants are also capacitated with a skill to manufacture mash wire using their hands instead of the machines. This project will also help in creating job opportunities.

Mash wire project

Co-function of uMzinyathi District is water and sanitation, EPWP offices has also created projects that will accommodate this function which is **War on Leaks** project and **Land Fill Site** projects. The aim is to train participants in these filled so that they will be able to get skills which will help them in the near future.

Participants are being encouraged to make sure that they use each and every opportunity that they have been given. Also, the office makes sure that the participant stays only twelve months on the programme so that more people will get opportunities.

3.3 Regional Landfill Sites

The uMzinyathi district municipality is responsible for the provision of waste disposal facilities for all the locals within the district's jurisdiction. The District Municipality became aware of the necessity to initiate investigations into regional facilities to assist in the provision of waste disposal facilities for local municipalities. Constraints over the availability of suitable land and implications of the cost of transportation of waste were considered and it was decided that two sub-regional landfill sites (North and South) would be a more viable option. DEA provided a budget of approximately R15 Million for the establishment of the South Regional Landfill site.

In a council meeting held on the 20th of August 2015 it was resolved that: The council accept the donation of land from Umvoti to uMzinyathi District Municipality which is LOT 5695, for the development of the regional landfill site.

On the 4th of August 2020 a meeting was held at the Mvoti local Municipality where the Department of Environmental Affairs officially handover the license of the Southern Sub-regional landfill to the uMzinyathi District Municipality. This meeting was attended by the project sponsor Department of Environmental Affairs and two out of the three project reciprocates who are the uMzinyathi District Municipality and Mvoti Local Municipality. The Department of Environmental Affairs indicated that the district would have to assume full responsibility for the facility and any activity that is to take place on it with immediate effect. It was also stressed that the District as the License holder should ensure that compliance matters for the facility are adhered to in order to prevent getting penalized by EDTEA who is the waste management license authority and issuer.

The Umzinyathi district municipality is responsible for the provision of waste disposal facilities for all the locals within the district's jurisdiction. The District Municipality became aware of the necessity to initiate investigations into regional facilities to assist in the provision of waste disposal facilities for local municipalities. Constraints over the availability of suitable land and implications of the cost of transportation of waste were considered and it was decided that two sub-regional landfill sites (North and South) would be a more viable option. DEA provided a budget of approximately R15 Million for the establishment of the South Regional Landfill site.

In a council meeting held on the 20th of August 2015 it was resolved that: The council accept the donation of land from Umvoti to Umzinyathi District Municipality which is LOT 5695, for the development of the regional landfill site.

On the 4th of August 2020 a meeting was held at the Umvoti local Municipality where the Department of Environmental Affairs officially handover the completed landfill along with all pertinent documentation to uMzinyathi District Municipality. This meeting was attended by the project sponsor Department of Environmental Affairs and two out of the three project reciprocates who are the uMzinyathi District Municipality and Mvoti Local Municipality. The Department of Environmental Affairs indicated that the district would have to assume full responsibility for the facility and any activity that is to take place on it with immediate effect. It was also stressed that the District as the License holder should ensure that compliance

matters for the facility are adhered to in order to prevent getting penalized by EDTEA who is the waste management license authority and issuer.

As of the 09th November 2020 Ziphелеle Planning & Environmental Consultancy the appointed service provider who was commissioned to undertake the Section 78 Assessment commenced with what was a 2 month long anticipated study, which ended up being protracted to 7 months due to various project delays. The assessment period commenced on 19 November 2021 with an Inception and planning meeting up until 15 June 2021 where it ended in a submission of a close-out report.

As it stands the site is still not operational because there are some technical matters that need to be resolved at National Level up in Pretoria because during the phase of construction two companies had been appointed to do the work of the companies being (TGC Engineering) which had completed 85% of the construction works before they left and another company (Future-works) was appointed to complete the remaining 15%. The issue currently is with the Engineers Completion certificate which is required by the Department of water and sanitation, because the department does not approve of the certificate which was submitted as the Department was of the view that the certificate does not meet its requirements especially where it concerns the Engineers degree of accountability for the work that has been done on site.

In this regard The Department of Environmental Affairs has stepped in to try and resolve the situation by requesting both engineers to submit all necessary documents and at this point (Future-works) have submitted what is required of them and (TGC engineering) has not because it feels that that certain financial obligations to it have not been met and this is holding up the process of uMzinyathi receiving authorization to commence with operations on the landfill.

Although the close out report for the Section 78 Assessment has its recommendations listed out thoughtfully, a joint EXCO meeting still need to sit so that a final decision will be taken as to who will operate the facility between the interested and affected Municipalities

Current status/condition of facility:

The site, since it was handed over to the waste permit holder (uMzinyathi District Municipality) has been vandalized to an extent as about 95meters of security fence was stolen from the fenced cell one area. For which a case was opened in Greytown Police station on 15 April 2021 (case number: CAS 105/4/2021)

Vegetation which had been removed has since begun to regrow on the facility which has resulted in a District Municipality driven EPWP project known as the Southern Sub-Regional landfill vegetation removal and litter picking project which has commenced on the site for the purposes of meeting waste permit regulations so as to reduce the possibility of fires developing and damaging the facility.

3.4 Road Asset Management System

Information will be updated in the final draft Annual Report.

Drought Programme

During the year under review, the municipality has been implementing a drought programme which is as follows:

- Awareness campaigns in all four local municipalities informing the community about the seriousness of drought and saving of water;
- Water restriction measures have been implemented to allow water levels to rise at the reservoirs;
- Communities requested to reduce their water consumption to 15000 litres per month or less;
- Provision of water tankers to provide water to the communities;
- Partially closing of water at night to reduce night flows;
- Implementation of various springs and borehole programme to provide water;
- Sourcing funding from the Department of Co-operative Governance and Traditional Affairs, Department of Water and Sanitation, Rural Development and Land Reform to address the drought situation.

Background

O&M is responsible for the following services:

- 13 Water, 4 Waste Water Treatment Plants/Works with Kranskop Sewer Works and 3 Sewer Ponds,
- 94 Production Boreholes Schemes i.e., Stand Alone Schemes,
- Water Tankering Services,
- Services Networks and related pump station both Water and Sewer
- Hand Pump Boreholes (Rudimentary Schemes)

During the year under review, the municipality has been implementing a drought programme which is as follows:

- Awareness campaigns in all four local municipalities informing the community about the seriousness of drought and saving of water;
- Water restrictions are measures that have been implemented to allow water levels to rise in reservoirs.
- Communities requested to reduce their water consumption to 15000 litres per month or less;
- Provision of water tankers is to provide relief of water to both rural and urban communities;
- Partially closing of water at night to reduce night flows and avoid leakages;
- Implementation of various springs and borehole programme for water and augmentations of water resources;
- Sourcing funding from the Department of Co-operative Governance and Traditional Affairs, Department of Water and Sanitation, Rural Development and Land Reform to address the drought situation.

Operation and Maintenance

The provision of water within the district is highly affected as the result of drought, the current situation is cause for concern as we have not had substantial rain in the last Six (8) years, which resulted in the dam levels dropping substantially, springs drying-up, boreholes yield drying-up, and water tables reduced.

RESPONSE TO COVID 19

LOCAL MUNICIPALITY	WATER TANKER BY DISTRICT: COMMUNITY SUPPLY	TAXI RANKS (5000L WATER TANK)	Number of trucks supplying (TANKERS)	RAND WATER AND COGTA WATER TRUCKS	Extra Tankers by District	STATIC TANKS DELIVERED TO LM's	STATIC TANKS DELIVERED To Amakhosi
Endumeni LM	3	3	1		1	35	
Umvoti LM	6	6	1		1	67	
Nquthu LM	7	10	1	2	1	67	
uMsinga LM	8	8	1	2	2	67	
TOTAL	24	27	4	4	5	236	30

OVERVIEW ON WATER PROVISIONING

MUNICIPAL	STATUS AND ACTIVITIES
Endumeni LM	<ul style="list-style-type: none"> Endumeni has increased daily consumption from 8 Mega litres to 11 Mega litres per day from December 2017 to September 2018, Plant Designed Capacity is 14 Mega litres per Day yet running at 11 Mega litres per day ENDUMENI IS CURRENTLY ON WATER RESTRICTION FOR 3 HOURS DURING THE DAY BETWEEN 10:00 TO 13:00, Thimble sanitation network is still a challenge due to summer period and the residents are linking roof water with drainage system which overload pipe system.
Umvoti LM	<ul style="list-style-type: none"> Challenging areas are Solomon Mzolo, Slum Clearance RDP Houses and part of Nhlalakahle on both water and sanitation services. We urge communities to refrain on putting solid material in our sanitation networks and avoid the stealing of manhole covers. Craigieburn Dam still not of benefit as the situation is beyond municipal control.
Msinga LM	<ul style="list-style-type: none"> Sampofu WTW supply 3 Mega Litre per day, New Package Plant not yet Operational pending on snag works that Aqua Plant to complete its works. This will address 70% of network area to have running water include the Pomeroy Town. Mashona Booster Pump has been installed, although still other areas are still without water
Nquthu LM	<p>Vant's Drift</p> <ul style="list-style-type: none"> New motor and a pump have been connected but having electrical fault at all-time, Heavy-Duty Engineers has been called to provide Engineer Report on Corrective Measures. Matter also reported to DWS, currently preparation of business plan to upgrade the Plant from 10ML/Day to 22ML/Day. Isandlwana WTW was off for almost 3 weeks due to Eskom disconnection on none payment, matter has been resolved Eskom was paid on 03 December 2020

NOTES: Revenue Enhancement Project

- O&M Currently busy with the disconnection of Services both by UDM team and 1 external service provider to assist on Revenue Enhancement,
- Process has started with Government Debt, Commercial and Businesses, the municipality is currently working on Domestic users for disconnection due to none-payment of accounts.

- Our revenue section from Budget and Treasury Office is processing the request for our team to implements,

WATER TANKERING SERVICES

- Water Tankering services function has now been moved to Development Facilitation Unit,
- 20 New Water Tankers was purchased by the municipality during October 2019
- 4 Water Tanker Monitors are now appointed covering all the 4 Local Municipality areas,
- Rosters are per schedule submitted before the committee and council with due modification as per Council Resolution, i.e., alignment of Villages and Ward Numbering.
- All additional hired water tankers are operational on as when required basis.

STATE OF YELLOW PLANT

Plant Machinery Type	Location of Asset (District Municipality/Local Municipality)	Benefitting Ward(s)	Intended use of Asset (Project or Service)	Total Incidents Reported this month
2 Water Tankers	1 - Umvoti LM, 1 - Msinga LM	All wards as they were fitted onto the roster	Water Tankering Services	3 Tyre Replacement, no mechanical fault so far
2 Honey Sucker	1 - Nquthu LM, 1 - Endumeni	Nquthu Ward 5, Endumeni Ward 1	Emptying of Septic Tanks	None
1 Solid Waste Truck	Dundee	All District Area	Movement of Municipal Assets on as when Required through the District	None
1 Back Roller	Umvoti LM	Regional Landfill Site	Compacting of Solid Waste as the District Was Handed Over a Regional Landfill Site	None
2 TLB	1 - Endumeni, 1 - Umvoti	All Wards	Graveyard and Cleaning Dumping Sites	None

2 Rollers	Dundee	All Wards	Utilise by Water Services Team	None
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PROJECTS FOR 2020/2021 OPERATIONS AND MAINTENANCE

All due procurement plans for O&M are submitted to finance and the following tenders were issued, O&M TENDERS

BID	PROGRESS	COMPLETION DATE
SUPPLY, DELIVERY, INSTALLATION OF FUEL TANK and refuelling	100%, the municipality no longer uses consultants in provisioning of fuel for both Municipal Vehicles and Rudimentary Schemes	01 June 2021
SUPPLY, DELIVERY Bulk and Domestic Meters	Bulk meters delivered and 20% of 1000 domestic meters still to be delivered	31 July 2021
PURCHASE OF MUNICIPAL VEHICLES	The municipality is currently planning of purchasing 22 municipal vehicles for water services	October 2021
APPOINTMENT OF A PANEL OF MECHANICAL AND ELECTRICAL CONTRACTORS FOR PROVISION OF MAINTENANCE SERVICES (WATER AND SANITATION) FOR PERIOD OF 3 YEARS	Currently sitting at Bid Award Stage	June 2021
Panel for the supply and delivery of protective clothing	Awarded and delivery of Protective Clothing is currently underway	June 2021

TO BE SUBMITTED TO SCM FOR 4TH QUARTER

- TENDER DOCUMENT - O&M - APPOINTMENT OF PANEL FOR THE MANAGEMENT OF STEPIND WORKERS FOR A PERIOD OF 3 YEARS FOR UMZINYATHI DISTRICT MUNICIPALITY
- Hiring-of-containers for office space - Greytown
- Supply-and-Delivery-of-Tools-and-Machinery
- Fleet and Off-Road Towing/Tire Replacement and Diesel Mechanics for Municipal

PROJECTS FOR 2021/2022 OPERATIONS AND MAINTENANCE

The following activities were made possible through our Project Management Unit to improve water provisioning and also assist Operations and Maintenance Unit on its limited budget;

- a. Vant's Drift Electrical Rehabilitation and Upgrade of Aging Infrastructure,
- b. Reprioritisation of MIG in repairing of dysfunctional hand pump boreholes,
- c. National Cogta on drilling of new 25 hand-pump and 20 production boreholes work in progress,
- d. Analysis of all dysfunctional hand-pump boreholes in view of sourcing funding for repairs,
- e. MISA to assist with Water Conversation and Water Demand Management in also addressing the Water Losses,

PLANT PRODUCTION FIGURES

Name of Plant	Jul-20	Aug-20	Sep-20	Oct-20	Nov-20	Dec-20	Jan-21	Feb-21	Mar-21	Apr-21	May-21	Jun-21
Monthly Volumes	m ³	m ³	m ³	m ³	m ³	m ³	m ³	m ³	m ³	m ³	m ³	m ³
Fabeni Water Treatment Works	1 162,00	1 176,00	1 177,00	1 534,00	1 354,00	1 353,00	1 862,00	1 438,00	1 905,00	1 795,00	1 736,00	1 570,00
Keats Drift Water Treatment Works	2 938,00	2 379,00	3 868,00	7 964,00	7 038,00	5 207,00	6 961,00	6 905,00	5 964,00	7 652,00	6 732,00	6 024,00
Sampofu Water Treatment Works	135 374,00	130 112,00	126 534,00	131 630,00	118 194,00	103 014,00	120 034,00	109 795,00	127 765,00	124 220,00	132 191,00	116 233,00
Pomeroy Water Treatment Works	2 680,00	1 779,00	2 287,00	2 982,00	1 438,00	4 178,00	2 843,00	1 825,00	2 352,00	3 018,00	2 767,00	854,00
Makhabeleni Water Treatment Works	17 018,00	15 645,00	16 188,00	19 209,00	16 373,00	14 838,00	14 309,00	13 222,00	14 082,00	15 045,00	10 767,00	10 033,00
Greytown Water Treatment Works	143 579,00	142 220,00	144 288,00	119 427,00	178 654,00	147 344,00	154 003,00	132 163,00	144 064,00	141 199,00	150 338,00	137 338,00
Muden Water Treatment Works	35 883,00	157 362,00	96 960,00	100 681,00	68 047,00	92 080,00	103 488,00	82 334,00	49 134,00	84 656,00	117 224,00	96 011,00
Kranskop Water Treatment Works	17 834,00	16 356,00	17 499,00	19 512,00	19 324,00	19 101,00	17 288,00	19 020,00	17 852,00	15 556,00	14 040,00	16 732,00
Nquthu/Vant's Drift Water Treatment Works	260 920,00	257 960,00	248 920,00	247 610,00	206 940,00	228 410,00	208 010,00	206 670,00	253 990,00	241 390,00	256 190,00	208 880,00
Isandlwana Water Treatment Works	5 101,00	2 859,00	1 985,00	2 766,00	2 932,00	3 776,00	11 675,00	5 407,00	27 612,00	1 000,00	6 419,00	10 115,00
Qhudeni Water Treatment Works	10 693,00	9 779,00	11 668,00	11 846,00	10 370,00	10 882,00	10 405,00	8 340,00	12 672,00	12 562,00	4 273,00	9 974,00
Nondweni Water Treatment Works	31 660,00	28 150,00	25 170,00	20 540,00	20 860,00	31 560,00	37 490,00	39 480,00	45 110,00	45 080,00	31 890,00	2 170,00
Biggarsberg Water Treatment Works	481 221,00	469 818,00	451 273,00	483 173,00	488 808,00	505 477,00	497 406,00	461 709,00	490 887,00	329 182,00	511 214,00	638 713,00
Total	1 146 063,00	1 235 595,00	1 147 817,00	1 168 874,00	1 140 332,00	1 167 220,00	1 185 774,00	1 088 308,00	1 193 389,00	1 022 355,00	1 245 781,00	1 254 647,00

Year ending		Jun-20	Jul-20	Aug-20	Sep-20	Oct-20	Nov-20	Dec-20	Jan-21	Feb-21	Mar-21	Apr-21	May-21	Jun-21
Population served	No.	554 822	554 822	554 822	554 822	554 822	554 822	554 822	554 822	554 822	554 822	554 822	554 822	554 822
Households served	No.	112 470	112 470	112 470	112 470	112 470	112 470	112 470	112 470	112 470	112 470	112 470	112 470	112 470
Connections - total	No.	95 201	95 201	96 401	95 548	95 294	95 785	95 143	95 309	95 724	94 716	95 453	95 607	95 840
Connections - metered	No.	16 801	16 801	18 001	17 148	16 894	17 385	16 743	16 909	17 324	16 316	17 053	17 207	17 440
Domestic (and non-domestic)	No.	15 588	15 588	16 547	15 713	15 562	15 880	15 243	15 489	15 762	14 926	15 532	15 702	15 898
Non-domestic	No.	1 213	1 213	1 454	1 435	1 332	1 485	1 500	1 420	1 562	1 390	1 521	1 505	1 542
Connections - unmetered	No.	78 400	78 400	78 400	78 400	78 400	78 400	78 400	78 400	78 400	78 400	78 400	78 400	78 400
Households / connection	No.	1,2	1,2	1,2	1,2	1,2	1,2	1,2	1,2	1,2	1,2	1,2	1,2	1,2
Length of mains	km	1 696	1 696	1 696	1 696	1 696	1 696	1 696	1 696	1 696	1 696	1 696	1 696	1 696
Connections / km	No. / km	56	56	57	56	56	56	56	56	56	56	56	56	57
Average system pressure	m	40	40	40	40	40	40	40	40	40	40	40	40	40
Time system pressurised	%	67%	67%	67%	67%	67%	67%	67%	67%	67%	67%	67%	67%	67%
Apparent losses	%	52%	48%	43%	43%	43%	43%	43%	43%	43%	43%	43%	43%	43%

POPULATION AND BILLING STATS

SYSTEM IN-PUT DATA

Year ending		Jun-20	Jul-20	Aug-20	Sep-20	Oct-20	Nov-20	Dec-20	Jan-21	Feb-21	Mar-21	Apr-21	May-21	Jun-21
Consumer meter age	%	7%	7%	7%	7%	7%	7%	7%	7%	7%	7%	7%	7%	7%
Illegal connections	%	38%	35%	30%	30%	30%	30%	30%	30%	30%	30%	30%	30%	30%
Data transfer	%	7%	6%	6%	6%	6%	6%	6%	6%	6%	6%	6%	6%	6%
System input volume	kl/annum	1 146 063	1 146 063	1 235 595	1 147 817	1 168 874	1 140 332	1 167 220	1 185 774	1 088 308	1 193 389	1 022 355	1 245 781	1 254 647
Own sources	kl/annum													
Other sources	kl/annum	1 146 063	1 146 063	1 235 595	1 147 817	1 168 874	1 140 332	1 167 220	1 185 774	1 088 308	1 193 389	1 022 355	1 245 781	1 254 647
Authorised Consumption	kl/annum	469 700	549 777	549 997	594 505	495 463	595 603	355 496	504 646	324 024	304 744	422 040	344 060	760 064
Indicator as % of system input volume														
% Revenue water		40,9%	45,3%	19,7%	46,3%	37,3%	46,1%	30,4%	42,6%	21,2%	32,8%	41,4%	17,3%	61,3%
% Non-revenue water		59,1%	54,7%	80,3%	53,7%	62,7%	53,9%	69,6%	57,4%	78,8%	67,2%	58,6%	82,7%	38,7%
% Water Losses		59,1%	54,7%	80,3%	53,7%	62,7%	53,9%	69,6%	57,4%	78,8%	67,2%	58,6%	82,7%	38,7%
System input volume unit consumption														
Litres / capita / day		68	68	73	68	69	68	69	70	64	71	61	74	74
m ³ / household / month		10	10	11	10	10	10	10	11	10	11	9	11	11
m ³ / connection / month		12	12	13	12	12	12	12	12	11	13	11	13	13
Authorised Unit Consumption														
Litres / capita / day		28	31	14	31	26	31	21	30	14	23	25	13	46
m ³ / household / month		4	5	2	5	4	5	3	4	2	3	4	2	7
m ³ / connection / month		5	5	3	6	5	5	4	5	2	4	4	2	8
Domestic (& ND) m ³ / connection / month		4	3	1	4	3	4	3	3	1	3	3	1	3
Non-domestic m ³ / connection / month		101	158	108	110	85	123	70	145	104	73	70	91	297

3.6 Free Basic Services

The municipality has a policy in place, which provides for provision of free basic services to the households in terms of water. Indigent households within the district receive 6 kiloliters (6000 liters) of free basic water.

COMPONENT B: PLANNING AND ECONOMIC DEVELOPMENT

3.7 Spatial Planning

DISTRICT DEVELOPMENT PLAN (DDM)

The District Development Model was initiated by the President of South Africa Mr. Cyril Maphosa in his Budget Speech in 2019. Subsequently, the District Development Model (DDM) was discussed and adopted by Cabinet, the 2019 Presidential Coordinating Council (PCC), the March 2020 extended PCC and various MINMECs.

To date the three spheres of government have been largely operating in silos, a challenge that has led to a lack of coherence in planning and implementation and has made monitoring and oversight of government's programmes extremely difficult. The consequence of this has been a non-optimal delivery of services and a diminished impact on the triple challenges of poverty, inequality and employment.

The DDM approach consists of a process by which joint and collaborative planning is undertaken at local, district and metropolitan levels by all three spheres of government resulting in a single strategically focused One Plan for each of the 44 districts and 8 metropolitan geographic spaces in the country, wherein the district is seen as the 'landing strip'.

The DDM approach is seen as a practical Intergovernmental Relations (IGR) mechanism to enable all three spheres of government to work together, with communities and stakeholders in order to plan, budget and implement efficiently and effectively.

The District Development Model (DDM) is based on the following Objectives:

- Solve the Silos at a horizontal level and vertical level;
- Maximize impact and align plans and resources at our disposal through the development of One District, One Plan and One Budget;
- Narrow the distance between people and government by strengthening the coordination role and capacities at the district and city levels;
- Ensure inclusivity by gender budgeting based on the needs and aspirations of our people and communities at a local level;
- Build government capacities support to Municipalities;
- Strengthen monitoring and evaluation at District and Local Levels;
- Implement a balanced approach towards development between urban and rural areas;

- Ensure sustainable development whilst accelerating initiatives to promote poverty eradication, employment and equality; and
- Exercise oversight over budget and projects in an accountable and transparent manner.

A DDM workshop was held on the 18 May 2021 where stakeholders from all sector departments, parastatals, Local Municipalities and community structures were invited to compile an integrated DDM report. The workshop was successful and a report was compiled and submitted to the Technical and Political hub for adoption on the 08th June 2021. The district DDM champion is currently undertaking meetings with locals to ensure that the DDM is fully and properly implemented within all LM's and government departments.

SPATIAL DEVELOPMENT FRAMEWORK (SDF)

Section 26 (e) of the Local Government: Municipal Systems Act, No. 32 of 2000 (MSA) and Chapter 4, Part E of the Spatial Planning and Land Use Management Act (SPLUMA), require a municipality to prepare and adopt an SDF as a component of its Integrated Development Plan (IDP). The main purpose of the SDF is to guide the form and location of future spatial development within a Municipal area. It is a legislative requirement and has a legal status. It supersedes all other spatial plans that guide development at local government level. It provides an analysis of the spatial problems and provides strategies and programs to address the challenges. In summary, the SDF has the following benefits: The SDF facilitates effective use of scarce land resources. It facilitates decision making with regard to the location of service delivery projects.

- It guides public and private sector investment.
- It strengthens democracy and spatial transformation.
- It promotes intergovernmental coordination on spatial issues.
- It should forecast population growth for the next five years.
- It should be inclusive of future demands for housing needs, future engineering infrastructure capacity requirements and services.
- It serves as a framework for the development of detailed Land Use Management Systems.
- It should make proposals for precinct plans in areas that are developing in an unplanned fashion.

In the 2019/20 financial year uMzinyathi district received grant funding to the value of R800 000.00 to review the SDF to be SPLUMA compliant. A service provider was

appointed in February 2019 to undertake this study. The service provider failed to deliver on a number of phases of the project which therefore resulted in the termination of their contract on the 25th of November 2019.

There were delays due to the Covid19 epidemic in appointing a new service provider to assist in the compilation of the SDF. A planning specialist was further appointed in June 2020 to compile the SDF, the project consists of eight phases. To date all the phases of the project were completed and the document has been put out to the public for comments. The closing date for all comments is the 20th September 2021 and once all comments have been addressed in the document the report shall then be presented to council for adoption.

DEVELOPMENT PLANNING SHARED SERVICES (DPSS)

In the 2020/21 financial year uMzinyathi district received grant funding to the value of R500 000.00 from department of Cooperative Governance and Traditional Affairs (COGTA) for the implementation of the Development Planning Shared Services (DPSS). The district appointed four (4) Planning interns which were placed at Msinga, Nquthu, Endumeni local Municipality and uMzinyathi district Municipality.

The grant was utilized to remunerate the four interns on a monthly basis and to purchase of four laptops for the planning department. The interns training period came to an end at the end of February 2021 and the district was unable to extend the internship. To date the grant has been fully utilized in line with the signed MOU.

ESTCOURT, WEENEN, AND MUDEN NODAL DEVELOPMENT PLAN

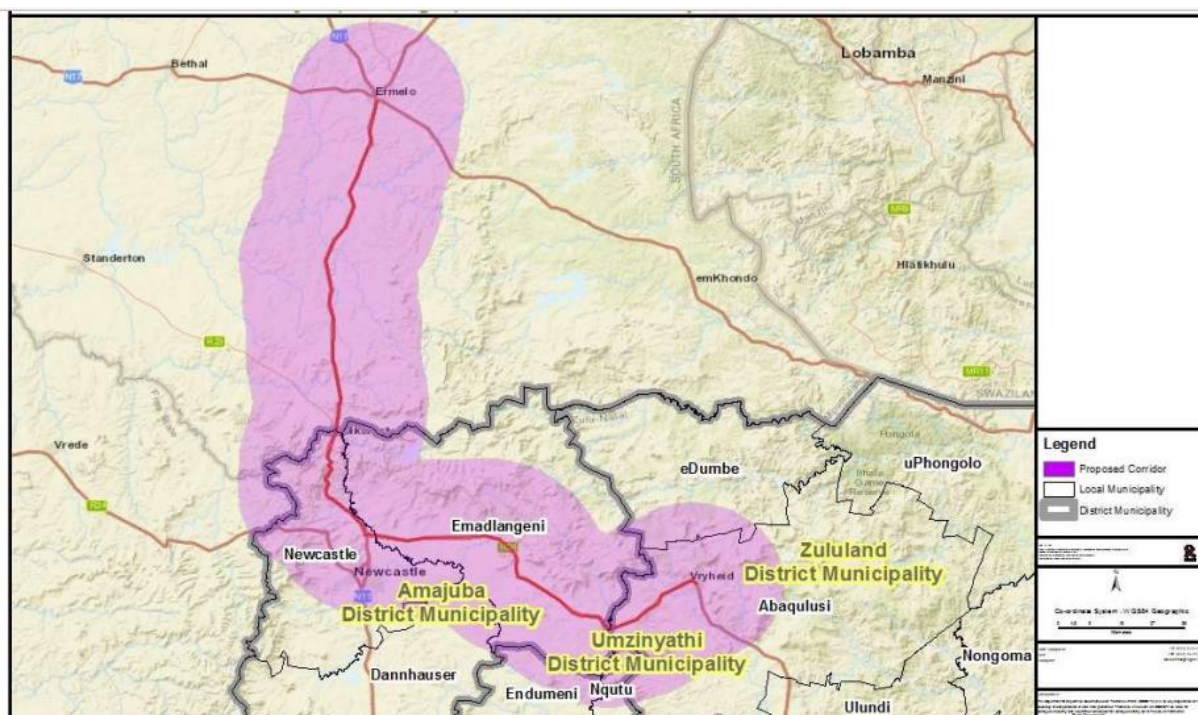
The Municipal Systems Act (Act No. 32 of 2000) (herein referred to MSA) mandates that all local government entities prepare an integrated development plan (herein referred to as IDP) which is the five-year plan for the development of the entire district and local municipality.

Further to this, Spatial Planning and Land Use Management Act (Act No. 16 of 2013) states that all municipalities (both district and local) need to develop a Spatial Development Framework (herein referred to as an SDF) for the entire municipal area. In addition, the act states that SDF needs to identify nodes for which more detailed plans should be developed for the optimization of resources in those areas.

The uThukela District Municipality, in response to the abovementioned, have commissioned the development of Nodal Plans for the Estcourt, Weenen, and Muden Nodes located in the INkosi Langalibalele, and Umvoti Local Municipalities.

On 30 August 2019, the Spatial Planning Unit within the Department of Cooperative Governance and Traditional Affairs (CoGTA) initiated a process to develop a Strategic Corridor Development Plan for the Vryheid-Emadlangeni-Newcastle-Ermelo Corridor (Vene Corridor). The project boundary cuts across three district municipalities namely Amajuba, uMzinyathi and Zululand District Municipality.

Figure 1: Corridor study area



Source: Draft Vryheid, Emadlangeni, Newcastle, Ermelo corridor development plan

The Presidential Infrastructure Commission launched a National Infrastructure Development Plan which consists of 18 Strategic Integrated Projects (SIPs). This proposed Vryheid-Emadlangeni- Newcastle - Ermelo Corridor is one of the identified projects within what is referred to as SIP1 i.e., SIP 1: Unlocking the northern mineral belt with Waterberg as the catalyst.

The SIP project objectives, as captured by the Presidential Infrastructure Coordinating Commission (PICC) at the launch of SIP2 on 18 June 2012, are to:

- Unlock mineral resources.
- Rail, water pipelines, energy generation and transmission infrastructure.

- Thousands of direct jobs across the areas unlocked.
- Urban development in Waterberg - first major post-apartheid new urban center
- will be a “green” development project.
- Rail capacity to Mpumalanga and Richards Bay.
- Shift from road to rail in Mpumalanga.
- Logistics corridor to connect Mpumalanga and Gauteng.

The uMzinyathi district Planning team alongside MISA, Planning support seconded to the district, are PSC members to the project and provide comments and ensure alignment where necessary on behalf of Mvoti LM and the uMzinyathi district as a whole. COGTA has requested that an item be submitted to the uMzinyathi district council for endorsement.

The project has eight (8) phases and to date seven of the phases have been successfully completed with the final draft Nodal Plan awaiting to be presented to council for endorsement. The final phase of the project is Phase H: Project Close-Out which will be undertaken once there is a council resolution.

3.8 Geographical Information System

The Geographic Information Systems (GIS) unit is wholly responsible for maintaining, sourcing and updating of geo-spatial information for the municipality. To achieve this, the unit implements projects and programs which are executed within each financial year through municipal equitable share or grant funding where it is available.

- Technical support to internal departments such as Technical Services (Water Services), Disaster Management, Spatial planning and Community Services as part of the unit's internal clients is ongoing. The following are some of the Key geo-spatial projects which took place in this financial year:
- Improved field data capturing methods by investing in a high accuracy data capturing devices and cloud technology with Trimble in Sphere cloud services
- Coordinated and captured spatial data of Sanitation infrastructure in four local municipalities as a mapping exercise to update our sanitation geo-database for future backlog analysis.
- Developed a municipal GIS web-portal for internal and external clients where spatial data and webservices are hosted and deployed. The maintenance of such a website is ongoing and related updates are as and when they are required. The portal is accessible at <https://gis.umzinyathi.gov.za/portal/apps/sites/#/gis-hub>

- Provided training for illegal dumping sites mapping where all illegal dumping sites in the district are now spatially referenced and mapped for monitoring purposes.
- Provided spatial data required for the Spatial Development Framework (SDF) review by the service provider in accordance with legislation.
- Coordinated and championed the development of Umzinyathi Water Services and Development Plan (WSDP) where spatial data and related analysis were conducted and water services infrastructure database was also updated with key project information
- Improved Information Technology (IT) infrastructure by investing in high end Computer hardware in a form of laptops for displaying, storing and retrieval of spatial data.
- Continued customer service support to consultants and contractors providing services to the municipality where day to day spatial data queries in a form of requests (Maps, raw data) are attended to and resolved.

3.9 Environmental Health

The Environmental Health unit main objective is to ensure compliance to the National Health Act: National environmental health norms standards for premises and acceptable monitoring standards for Environmental Health Practitioners. In the implementation of this task the unit implemented the following initiatives during the 2020/21 financial year:

- Forty-one (41) early childhood development centers (ECDs) were inspected in 2019/2020 financial year.
- Environmental Health Practitioners inspected sixty-one (**61**) building plans for approval.
- Two hundred and nine (209) water samples were taken to monitor water quality for domestic purposes.
- Forty-two (42) food outlets were inspected and fifteen (15) Certificates of acceptability issued.
- Forty-three (43) food premises were inspected to ensure food safety for public.

- Two Joint food inspections with other stakeholders were conducted to enforce compliance of food premises with different legislations at Nquthu and Endumeni.
- Patients reported for dog bites by health facilities were investigated to prevent rabies infections.
- Environmental Health Practitioners, Department of Agriculture and rural development (State veterinary service unit) conducted health education on rabies and dogs and cats were vaccinated against rabies from 02 September 2019 to 13 September 2019.
- Three food poisoning cases were investigated and health education was provided to prevent food poisoning from affected families.

This was done to ensure compliance to the National Health Act: National environmental health norms standards for premises and acceptable monitoring standards for Environmental Health Practitioners.

3.10 Local Economic Development

DISTRICT ECONOMIC DEVELOPMENT AGENCY

The establishment of the District Economic Development Agency is a direct response to the resolution of the KwaZulu Natal Cabinet Lekgotla of September 2012 which stated that all District municipalities had to establish such entities which would serve as Special Purpose Vehicles to drive investment promotion and the implementation of high impact catalytic economic projects.

The District Development Agency is intended to be a special purpose vehicle that will assist the District Municipality in executing its economic development mandate by focusing on the following:

- Unlocking the economic development potential of the area with the implementation of bankable and sustainable economic development programmes and projects.
- Contribution towards unlocking the inherent entrepreneurial potential of local business people.
- Ensuring a coordinated approach towards economic development.

- Facilitating and coordinating investment attraction, retentions and expansions.
- Promoting the creation of appropriate supporting infrastructure required for strategic economic development.

The feasibility assessment exercise that was undertaken in accordance with section 78 of the Municipal System Act 32 of 2000 as amended concluded that to ensure sustainability of the entity, a number of options i.e., structuring of the entity as well as funding models would have to be considered (the various options are clearly outlined in the final s78 assessment report)

In the financial year under review, the District Municipality finalised the process of appointing the Development Agency Board of Directors. The agency is having 8 Board members, now operational after the Council had seconded the staff to assist while the recruitment process is being done. Budget of R7, 5 million was approved for the entity to start its operations which fully commenced in July 2020.

Agency is operating at the leased offices at 84 Gladstone Street in Dundee. The Service Level Agreements have been signed with the parent municipality and process of signing SLAs with local municipalities is underway.

The process to date has unfold as follows:

DATES	ACTIVITY
December 2018	<ul style="list-style-type: none"> • Appointment of Service Provider
January 2019	<ul style="list-style-type: none"> • Commencement of MSA Section 78 feasibility study
February 2019	<ul style="list-style-type: none"> • District Municipality Management engaged on the feasibility report and preliminary findings
March 2019	<ul style="list-style-type: none"> • Portfolio Committee engaged on the Feasibility Report and Conceptual document • Agency conceptual framework and Business Plan
April 2019	<ul style="list-style-type: none"> • Consultation with Local Municipalities on Conceptual framework and Section 78 report • Agency Draft Budget
May 2019	<ul style="list-style-type: none"> • Budget Approval

	<ul style="list-style-type: none"> • Service Level Agreement -content • Advertisement for Board Nomination (approval)
June 2019	<ul style="list-style-type: none"> • Council Approval of establishment process, Budget for the Agency
July 2019 – September 2019	<ul style="list-style-type: none"> • Advertisement period for Board members
November 2019	<ul style="list-style-type: none"> • Potential Board Members interviews
December 2019	<ul style="list-style-type: none"> • Submission of reports to Exco and Council on recommended Board Members • Adoption of recommendations
January 2020	<ul style="list-style-type: none"> • Appointment of Board Members • Office Accommodation or space secured
February 2020	<ul style="list-style-type: none"> • Board of Directors induction session
March 2020	<ul style="list-style-type: none"> • Board of Directors strategic planning session
April 2020	<ul style="list-style-type: none"> • First Board meeting, which was followed by number of meetings of Board and its operational committees.
July 2020	<ul style="list-style-type: none"> • Board is now fully functional, with secondees from the District Municipality • Position of CEO is still in Acting Capacity
June 2021	<ul style="list-style-type: none"> • First financial year end of the Agency

AGRI PARKS PROGRAMME

The Department of Rural Development and Land Reform has been mandated to create sustainable rural communities across the country through its Comprehensive Rural Development Programme. To achieve this mandate, the department embarked on developing a fresh approach to rural development.

The department is working with various stakeholders, including organized agriculture, to establish 27 Agri-Parks across the country in order to kick-start rural economic transformation in the identified areas.

The Agri-Parks is defined as a networked innovation system of Agro-production, processing, logistics, marketing, and training and extension services, located in a District Municipality. As a network it enables a market-driven combination and integration of various agricultural activities and rural transformation services. The Agri-Parks comprises three distinct but interrelated basic components. The Agri-Parks are expected to be linked directly to the identified production areas that support communities, smallholder farmers and emerging black farmers.

The National Development Plan views agriculture as critical to employment and food security. It is estimated that Agriculture would potentially create a million jobs by 2030. Vision 2030 of the National Development Plan (NDP) calls for an inclusive rural economy wherein: rural communities should have greater opportunities to participate fully in the economic, social and political life of the country.

People should have access to high-quality basic services that enable them to be well nourished, healthy and increasingly skilled. Rural economies will be supported by agriculture, and where possible by mining, tourism, Agro-processing achieved through successful land reform, job creation and poverty alleviation.

The 2030 vision speaks of the inclusivity and integration of rural areas, through successful land reform, job creation and poverty alleviation, and places Agriculture as the driving force behind this vision.

The NDP identifies the following as key catalytic interventions which include “expansion of irrigated agriculture, supplemented by dry-land production where feasible. In areas of low economic potential, the NDP speaks of the importance of basic services such as basic education, health care, basic services and social security to support the development of human capital.

Agri-parks will serve as important mechanisms to execute the NDP's proposed rural development strategy due to their potential for supporting small-scale agricultural production and stimulating Agro-processing in rural areas. One core element of this approach is conducting commodity and value-chain analyses and mapping exercises to establish Agri-parks based on the growth potential of value-adding commodities.

As such, each Agri-park will focus on specific prioritized commodities that have the highest prospect of succeeding in their region.

This is directly in line with the NDP's approach of targeting high value commodities (most of which are labour intensive) to stimulate industrial growth, accompanied by measures that ensure sustainable production on redistributed land and an improved institutional support system. In this regard, the NDP identifies certain agricultural sub-sectors that have the most potential for development, which are categorized into large labour-intensive industries, smaller labour-intensive industries, and large existing industries with significant value-chain linkages.

For instance, small-scale labour-intensive agriculture, including macadamia, pecan nut, rooibos tea, olive, fig, cherry and berry industries, are found to have the greatest expansion potential due to the significant market demand for these products.

The NDP projects that approximately 80 000 jobs can be created by further developing these particular areas of small-scale agriculture. By providing the necessary inputs, facilities, institutions, market-linkages and partnerships, Agri-parks can enable producers and rural residents to create new and expand existing enterprises in these industries, which will have positive growth impacts on the rural economy.

Through desktop research and consultation with stakeholders, uMzinyathi District Municipality has identified the following key agricultural commodities for the proposed uMzinyathi Agri-park:

- Beef - Endumeni Municipality
- Maize/dry beans (agronomy) - Endumeni /Nquthu
- Vegetables - Msinga/Umvoti (Muden)

A detailed feasibility study was undertaken by CSIR consultants and the report that was developed informed the documents that it is now referred to as the uMzinyathi District Agri-parks Master Plan which reaffirms the focus in the identified key commodities further and looks at the establishment and operationalization of the other components of the Agri-parks i.e., the Agri-hub, the Farmer Production Support Units (FSUs) and the Rural Urban Market Centre Unit (RUMC).

The exercise has proposed that the uMzinyathi Agri-hub be located in Endumeni Local Municipality and that the priority commodity and key project in the Agri-hub be the meat

processing and deboning plant as well as support facilities such as abattoirs. A feasibility study for the meat processing and deboning plant which was undertaken in 2012 identified Glencoe as the ideal site due to the availability of requisite infrastructure and services.

Farmer Production Support Unit (FPSUs) components of the Agri-parks concept which are located in strategic areas where there is a concentration of agricultural activities. FPSU provide services such as mechanization, input storage and distribution, extension services etc. A number of FPSUs have been identified across all four Local Municipalities, based on the concentration of agricultural activities of the identified key commodities.

LED PROGRAMMES

The district municipality has made financial and material commitments after council adopted the Airpark Masterplan in December 2017. Based on the Masterplan uMzinyathi was identified as a potential supplier of green and dry maize, beans and meat commodities and has targeted support for small-scale and emerging farmers with inputs and mechanisation.

The table and pictures below present a summary of the progress for the economic development projects which were implemented during the year under review by the District Municipality to the various agricultural projects and what was achieved after the harvesting period:

The projects have been identified as follows:

Table: Projects for 2020/21 Agri-Parks Programme

Project	Number of beneficiaries	Intervention
Escengceni Cooperative Msinga Ward 15	35	The municipality supported with tractor service and inputs (dry bean seeds, maize seed chemicals and fencing material) to fill up the gaps on site. The Department of Agriculture and Rural Development (DARD) provided technical support.
Delicato Cooperative Msinga Ward 17	35	The municipality supported with tractor service and inputs (dry beans, maize and fencing material) to cover 50 ha that earmarked for planting.

Mazabeko Cooperative Msinga Ward 18	30	The municipality supported with tractor service and inputs such as dry beans, maize and fencing material to cover 10 ha that earmarked for planting.
Othini Cooperative Msinga Ward 14	20	The municipality assisted the project with inputs and tractor services and planting of 20ha dry beans.
Matshematshe Cooperative Msinga Ward 2	25	The municipality supported with tractor service and inputs such as dry beans and maize to cover 15 ha. The Department of Agriculture and Rural Development (DARD) provided technical support.
Kwaleje Trust Cooperative Umvoti Ward 08	15	The municipality assisted the group with land preparation and planting 5ha of dry beans. The Department of Agriculture will provide technical support to the project.
Skeepesdaal Cooperative Umvoti Ward 11	12	The municipality assisted the group with land preparation and planting 10 ha of dry beans. The Department of Agriculture will provide technical support to the project.
Aachen Cooperative Umvoti Ward 11	15	The municipality will be assisting the group with land preparation and planting 20 ha of dry beans. The Department of Agriculture will provide technical support to the project.
Mbeje Cooperative Nquthu Ward 1	35	The municipality will be assisting the cooperative with plantation of 30 ha of dry beans and 20 ha of dry maize group with land. The Department of Agriculture will provide technical support and mechanisation to the project.
Darshana Cooperative Nquthu Ward 16	20	The municipality will be assisting the cooperative with plantation 30ha of dry

		beans. The Department of Agriculture will provide technical support and mechanisation to the project. Status quo of the project currently no progress plantation of dry beans will start in January 2021
Mango Cooperative Nquthu Ward 12	5	The municipality will be assisting the cooperative with the plantation of 10 ha of dry beans The Department of Agriculture will provide technical support and mechanisation to the project.
Ezamakunene Cooperative Endumeni Ward 1	14	The cooperative planted 160 ha of butternut the municipality will with inputs such as fertilizers and chemicals. Department of Agriculture will provide technical support to the project. Status quo of the project currently planted 60 ha of butternut still in progress with another ha
Young Farmers' Cooperative Endumeni Ward 1	5	The cooperative planted 20 ha of dry beans and 20 ha of dry maize, the municipality will be assisting the cooperative with inputs and tractor services. The Department of Agriculture will provide technical support to the project. Status quo of the project currently they planted 20 ha of dry maize 20 ha of dry beans.

Market support

All the commodities produced are sold to various markets available within and outside the district e.g. AFGRI, Value Park and Khonzinkosi Spar are key markets that assist cooperatives in the district. Cooperatives are expected to re-invest the income received from the above sales in terms of purchasing inputs for oncoming season before considering their dividends.

Commodities: Beans, maize

TOURISM SUPPORTED PROGRAMMES

Support of tourism institutions with grant funding

- Battlefields Route Association
- Community Tourism Organisations (CTO) Nquthu; Endumeni, Msinga and Umvoti

ANNUAL TOURISM SIGNATURE EVENTS SUPPORTED BY DISTRICT MUNICIPALITY

- Msinga Drift-Khana (Mphelankani)

The below table provide progress on projects that were undertaken in the 2020/21 financial year:

No	Project Name	Project Budget	Performance Information		Financial Information		Comments
			Projected Performance for the fourth Quarter	Actual Performance for the Fourth Quarter	Projected Expenditure for the Fourth Quarter	Actual Expenditure for the Fourth Quarter	
1	Local Economic Development 1.1 Small scale farmers development programme	4 000 000.00 Adjustment Budget: 4 531 000.00	<ul style="list-style-type: none"> Project identification, selection and assessment. District Agricultural Educational Tour Procurement of inputs and fencing. Planting season commencement 	Achieved. Not achieved. Achieved	1 031 000	Quarter 4 R958 300 32 Cumulative R4 167 411.51	12 projects have been identified. Target has been postponed to 3 rd quarter. Awaiting seeds.

No	Project Name	Project Budget	Performance Information		Financial Information		Comments
			Projected Performance for the fourth Quarter	Actual Performance for the Fourth Quarter	Projected Expenditure for the Fourth Quarter	Actual Expenditure for the Fourth Quarter	
			<ul style="list-style-type: none"> • Payment of EPWP labourers • Project Monitoring 	<p>Achieved</p> <p>On going</p>			
	Capacity Building		<ul style="list-style-type: none"> • Training of Cooperatives • Monitoring of trainings 	<p>Not achieved</p> <p>Not achieved</p>			Due to budget constraints.

No	Project Name	Project Budget	Performance Information		Financial Information		Comments
			Projected Performance for the fourth Quarter	Actual Performance for the Fourth Quarter	Projected Expenditure for the Fourth Quarter	Actual Expenditure for the Fourth Quarter	
	Mechanisation		<ul style="list-style-type: none"> Procurement of Ploughing equipment Repairs and maintenance 	Achieved			Repair of tractors has been completed.
2.	Marketing and Tourism Project Development: Signage	3 000 000.00 Adjustment Budget: 2 607 000.00	<ul style="list-style-type: none"> Identification of tourism signage need within the district & following of relevant protocols with Department of Transport Procurement and Installation signage. 	Achieved	250 000	Quarter 4 R0.00 Cumulative R1 231 333.19	

No	Project Name	Project Budget	Performance Information		Financial Information		Comments
			Projected Performance for the fourth Quarter	Actual Performance for the Fourth Quarter	Projected Expenditure for the Fourth Quarter	Actual Expenditure for the Fourth Quarter	
			<ul style="list-style-type: none"> Installation of signs 	Not achieved			Due to budget constraints.
	Tourism Strategy		<ul style="list-style-type: none"> Advertisement and appointment of service provider to develop Tourism 	Achieved			Inception report has been.

No	Project Name	Project Budget	Performance Information		Financial Information		Comments
			Projected Performance for the fourth Quarter	Actual Performance for the Fourth Quarter	Projected Expenditure for the Fourth Quarter	Actual Expenditure for the Fourth Quarter	
			Strategy. <ul style="list-style-type: none"> • Project Monitoring 	On going			
	Mangeni Waterfall feasibility study		<ul style="list-style-type: none"> • Advert and appointment of service provider to review of Mangeni Waterfall Feasibility study • Project Monitoring and 	Achieved			

No	Project Name	Project Budget	Performance Information		Financial Information		Comments
			Projected Performance for the fourth Quarter	Actual Performance for the Fourth Quarter	Projected Expenditure for the Fourth Quarter	Actual Expenditure for the Fourth Quarter	
			completion	Achieved			
	Rorkes Drift Zulu Village		<ul style="list-style-type: none"> • Support of Rorkes Drift Zulu Cultural Village • Project Monitoring and completion 	<p>In progress</p> <p>On going</p>			Support will be refurbishment of Zulu huts.

No	Project Name	Project Budget	Performance Information		Financial Information		Comments
			Projected Performance for the fourth Quarter	Actual Performance for the Fourth Quarter	Projected Expenditure for the Fourth Quarter	Actual Expenditure for the Fourth Quarter	
	KZN Film Commission		To facilitate the development of District Film Development Plan engagement.	Not achieved			Due to National Lockdown
			Filming of District film Hotspots	Not achieved			
	Support to Towards staging of the District Signature Events		<ul style="list-style-type: none"> • Submission of proposal to Portfolio Committee • Staging of the Talana 	Achieved			Dundee July was held on the 20 July 2019.

No	Project Name	Project Budget	Performance Information		Financial Information		Comments
			Projected Performance for the fourth Quarter	Actual Performance for the Fourth Quarter	Projected Expenditure for the Fourth Quarter	Actual Expenditure for the Fourth Quarter	
	<ul style="list-style-type: none"> - Tourism Month -District cultural Festival - Dundee July -Talana live - Isandlwana Commemoration. 		<p>and Dundee July.</p> <ul style="list-style-type: none"> • Tourism Month Celebration. • District Cultural Festival. • Isandlwana commemoration • Support of identified youth tourism project. 	<p>Achieved</p> <p>Achieved</p>			<p>Tourism month was held on the 27 – 29 September 2019 across the</p>

No	Project Name	Project Budget	Performance Information		Financial Information		Comments
			Projected Performance for the fourth Quarter	Actual Performance for the Fourth Quarter	Projected Expenditure for the Fourth Quarter	Actual Expenditure for the Fourth Quarter	
			<ul style="list-style-type: none"> • Ncome Tourism expo • Support of craft development project 	Achieved			district.
				Achieved			Celebrated Jan 2020

No	Project Name	Project Budget	Performance Information		Financial Information		Comments
			Projected Performance for the fourth Quarter	Actual Performance for the Fourth Quarter	Projected Expenditure for the Fourth Quarter	Actual Expenditure for the Fourth Quarter	
				Achieved			Traditional attire was procured for the groups.
				Not achieved			
				Not achieved			

No	Project Name	Project Budget	Performance Information		Financial Information		Comments
			Projected Performance for the fourth Quarter	Actual Performance for the Fourth Quarter	Projected Expenditure for the Fourth Quarter	Actual Expenditure for the Fourth Quarter	
	Creating and supporting Tourism institutional structures to support Marketing of the District.		<ul style="list-style-type: none"> • Preparation of business plans by the CTOs and Battlefields Route Association • Approval of plans • Disbursement of grants • Hosting of Provincial tourism Investment 	<p>Achieved</p> <p>Achieved</p> <p>Partially</p>			Three Municipalities have received their grants with the exception of Msinga Lm

No	Project Name	Project Budget	Performance Information		Financial Information		Comments
			Projected Performance for the fourth Quarter	Actual Performance for the Fourth Quarter	Projected Expenditure for the Fourth Quarter	Actual Expenditure for the Fourth Quarter	
				achieved			
				Not achieved			
	Tourism Exhibition show		KZN Travel and Adventure show	Not achieved			Due to Covid 19
			Tourism Indaba	Not achieved			
			Royal show				

No	Project Name	Project Budget	Performance Information		Financial Information		Comments
			Projected Performance for the fourth Quarter	Actual Performance for the Fourth Quarter	Projected Expenditure for the Fourth Quarter	Actual Expenditure for the Fourth Quarter	
				Not achieved			
3.	District Development Agency 2.1 Establishment of Special purpose vehicle to drive economic programmes	1 800 000.00	<ul style="list-style-type: none"> Facilitation and Honouring of Registration and Fees associated with DDA Launch of DDA Procuring of relevant Branding / Marketing Implementation of the DDA action Plan 	<p>Achieved</p> <p>Not achieved</p> <p>Not achieved</p>	300 000.00	<p>Quarter 4</p> <p>R655 621.74</p> <p>Cumulative</p> <p>R676 542.40</p>	<p>Launch was postponed due to Covid 19</p>

No	Project Name	Project Budget	Performance Information		Financial Information		Comments
			Projected Performance for the fourth Quarter	Actual Performance for the Fourth Quarter	Projected Expenditure for the Fourth Quarter	Actual Expenditure for the Fourth Quarter	
			<ul style="list-style-type: none"> Hosting of DDA Strategic Planning Appointment of Board of Directors Launch of DDA 	<p>On going</p> <p>Achieved</p>			Strategic plan was held on 12 th -13 th march 2020.

No	Project Name	Project Budget	Performance Information		Financial Information		Comments
			Projected Performance for the fourth Quarter	Actual Performance for the Fourth Quarter	Projected Expenditure for the Fourth Quarter	Actual Expenditure for the Fourth Quarter	
				Achieved			
				Not achieved			
	2.2 LED unit Strategic Support		<ul style="list-style-type: none"> To monitor the implementation of LED Implementation Plan 	On going			
4.	Small Enterprise	1 000 000.00	<ul style="list-style-type: none"> Development of SMME database 	In progress	100 000.	Quarter 4	Project is at the recruitment

No	Project Name	Project Budget	Performance Information		Financial Information		Comments
			Projected Performance for the fourth Quarter	Actual Performance for the Fourth Quarter	Projected Expenditure for the Fourth Quarter	Actual Expenditure for the Fourth Quarter	
	Development		<ul style="list-style-type: none"> District SMME Indaba Formalisation of Informal economy Support and training of SMME structures Project Monitoring 	<p>Achieved</p> <p>In progress</p>		<p>R17 750.00</p> <p>Cumulative</p> <p>R237 851.40</p>	<p>phase.</p> <p>Indaba held in uMsinga LM.</p> <p>Municipalities are in the process of data capturing.</p>

No	Project Name	Project Budget	Performance Information		Financial Information		Comments
			Projected Performance for the fourth Quarter	Actual Performance for the Fourth Quarter	Projected Expenditure for the Fourth Quarter	Actual Expenditure for the Fourth Quarter	
				Not achieved			
				Partly achieved			
			<ul style="list-style-type: none"> District LED Study Tour 	Not achieved			Due to COVID 19 pandemic
			<ul style="list-style-type: none"> Formalisation of informal economy 	Not achieved			
			<ul style="list-style-type: none"> Implementation of two 	Achieved			Training is

No	Project Name	Project Budget	Performance Information		Financial Information		Comments
			Projected Performance for the fourth Quarter	Actual Performance for the Fourth Quarter	Projected Expenditure for the Fourth Quarter	Actual Expenditure for the Fourth Quarter	
			manufacturing projects				completed has been Graduation was held on the 10 October 2019.

NB: The other details of other projects which were implemented by the municipality during the year under review are indicated under Chapter 5 which is the organizational performance report.

3.11 Disaster Management

The Disaster Risk Management Act 2002, (Act No.57 of 2002), Section 43 and 50 outlines the establishment and functions of the Centre in order to ensure an integrated and coordinated approach to Disaster Risk Management within the district. uMzinyathi District Municipality Disaster Management Risk Centre was established in August 2003 and is fully functional and operating 24 hours a day, 7 days a week.

The MIG application was presented to the MIG Unit within COGTA during February 2015 and on the 28th February 2015 grant funding to the amount of R33m was approved for the uMzinyathi District Disaster Management Centre. An additional amount of R10m was approved by COGTA. The last PSC meeting was held on the 28th July 2017 and the site meeting took place on 31th August 2017. At present, the project is 82% complete. The estimated actual expenditure to date is an estimated R38 000 000. Due to some unforeseen circumstances, the project has been temporary suspended and the revised date for the completion of the project will be reviewed once construction commences again.

The investigation report has been completed, on the 26th September 2019 it was tabled to Council for approval and recommendations on the 20th December 2019 but due to lack of information from the quantity surveyor, the report will be tabled again on the next council with the quantity surveyor and investigators present for clarity purposes. A new service provider was appointed to commence with the construction of phase one on the 22nd February 2021. Currently the project is sitting on 60% of the revised scope of work.

Information management and communication:



DISASTER MANAGEMENT VOLUNTEERS

In terms of section 58(1) of the Disaster Management Act No. 57 of 2002, Chapter 7 says a District Municipality may establish a unit of volunteers to participate in disaster management in the municipality.

The uMzinyathi District Municipality Volunteers Unit was established in July 2018 in order to build the capacity of both district and local municipalities, 32 Volunteers are based on the local municipality disaster management services; they are being monitored on the weekly basis on the following:

- Disaster and Fire Risk Reduction and Preparedness
- Disaster and Fire Response and Recovery
- Disaster and Fire Public Awareness

Volunteer Training

The uMzinyathi District sent its volunteers for training as part of capacity development to the training offered by Rural Metro Training Academy at Greytown from 07th December 2020 to the 18th December 2020. Those trained volunteers were still with the district for the year 2019/2020 financial year. The course comprised of the following training programme

Course Details

- Emergency Communication
- First Aid
- Basic Fire Fighting
- Emergency Evacuation

Volunteer Training Session

uMzinyathi District Municipality Volunteers



uMzinyathi District Municipality Volunteers



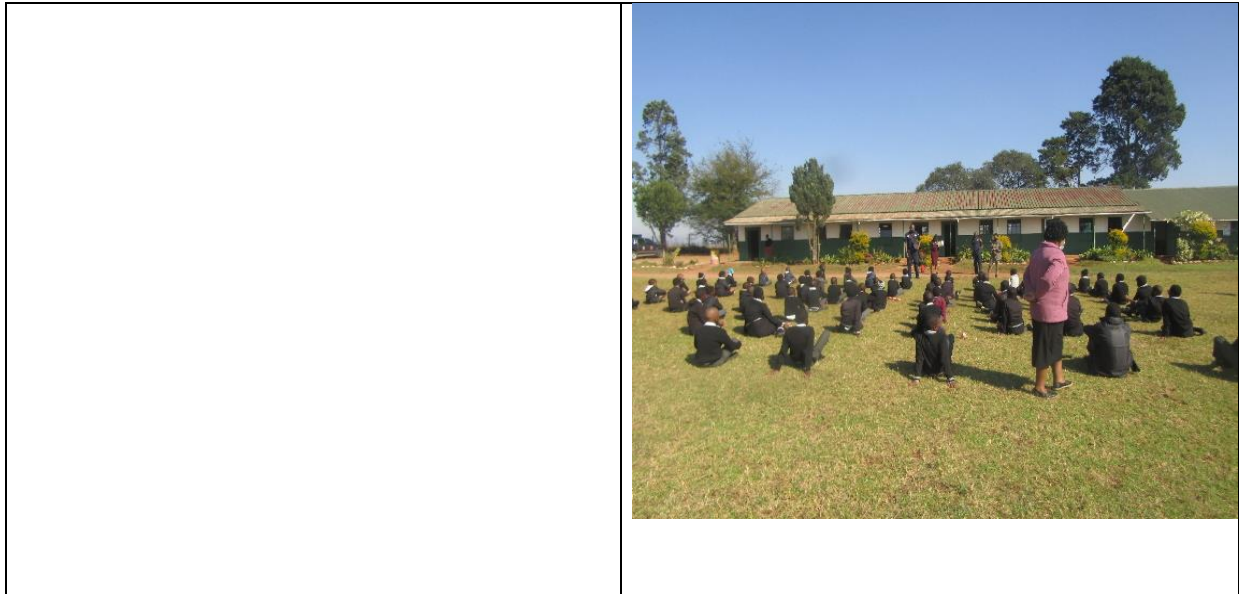
DISASTER RISKS REDUCTION

The municipality also undertook twenty-one (21) public awareness campaigns in various schools and communities around the district, this was to sensitize the learners and public of the precautions during a disaster and important contact numbers in the event where there is a disaster or hazard



Awareness at Mandleni area in ward 3 at Msinga on the 07TH October 2020

Awareness campaign conducted at Halalisani Primary on 06th May 2021 at Mizpah in ward 10 at uMvoti



RESPONSE AND RECOVERY

A total of one thousand four hundred and seventy- three **(1473)** households with five thousand four hundred and twelve **(5412)** people were affected throughout the district during the year 2019/2020 from two hundred and twenty- seven **(227)** incidents. This was due to severe weather in the form of heavy rains, lightning, structural fires and strong winds, etc. Of concern was the increase of structural fires to one hundred and seventeen **(117)** which mostly occurred in the uMvoti Local Municipality with almost half of the incidents at fifty- four **(54)**. Another factor to consider was the glimpse of climate change impact experienced by all local municipalities during the second quarter of 2020/2021, where very rough weather conditions accompanied by hailstorm resulted in the loss of lives and caused damage to property. The COVID – 19 was the latest concern being the world pandemic from March 2020.

During the year 2020/2021 twenty- nine **(29) fatalities** occurred with forty- two **(42)** being injured as a result of house fires, drowning and lightning strikes. One body **(01)** was never recovered and disaster personnel are still on the lookout.

SUMMARY STATISTICS OF INCIDENTS

Local Municipalities	Types of Incidents	Number of	Households Affected	Houses Destroyed		People Affected	Homeless People	Fatalities	Injuries	Missing Persons
				Totally destroyed	Partially Damaged					
	SW – Strong Winds, VF – Fires, FL – Floods, HR – Heavy Rains, L –Lightning, HF – House fires, HS – Hailstorm, SC – Structural Collapse, DR - Drowning									
Nquthu	HF	39	359	219	217	2439	00	17	12	00
	L	09								
	S W	11								
	SC	02								
	HR	12								
	D	02								
Total		75	359	219	217	2439	00	17	12	00
Endumeni	HF	25	37	19	22	190	00	01	01	00
	S W	02								
	L	06								
	HR	13								
Total		46	37	19	22	190	00	01	01	00
UMsinga	HF	49	518	142	245	2303	00	19	02	00
	S W	21								
	L	16								
	HR	07								

Local Municipalities	Types of Incidents	Number of	Households Affected	Houses Destroyed		People Affected	Homeless People	Fatalities	Injuries	Missing Persons
				Totally destroyed	Partially Damaged					
	HS	02								
Total		95	518	142	245	2303	00	19	02	00
Umvoti	HF	51	454	63	618	2693	00	03	06	00
	S W	07								
	HR	10								
	L	03								
	HS	13								
	SC	02								
	DR	01								
	FL	01								
Total		88	454	63	618	2693	00	03	06	00
Overall Totals	HF	162	1308	443	1105	7598	05	38	26	00
	L	34								
	S W	41								
	HS	15								
	SC	04								
	HR	43								
	DR	03								
	FL	01								

Local Municipalities	Types of Incidents	Number of	Households Affected	Houses Destroyed		People Affected	Homeless People	Fatalities	Injuries	Missing Persons
				Totally destroyed	Partially Damaged					
Total		304	1308	443	1105	7598	05	38	26	00

The below pictures illustrate the severity of the damage caused by the disaster that affected our citizens greatly:



Structural fire on 17th May 2021 at Greytown in ward 9 at uMvoti



Structural fire on the 5th May 2021 at Muden in ward 8 at uMvoti



Structural fire on the 25th June 2021 at Kunene Farm in ward 5 at Endumeni



Hailstorm on the 3rd June 2021 at Matimatolo in ward 2 at uMvoti

SUMMARY OF ASSISTANCE PROVIDED

The table below provides a summary of assistance provided to disaster victims

LM	Blankets	Tents	Salvage sheets	Plastic Sheets	Mattresses	Food Hampers	Building material	Box B
UMVOTI	217	04	00	44	34	00	00	01
MSINGA	94	00	00	29	11	23	13	01
NQUTHU	329	00	40	74	62	00	08	12
ENDUMENI	63	01	00	07	31	02	16	00
TOTAL	703	05	40	154	138	25	37	14

FIRE SERVICES

During the financial year (July 2020 to June 2021) the Fire Departments within uMzinyathi responded to four hundred and twelve (412) incidents by various fire stations; ninety-eight (98) building fires, fifty-two (52) motor vehicle incidents (mva`s), two hundred and eleven (211) grass fires, sixteen (16) commercial fires, eleven (11) transport fires, five (05) drowning incident and nineteen (19) electric fires.

There were one hundred and twenty- three (123) injuries and thirty-four (34) fatalities noted through motor vehicle incident and there were three (03) injuries and eight (08) fatalities noted through fire incident throughout the district.



MVA R74 Nseleni area going towards Kranskop on the 24th April 2021

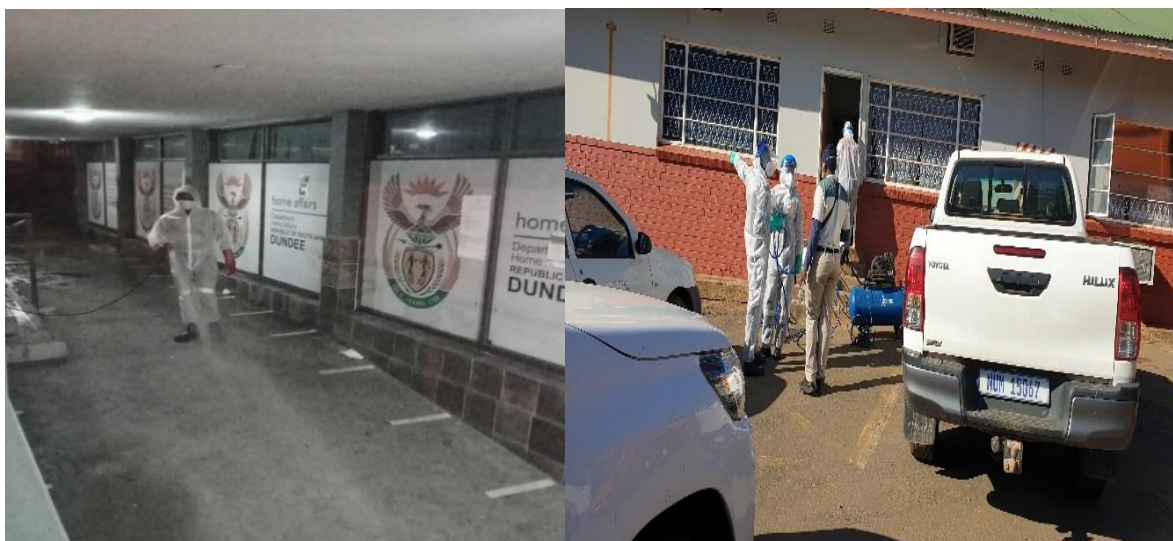


Single room informal settlement dwelling at burn side on the 24th January 2021

NOVEL CORONAVIRUS (COVID – 19) STATUS

On 15 March 2020, after assessing the potential magnitude and severity of the COVID – 19 pandemics in the Country the National Head of the Disaster Management in the Republic of South Africa classified the Novel Coronavirus (Covid – 19) pandemic as a National Disaster in terms of Section 23 (1) (b) of Disaster Management Act, 2002 (Act No. 57 of 2002) (the Act). Subsequent to that, on the same day (15 March 2020) the President announced the state of the National Disaster and further outlined measures to fight COVID – 19 pandemics in the Republic of South Africa. uMzinyathi District Disaster Management together with its local disaster management embark on efforts to assist in controlling the spread of the virus. On the 27th March 2020, the lockdown as announced by the President of the Republic also commenced at uMzinyathi. Of paramount importance was the activities that the UDDM needed to implement as part of lockdown.

Disinfection of taxi ranks conducted in the evening and is continuous. This programme is multi conducted by District Municipality and Environmental Health.



4 CHAPTER 4: ORGANIZATIONAL DEVELOPMENT PERFORMANCE

COMPONENT A: INTRODUCTION TO MUNICIPAL PERSONNEL

4.1 Employee Total, Turn Over and Vacancies

During the year under review, the municipality had a staff complement of 489 active employees during 2020/21 financial year. In terms of Section 54 and 56 Managers posts, all positions have been filled however the Community Services department was dissolved which led to the allocation of the Environmental Health unit and Disaster Management Unit being housed under the Planning and Economic Development department and the Priority programmes unit being allocated to the Office of the Municipal Manager.

4.2 Occupation and Gender Equity Analysis

An analysis of the staff by gender and occupation has been undertaken. The analysis applies to the staff distribution excluding the new posts to be filled since gender and employment equity is needed to be considered in relation to the potential candidates for the new positions. The distribution of staff by occupation, group and gender is shown below:

Table 21:Occupational gender analysis

Occupational Levels	Male				Female				Total
	A	C	I	W	A	C	I	W	
Top Management	04				01				05
Middle Management	11			01	05				17
Supervisor and Skilled Technical	25	01	01	01	22	01	03		54
Semi -skilled	105		01		39	01		01	147

Occupational Levels	Male				Female				Total
	A	C	I	W	A	C	I	W	
Elementary Occupation	212	05			48	01			266
Grand Total	357	06	02	02	115	03	03	01	489

Note: A=Africans, C=Coloureds, I=Indians and W=Whites

COMPONENT B: MANAGING THE MUNICIPAL WORKFORCE

The municipal workforce uses a top-down approach in its management style, the diagram below illustrates the different workforce levels within the municipality.



4.3 Employment Equity Plan

In compliance with the Employment Equity Act (No 55 of 1998), the municipality reviewed and implemented the 2020/21 Employment Equity Plan. The plan seeks to address the numerical goals in the terms of demographics within the municipality.

COMPONENT C: CAPACITATING THE MUNICIPAL WORKFORCE

Self-development as well as career development is of utmost importance to the municipality to ensure that the municipal workforce is in line with the respective changes in professions, the skills market and professional advancement.

In the 2020/21 financial year the municipality embarked on various trainings, skills enhancement programmes as well as bursaries for all employees that met the minimum requirements of the Bursary Policy.

4.4 Workplace Skills Plan

In accordance with the Skills Development Act and skills Levy Act, municipalities have to prepare and review the Workplace Skills Development Plan. During 2020/21, the municipality reviewed and implemented the Workplace Skills Development Plan as required by the said Act. The plan seeks to address employee's skills development, scarce skills and also skills audit of the municipality.

The municipality is registered with the Local Government Sector Education and Training Authority (LGSETA), and skills development relating to all levels of employees' functions have been undertaken and integrated into the plan. During the year under review.

COMPONENT A: ORGANIZATIONAL PERFORMANCE TARGETS SUMMARY

Performance monitoring underpins the implementation of the municipal Integrated Development Plan by reviewing performance of targets on a regular basis to detect under performance in order to implement remedial action timeously so that targets are achieved and value for money is easily identified in the services provided to the community.

Performance targets for the 2020/21 financial year were developed and outlined in Organisational Scorecard that was adopted together with the IDP in June 2020. During the adjustment period the Organizational Scorecard was adjusted to be in line with the adjusted budget. The adjustment was approved by Council and uploaded on the municipal website for public viewing and information.

The draft Annual Performance Report provides an illustration on how uMzinyathi District performed against predetermined objectives and targets. The Annual Performance Report together with the Annual Financial Statements will be submitted to the Office of the Auditor General on the 31st of August 2021.

The performance results of the organization are summarized per Key Performance Area as follows:

5.1.1 Municipal transformation and Institutional development

In the year under review the key performance area had a total of ten (10) planned targets of which six (6) were achieved, four (4) targets achieved exceeded required performance and four (4) unachieved. Reason for the under achievement of this Key Performance Area was mainly due to the National Lockdown and budget constraints.

The municipality recognizes the importance of education and professional development in the workplace in the 2020/21 financial year the municipality spent R1 339 345.77 on Workplace Skills Development, the spending was on staff bursaries and other skill development programmes aimed at employee self-development as a tool to minimise the skills gap ,these programmes were 100% funded by the municipality and also includes trainings organised by the Skills Development Unit with the assistance of LG SETA. The current year Workplace Skills Plan spending when compared to the previous financial year results to a 26% increase, this shows the level of commitment of the Municipality towards bridging the

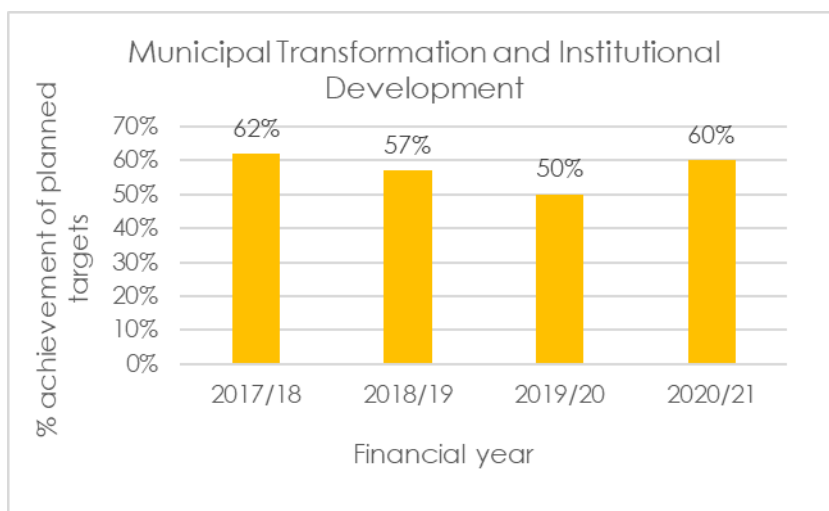
skills gap and increasing the skills base of employees. Although there were disruptions in the implementation of some of the planned workplace skill programmes were delayed due to the COVID 19, the municipality has prioritized the implementation of these programmes.

Development and adoption of strategic documents is of utmost importance in the functionality of the municipality therefore all documents with prescribed legislative dates were adopted and advertised on the municipal website to encourage a culture of community participation as outlined in Chapter 4 of the Municipal Systems Act.

The municipality has seen an increase in the achievement of planned targets under the Municipal Transformation and Institutional Development KPA when compared to the previous year performance.

Furthermore, to increase accountability, performance management has been cascaded to Middle management as of the 1st of July 2019. Three (3) Workplace Skills Development have been planned for the 2020/21 financial year. Internal review of policies to cut on cost has been prioritized by all departments. With the implementation of strategies and remedial action agreed upon by departments for the targets planned in the 2021/22 financial year, the municipality envisages to see a further increase in the performance trends of this Key Performance Area.

The graph below illustrates the performance of this KPA for the past four (4) financial years.



5.1.2 Basic Services and Infrastructure development

The Basic Services delivery Key Performance Area (KPA) looks at the municipalities ability to provide basic service to its community. In the year under review the key performance area had a total of fourteen (14) indicators that looked at provision of water and sanitation services, operations and maintenance of water and waste water treatment plants as well as the monitoring of the blue drop and green drop status. Three (3) indicators exceeded the required performance, three (3) met the required performance and eight (8) were under achieved.

The municipality has also planned a number of awareness campaigns and retribution strategies to be implemented on illegal connections to address the issue of water losses. Refurbishment and upgrades of the Tayside Pumpstation gas also commence to tackle the issue of aging water infrastructure.

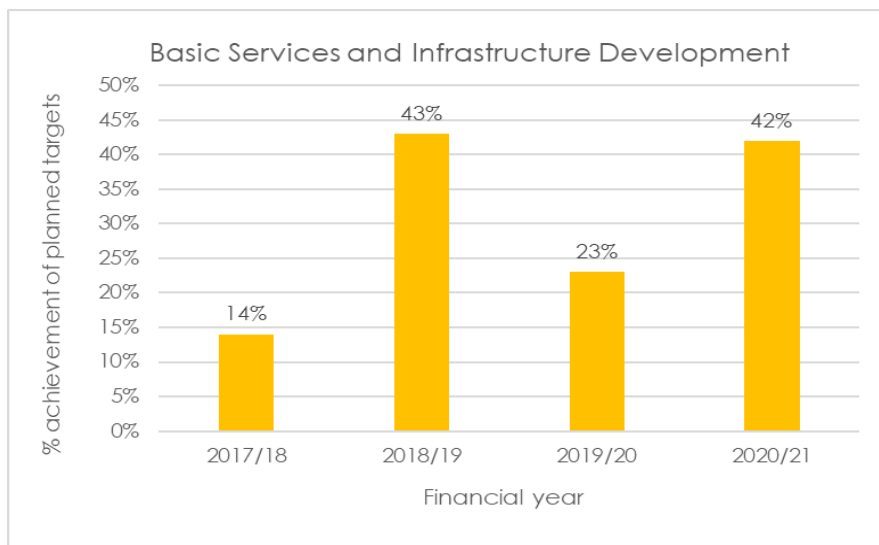
The district also had forty-five (45) water projects that were under construction which were the planned implementers in water provision, this is a substantial increase from the previous financial year however the water source challenge affecting the Muden and Ophathe projects remains a challenge. This has negatively affected the provision of water to households. Construction of the District Disaster Centre has commenced, progress is currently at 18% as at the end of the 2020/21 financial year.

Although progress is slow in terms of completion of water projects, the municipality has started the Samphofu commission which will supply water to the Mbono, Msinga and Cwaka projects this will result in a number of projects being complete and operational thus creating more job opportunities.

The Water Services Development Plan was being developed for the past (2) years, the development of this document included the scanning of the current shortage of water provision and outlines key strategies that will be implemented to ensure that the implementation of these strategies has the desired impact on the community of uMzinyathi District.

Amongst targets that were exceeded for this KPA is the Volume of water purified and distributed this shows that the municipality is committed to the achievement of its developmental mandate.

The graph below illustrates the performance of this KPA for the past four (4) financial years.



5.1.3 Local Economic Development

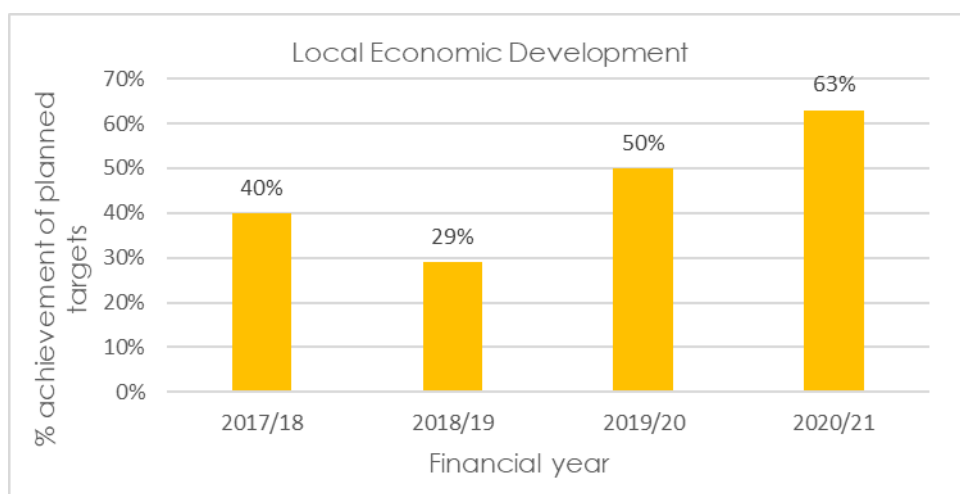
The Local Economic Development supported Eighteen (18) Co-operatives with mechanisation, enterprise development, grant funding, inputs, mentoring and market support. Drought conditions continue to have a negative effect on agricultural activities however the impact when compared to the previous financial year is not as severe.

A total of one hundred and forty seven (147) jobs were created through LED initiatives and four hundred and twenty-two (422) jobs were created through the EPWP programme .For the past four (4) years the municipality has continued to support the Battlefields Route Association ,the Community Tourism Organisation and other Tourism events to enable the advertisement of the district as a destination of choice ,this was achieved despite the COVID 19 virus that hindered the achievement of most activities within the municipality ,this affirms the district's commitment made to ordinary citizens and small business owners.

Propositions have been made by various studies, including Agri-parks Master Plan about adoption of innovative and technology based agricultural processes that need to be explored in order to improve productivity as well as influence means of production in the rural agricultural economy, the district welcomes this proposal as a long-term solution to the climate change condition.

Performance under the Local Economic Development KPA shows an increase when compared to the previous financial year.

The graph below illustrates the performance of this KPA for the past four (4) financial years.



5.1.4 Good Governance and Public Participation

The municipal Council and other legislated Council committees that play a crucial oversight role on the implementation of the IDP and financial management of the municipal budget met all legislative sittings as required by legislation.

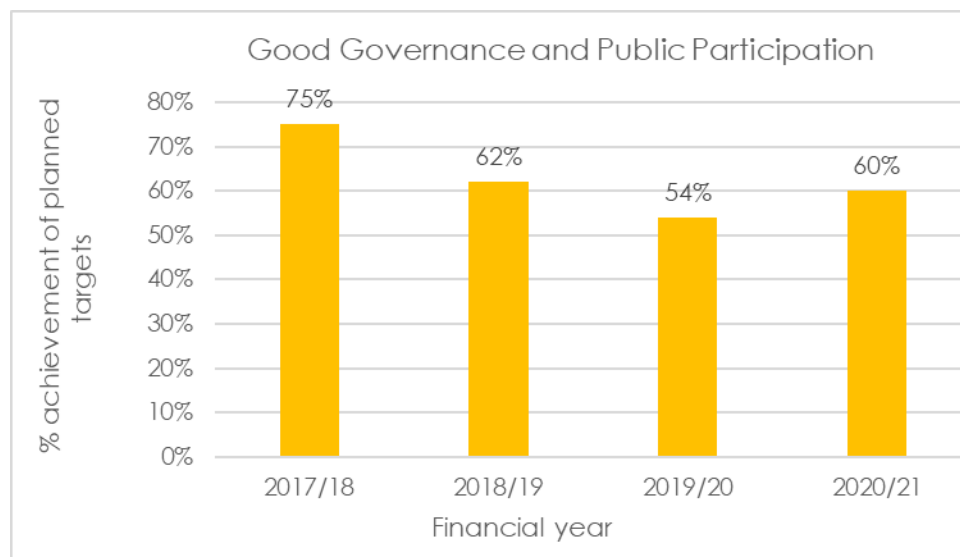
The oversight structures assisted in playing a governance role as required this necessitated constant sitting of structures to discuss prominent municipal affairs. The municipality is hopeful that its efforts will be seen in the improvement of performance in governance and compliance issues.

The Internal Audit Plan was developed and adopted by the Audit Committee in the beginning of the financial year, its main purpose was to outline the planned audits for the 2020/21 financial year. Annual Risk Assessment was successfully conducted and a Risk Register was developed and adopted.

The impact of COVID restrictions was felt in the implementation of a number of indicators as many require human contact as well as site visits, this negative affected the achievement of participating in sports and recreational programmes, the inspection of business compliance to Environmental Health Regulations and the inspection of food outlets.

Despite the challenges experienced during course of the financial year, the performance for this KPA increased, five (5) targets exceeded the required performance, five (5) met required performance and five (5) were not achieved.

The graph below illustrates the performance of this KPA for the past four (4) financial years.



5.1.5 Municipal Financial Viability and Management

The Municipal Financial Viability and Management Key Performance Area gauges the municipality's ability in managing its financial resources. Strategic documents with legislated timeframes were all adopted as required by the Municipal Finance Management Act.

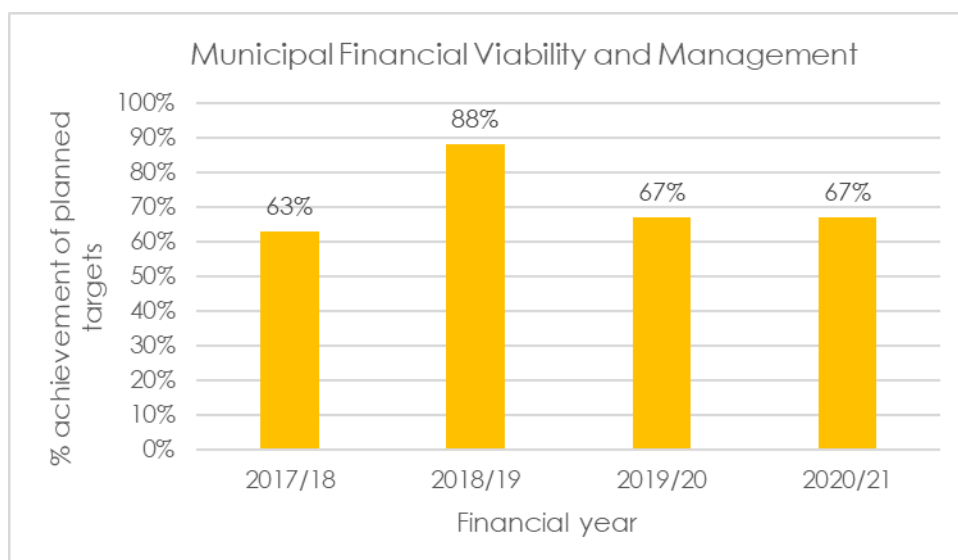
The municipality has improved significantly in its financial management, presentation and disclosure of its financial statements and anticipates an improvement on the Auditor General's opinion for the 2020/21 financial year.

The municipality current financial status remains a challenge thus as a remedial measure a Funding Plan was adopted by Council in November 2020, the main aim of the Funding Plan is to ensure financial viability and sustainability of the municipality. This is to address the issue of an unfunded budget and the inability to fulfil the service delivery mandate in terms of the SDBIP and IDP. In order to achieve the objectives as stated above, the municipality has developed master plan to address all the challenges recognised. The plan indicates the key focus areas, the responsible official, the timeframes, as well as revenue and costs to be incurred.

The Municipal Infrastructure Grant allocation as well as other conditional grants was fully spent in the 2020/21 financial year.

Performance of this KPA remained unchanged when compared to the previous financial year.

The graph below illustrates the performance of this KPA for the past four (4) financial years.



5.1.6 Cross cutting

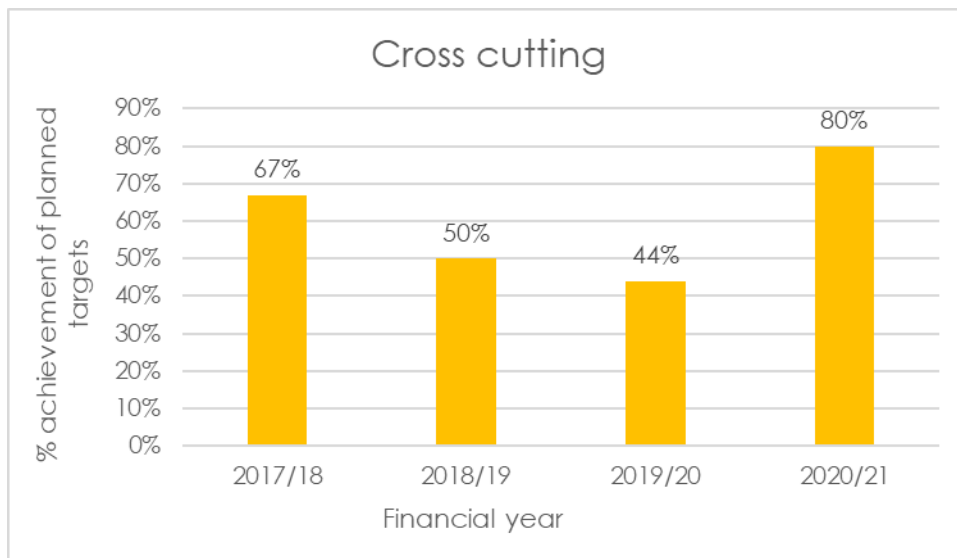
The municipality Geographical Information System (GIS) took on a verification mapping exercise on water and sanitation infrastructure which was completed in the financial year under audit. The information collected from the mapping exercise assisted in the development of the reviewed Water Services Development Plan adopted by Council in August 2021.

Assistance was provided to all reported disaster cases and all cases were addressed accordingly, awareness campaigns on disaster management were undertaken throughout the district to educate the community of disaster-prone areas within their community's and safety measures thereof.

Furthermore eighty (80) lighting conductors were installed district wide as a preventative measure to the constant natural attacks, the district is committed to preserving the lives of its citizens wherever possible.

The performance of this KPA has significantly improved when compared to the previous financial year, with over double the performance in the 2020/21 financial year.

The graph below illustrates the performance of this KPA for the past four (4) financial years.

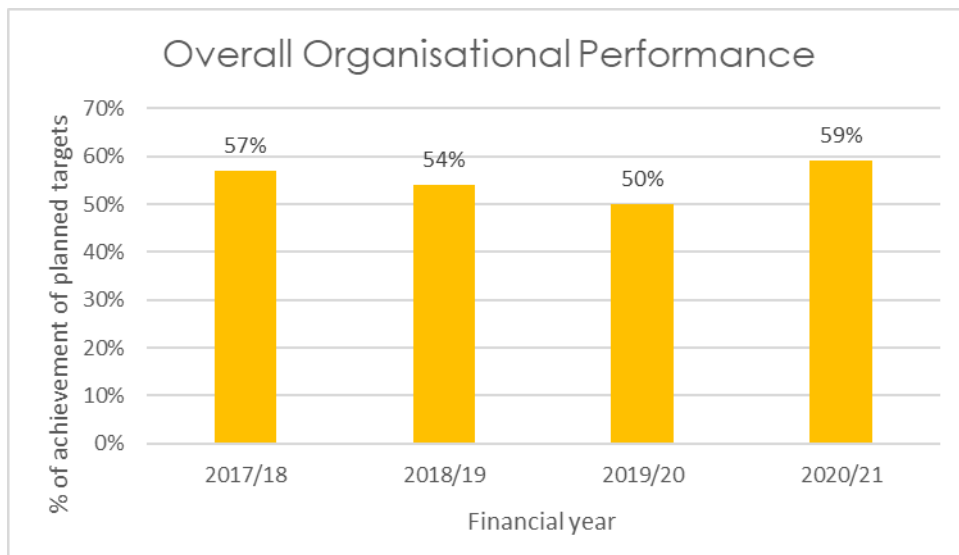


5.1.7 Overall Performance of the Municipality

The 2020/21 Annual Performance Report reports provides progress made towards the implementation of the fourth generation IDP, progress has been made in terms of achieving targets set out on the 5-year implementation plan which serves as a monitoring tool on the execution of measurable objectives outlined on the IDP.

A number of targets set were affected tremendously by the National Lockdown which was announced to combat the spread of the Corona virus, this contributed to the under achievement of planned targets, corrective measures that are in line with the gazetted regulations are being implemented to ensure that these targets are achieved.

The graph below illustrates the overall performance of the municipality for the past four (4) financial years.



COMPONENT B: ANNUAL PERFORMANCE REPORT SUMMARY

In terms of the Section 46 of the Municipal Systems Act (No. 32 of 2000) as amended, requires a municipality to prepare an annual performance report for the year under review, which becomes a component of the Annual Report. For the 2019/20 financial year, the municipality had a total of fifty-six (56) key performance indicators, and they have been evaluated against the targets and the summary is indicated below:

NATIONAL KEY PERFORMANCE AREAS	TOTAL NUMBER OF THE KEY PERFORMANCE INDICATORS	TARGETS EXCEEDED	TARGETS ACHIEVED	TARGETS NOT ACHIEVED	% Achievement per KPA
MUNICIPAL TRANSFORMATION AND INSTITUTIONAL DEVELOPMENT	10	4	2	4	60%
BASIC SERVICES DELIVERY	14	3	3	8	42%
LOCAL	8	1	4	3	63%

NATIONAL KEY PERFORMANCE AREAS	TOTAL NUMBER OF THE KEY PERFORMANCE INDICATORS	TARGETS EXCEEDED	TARGETS ACHIEVED	TARGETS NOT ACHIEVED	% Achievement per KPA
ECONOMIC DEVELOPMENT					
GOOD GOVERNANCE AND PUBLIC PARTICIPATION	15	5	4	6	60%
MUNICIPAL FINANCIAL VIABILITY AND MANAGEMENT	9	4	2	3	67%
CROSS CUTTING	5	1	3	1	80%
TOTAL	61	18	18	25	59%
% STATUS		30%	30%	40%	59%

From the above, **30%** of planned targets exceeded the required performance, **31%** reached the required level of performance thus giving us **61%** targets achieved in the 2020/21 financial year with **39%** of planned targets under achieved.

Below is the unaudited Annual Performance Report for the year under review which provides more details in terms of the successes achieved by the municipality and also indicates some of the challenges experienced by the municipality in not achieving some of the targets:

Dashboard Legend



Target exceeded




Target achieved



Target not achieved


UMZINYATHI DISTRICT MUNICIPALITY

2020/21 ANNUAL PERFORMANCE REPORT

No.	KEY PERFORMANCE AREA	OUTCOME 9	GOAL	IDP REF NO.	OBJECTIVE	STRATEGY	KPI	PREVIOUS YEAR		CURRENT YEAR PERFORMANCE			DASHBOARD	REASONS FOR VARIANCE BETWEEN THE TARGET AND ACTUAL PERFORMANCE /COMMENTS	CORRECTIVE MEASURES ON UNDER PERFORMANCE	2021/22 PERFORMANCE TARGET	MEANS OF VERIFICATION	RESPONSIBLE DEPARTMENT
								2019/20 Target	2019/20 Actual Performance	2020/21 Target	2020/21 Adjusted Target	2020/21 Actual Performance						
								1.1	MUNICIPAL TRANSFORMATION AND INSTITUTIONAL DEVELOPMENT	DIFFERENTIATED APPROACH TO MUNICIPAL FINANCING, PLANNING AND SUPPORT	HIGH PERFORMANCE MUNICIPALITY LEADING IN TRANSFORMATION AND INSTITUTIONAL DEVELOPMENT	MTID 1-1.1						
1.2	MTID 1-1.1	Development and submission of Workplace Skills plan by the 30th of April	Percentage of budget spent on workplace skills plan	100%	72%	100%	100%	98%					Due to the COVID restrictions some programmes were suspended. This resulted in the under spending in planned programmes.	Programmes that were planned to be implemented in the 2020/21 financial year have been carried over to the new financial year 2021/22, the anticipated target date of the 30th of September 2021 is linked to programmes that were carried over, which form part of	100% budget spent on workplace skills plan	Expenditure report	Corporate Services	



UMZINYATHI DISTRICT MUNICIPALITY

2020/21 ANNUAL PERFORMANCE REPORT

No.	KEY PERFORMANCE AREA	OUTCOME 9	GOAL	IDP REF NO.	OBJECTIVE	STRATEGY	KPI	PREVIOUS YEAR		CURRENT YEAR PERFORMANCE			DASHBOARD	REASONS FOR VARIANCE BETWEEN THE TARGET AND ACTUAL PERFORMANCE /COMMENTS	CORRECTIVE MEASURES ON UNDER PERFORMANCE	2021/22 PERFORMANCE TARGET	MEANS OF VERIFICATION	RESPONSIBLE DEPARTMENT
								2019/20 Target	2019/20 Actual Performance	2020/21 Target	2020/21 Adjusted Target	2020/21 Actual Performance						
1.3				MTID 1-1.1		Development and submission of Employment equity plan by the 15th of January	Date of submission of Employment equity plan	15-Jan-20	9-Jan-20	15-Jan-21	15-Jan-21	8-Jan-21		Submission was made earlier than the targeted date.		Employment equity plan submission by the 15th of January 2022	Proof of submission to Department of Labor	Corporate Services

UMZINYATHI DISTRICT MUNICIPALITY

2020/21 ANNUAL PERFORMANCE REPORT

No.	KEY PERFORMANCE AREA	OUTCOME	GOAL	IDP REF NO.	OBJECTIVE	STRATEGY	KPI	PREVIOUS YEAR		CURRENT YEAR PERFORMANCE			DASHBOARD	REASONS FOR VARIANCE BETWEEN THE TARGET AND ACTUAL PERFORMANCE /COMMENTS	CORRECTIVE MEASURES ON UNDER PERFORMANCE	2021/22 PERFORMANCE TARGET	MEANS OF VERIFICATION	RESPONSIBLE DEPARTMENT
								2019/20 Target	2019/20 Actual Performance	2020/21 Target	2020/21 Adjusted Target	2020/21 Actual Performance						
1.4				MTID 1-1.2	Ensure good governance, financial viability, efficient administration and optimal institutional transformation with capacity to execute its mandate.	Development and adoption of Draft IDP before 31 March and Final IDP Before 30 June by Council and KZN Cogta	Date of adoption and submission of draft and final IDP	31 March 2020	12 May 2020	Adoption 31 March 2021 Submission 14 April 2021	Adoption 31 March 2021 Submission 14 April 2021	Adoption 30 March 2021 Submission 06 July 2020		Meeting was scheduled earlier than the targeted date.		Draft IDP adopted by the 31st of March 2022, submitted by the 14th of April 2022. Final IDP adopted by the 30th of June 2022, submission of the 2020/21 by the 14th of July 2020.	Council Resolution. Acknowledgement from Cogta	Planning and Economic Development
1.5				MTID 1-1.2	Compile and submit Quarterly Performance reports to IA, AC and EXCO to monitor the implementation of planned targets.	Percentage of Organisational Scorecard targets achieved	95-100%	53%	95-100%	95-100%	59%		Reasons for variance and Corrective measures are given on individual indicators	Reasons for variance and Corrective measures are given on individual indicators	95-100% Organisational Scorecard targets achieved	Actual Organisational Scorecard Quarterly reports	Planning and Economic Development	


UMZINYATHI DISTRICT MUNICIPALITY

2020/21 ANNUAL PERFORMANCE REPORT

No.	KEY PERFORMANCE AREA	OUTCOME	GOAL	IDP REF NO.	OBJECTIVE	STRATEGY	KPI	PREVIOUS YEAR			CURRENT YEAR PERFORMANCE			DASHBOARD	REASONS FOR VARIANCE BETWEEN THE TARGET AND ACTUAL PERFORMANCE /COMMENTS	CORRECTIVE MEASURES ON UNDER PERFORMANCE	2021/22 PERFORMANCE TARGET	MEANS OF VERIFICATION	RESPONSIBLE DEPARTMENT
								2019/20 Target	2019/20 Actual Performance	2020/21 Target	2020/21 Adjusted Target	2020/21 Actual Performance							
								1.6				MTID 1-1.2							


UMZINYATHI DISTRICT MUNICIPALITY

2020/21 ANNUAL PERFORMANCE REPORT

No.	KEY PERFORMANCE AREA	OUTCOME	GOAL	IDP REF NO.	OBJECTIVE	STRATEGY	KPI	PREVIOUS YEAR		CURRENT YEAR PERFORMANCE			DASHBOARD	REASONS FOR VARIANCE BETWEEN THE TARGET AND ACTUAL PERFORMANCE /COMMENTS	CORRECTIVE MEASURES ON UNDER PERFORMANCE	2021/22 PERFORMANCE TARGET	MEANS OF VERIFICATION	RESPONSIBLE DEPARTMENT
								2019/20 Target	2019/20 Actual Performance	2020/21 Target	2020/21 Adjusted Target	2020/21 Actual Performance						
								1.7				MTID 1-1.2						
1.8				MTID 1-1.2	Upgrading and updating of ICT infrastructure and software to ensure seamless access to information	Date of adoption of IT Strategy (MSP)	New Indicator	New Indicator	30-Sep-20	30-Sep-20	23-Sep-20		Meeting was scheduled earlier than the targeted date.		n/a	Council Resolution.	Corporate Services	


UMZINYATHI DISTRICT MUNICIPALITY

2020/21 ANNUAL PERFORMANCE REPORT

No.	KEY PERFORMANCE AREA	OUTCOME	GOAL	IDP REF NO.	OBJECTIVE	STRATEGY	KPI	PREVIOUS YEAR		CURRENT YEAR PERFORMANCE			DASHBOARD	REASONS FOR VARIANCE BETWEEN THE TARGET AND ACTUAL PERFORMANCE /COMMENTS	CORRECTIVE MEASURES ON UNDER PERFORMANCE	2021/22 PERFORMANCE TARGET	MEANS OF VERIFICATION	RESPONSIBLE DEPARTMENT
								2019/20 Target	2019/20 Actual Performance	2020/21 Target	2020/21 Adjusted Target	2020/21 Actual Performance						
								1.9				MTID 1-1.2						
1.10				MTID 1-1.2	Cascading IPMS to all levels within the municipality to promote accountability in all levels of employment	Cascading IPMS to various Paterson scale levels	E1-E3	E1-E3	D1-D4	D1-D4	0		Job descriptions were not available. One on one contact sessions could not be held due to covid 19. The proposal to convene virtual and telephone interviews was not successful since 3G router was not provided.	Currently facilitating compilation of job descriptions and the procurement process for 3G router is underway.	Moved to Senior Manager's Performance Plan	Work Plans Progress Report(q2&q4)	Corporate Services	

UMZINYATHI DISTRICT MUNICIPALITY

2020/21 ANNUAL PERFORMANCE REPORT

No.	KEY PERFORMANCE AREA	OUTCOME	GOAL	IDP REF NO.	OBJECTIVE	STRATEGY	KPI	PREVIOUS YEAR		CURRENT YEAR PERFORMANCE			DASHBOARD	REASONS FOR VARIANCE BETWEEN THE TARGET AND ACTUAL PERFORMANCE /COMMENTS	CORRECTIVE MEASURES ON UNDER PERFORMANCE	2021/22 PERFORMANCE TARGET	MEANS OF VERIFICATION	RESPONSIBLE DEPARTMENT
								2019/20 Target	2019/20 Actual Performance	2020/21 Target	2020/21 Adjusted Target	2020/21 Actual Performance						
								1.11				MTID 1-1.2						
2.1	BASIC SERVICES DELIVERY AND INFRASTRUCTURE DEVELOPMENT	DIFFERENTIATED APPROACH TO MUNICIPAL FINANCING, PLANNING AND SUPPORT	ERADICATION OF SERVICE DELIVERY BACKLOGS	BSDID 1-2.1	Provision of safe drinking water and sanitation services to the community to eradicate service delivery backlogs	Implementation of infrastructure projects to ensure the provision of service delivery needs to the community of uMzinyathi District	Number of water projects under construction	36	28	48	56	45		Due to the COVID regulations staff was working remotely which delayed the sitting of SCM committees for the appointment of service providers, this also affected the Sampofu Commissioning which is meant to supply water to Mbono,Cwaka and Msinga projects .Service provider was appointed in March 2021,	Construction of the Samphofu Commissioning has commenced and is currently at 37% as at the 30th of June 2021, anticipated completion date of the project is the fourth quarter of the 2021/22 financial year. A Business	Forty-six (46) water projects under construction	Site meeting minutes Progress reports	Technical Services


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								2019/20 Target	2019/20 Actual Performance	2020/21 Target	2020/21 Adjusted Target	2020/21 Actual Performance						
								2.2				BSDID 1-2.1						

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								2019/20 Target	2019/20 Actual Performance	2020/21 Target	2020/21 Adjusted Target	2020/21 Actual Performance								
								2.3				BSDID 1-2.1								
2.4				BSDID 1-2.1	Timely Completion of infrastructure projects to ensure the provision of service delivery needs to the community of uMzinyathi District		Number of water projects completed			24	5	35	32	1		Due to the COVID regulations staff was working remotely which delayed the sitting of SCM committees for the appointment of service providers, this also affected the Sampofu Commissioning which is meant to supply water to Mbono,Cwaka and Msinga projects .Service provider was appointed in March 2021, construction has commenced ,progress is currently at 37% as the 30th of June 2021.The Muden Canal water	Construction of the Samphofu Commissioning has commenced and is currently at 37% as at the 30th of June 2021.A Business Plan for an alternative water source has been submitted to the Department of Water and Sanitation. The municipality is currently waiting for its approval	Forty-two (2) water projects completed	Completion certificate Close out reports	Technical Services

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								2019/20 Target	2019/20 Actual Performance	2020/21 Target	2020/21 Adjusted Target	2020/21 Actual Performance						
													source remains a challenge ,the pervious identified water source resulted in a legal dispute between the municipality and farm owners as a result it could not be utilized ,the water source challenge affects the Muden(Keates Drift) and Ophathe water projects .	to address the challenge with the supply of water to Muden and Ophathe projects.				


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								2019/20 Target	2019/20 Actual Performance	2020/21 Target	2020/21 Adjusted Target	2020/21 Actual Performance						
								2.5				BSDID 1-2.1						

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								2019/20 Target	2019/20 Actual Performance	2020/21 Target	2020/21 Adjusted Target	2020/21 Actual Performance						
								2.6				BSDID 1-2.1						
2.7				BSDID 1-2.1	Provision of water to households within RDP standards to reduce service delivery backlogs	No. of households to be provided with access to water within RDP standards		4794	2045	8530	1500	98		Due to the COVID regulations staff was working remotely which delayed the sitting of SCM committees for the appointment of service providers, this also affected the Sampofu Commissioning which is meant to supply water to	Construction of the Samphofu Commissioning has commenced and is currently at 37% as at the 30th of June 2021, anticipated completion date of the project is	Seven thousand, seven hundred and ninety-five (7795) households to be provided with access to water within RDP standards	Beneficiary lists	Technical Services


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								2019/20 Target	2019/20 Actual Performance	2020/21 Target	2020/21 Adjusted Target	2020/21 Actual Performance						
													Mbono,Cwaka and Msinga projects .Service provider was appointed in March 2021, construction has commenced ,progress is currently at 37% as the 30th of June 2021.The Muden Canal water source remains a challenge ,the pervious identified water source resulted in a legal dispute between the municipality and farm owners as a result it could not be utilized ,the water source challenge affects the Muden(Keates Drift) and Ophathe water projects .	the fourth quarter of the 2021/22 financial year. A Business Plan for an alternative water source has been submitted to the Department of Water and Sanitation. The municipality is currently waiting for its approval to address the challenge with the supply of water to Muden and Ophathe projects.				

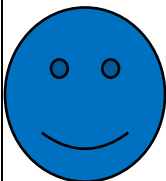
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								2019/20 Target	2019/20 Actual Performance	2020/21 Target	2020/21 Adjusted Target	2020/21 Actual Performance						
								2.8				BSDID 1-2.1						
2.9				BSDID 1-2.2	Ensure the Provision of safe drinking water to households and implement environmental protection as prescribe	Implement Waste Water quality monitoring through sample testing (green drop)	Number of treated waste water samples taken to monitor green drop status	240	191	240	240	28		The under achievement is due to the nonpayment of the Integral Lab since August 2020, due to the municipality experiencing cash flow constraints. Samples were taken to the Lab however due to	Arrangements have been made with the lab to pay all outstanding invoices so that the working relationship between the Lab and the	Two hundred and forty (240) treated waste water samples taken to monitor green drop status	Department of Water and Sanitation Waste Water quality monitoring quarterly reports	Technical Services


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								2019/20 Target	2019/20 Actual Performance	2020/21 Target	2020/21 Adjusted Target	2020/21 Actual Performance						
								2.10				BSDID 1-2.2						
2.11				BSDID 1-2.2		Implement Water quality monitoring through sample testing (blue drop)	Number of treated water samples taken to monitor the blue drop status.	624	1049	624	624	969		Increase was caused by the Biggarsberg Treatment Plant, the plant's operations are still handled by Thukela Water. Nonpayment of the laboratory did affect the sampling at WTW operated by the municipality.		Six hundred and twenty-four (624) treated water samples taken to monitor the blue drop status.	Department of Water and Sanitation Water quality monitoring quarterly reports Control Sheets from Treatment Plants	Technical Services


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								PREVIOUS YEAR		2020/21 Actual Performance								
								2019/20 Target	2019/20 Actual Performance									
2.12				BSDID 1-2.2			% Of compliance to SANS 241 of Drinking Water (Blue drop)	New Indicator	New Indicator	80%	80%	38.97		The under achievement is due to the non-payment of the Integral Lab since August 2020, due to the municipality experiencing cash flow constraints. Samples were taken to the Lab however due to the nonpayment the access to extract Waste Water Samples compliance on the IRIS system was blocked, as a result compliance is only known for the month of July 2020.	Arrangements have been made with the lab to pay all outstanding invoices so that the working relationship between the Lab and the municipality is restored. A funding Plan was developed with the assistance of Provincial Treasury and adopted by Council; this will assist in improving the current financial constraints facing the municipality	85% of compliance to SANS 241 of Drinking Water (Blue drop)	Lab results	Technical Services

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								2019/20 Target	2019/20 Actual Performance	2020/21 Target	2020/21 Adjusted Target	2020/21 Actual Performance						
								2.13				BSDID 2-2.2						
2.14				BSDID 2-2.3	Reduce Water Losses	Implementation of the WAR ON LEAKS PROGRAMME	% Reduction of non-revenue water	1%	4.40%	1%	1%	↑ 6.3%		The increase is caused by the raise in illegal connections to the bulk water infrastructure as well as leaks as a result of the aging infrastructure	More works needs to be done to close this gap from water produced to water billed and accuracies as well as efficiency to curb the curve as well as the full implementation of War on Leaks to address leaks beyond water meters, the WAR ON LEAKS	1% reduction of non-revenue water	Water loss balances	Technical Services


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								2019/20 Target	2019/20 Actual Performance	2020/21 Target	2020/21 Adjusted Target	2020/21 Actual Performance						
														programme has recruited seventy-four (74) participants to assist in the implementation of the project .The Tayside Pumpstation is currently undergoing refurbishments and upgrades to address the issue of the aging infrastructure, the project's progress as at the 30th of June 2021 is 11% to completion, anticipated completion date of the project is the fourth quarter of the 2021/22 financial year.				



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								2019/20 Target	2019/20 Actual Performance	2020/21 Target	2020/21 Adjusted Target	2020/21 Actual Performance						
								3.1	LOCAL ECONOMIC DEVELOPMENT	DIFFERENTIATED APPROACH TO MUNICIPAL FINANCING, PLANNING AND SUPPORT	ECONOMICALLY VIABLE DISTRICT PROMINENT IN INVESTMENT AND JOB CREATION	LED 1-3.1						
3.2	No of retained (Year 2) agricultural co-operatives supported with inputs	New Indicator	New Indicator	7	7	7								Four (4) retained (Year 2) agricultural co-operatives supported with inputs	Signed agreement between Municipality and Co-Operative	Planning and Economic Development		



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								2019/20 Target	2019/20 Actual Performance	2020/21 Target	2020/21 Adjusted Target	2020/21 Actual Performance						
3.3				LED 1-3.1		and agriculture.	No of retained (Year 3) agricultural co-operatives supported with monitoring and market support	New Indicator	New Indicator	1	1	1				Two (2) retained (Year 3) agricultural co-operatives supported with monitoring and market support	Signed agreement between Municipality and Co-Operative	Planning and Economic Development
3.4				LED 1-3.1		Review of Strategic documents to be in line with current tourism trends and demands	Date of adoption for Tourism Strategy	30-Jun-20	0	30-Sep-20	30-Jun-20	0		The item was prepared to Exco was withdrawn on the date of the meeting.	The item will be re-submitted to Exco and Council on the 25th August 2021.	n/a	Council resolution	Planning and Economic Development

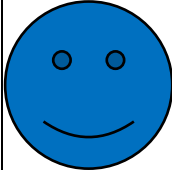
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								2019/20 Target	2019/20 Actual Performance	2020/21 Target	2020/21 Adjusted Target	2020/21 Actual Performance						
								3.5				LED 1-3.1						
3.6				LED 1-3.1		Promotion of tourism marketing through financial support, shows and exhibitions	Number of tourism marketing projects implemented to advertise uMzinyathi	5	5	2	2	1		Due to the municipal cash flow challenges non-core function programmes were suspended. The implementation of this project was postponed until cash flow of the municipality improves.	Project is postponed until cashflow challenges facing the municipality improves	n/a	Tourism Brochures Signed Close out report by Senior Manager endorsed by Portfolio Committee	Planning and Economic Development
3.7				LED 1-3.1		Establishment and implementation of key capital and social	No. of jobs created through municipality's LED initiatives.	139	154	65	15	147		More job opportunities were created in the Cooperatives that were supported due to good yields in the harvesting season.		Five (5) jobs created through municipality's LED initiatives.	Appointment letters/Employment contracts	Planning and Economic Development



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								2019/20 Target	2019/20 Actual Performance	2020/21 Target	2020/21 Adjusted Target	2020/21 Actual Performance						
								3.8				LED 1-3.1						
4.1	GOOD GOVERNANCE AND PUBLIC PARTICIPATION	DIFFERENTIATED APPROACH TO MUNICIPAL FINANCING, PLANNING AND SUPPORT	ENCOURAGES GOOD CORPORATE GOVERNANCE, A HEALTHY ENVIRONMENT AND	GGPP 1-4.1	Improve communication and public participation between the municipality and communities.	Facilitation of IDP and Budget roadshows	4	4	3	3	4		The municipality had originally planned to have the Roadshows via social media platforms however on the month where the Roadshows were planned to take place restrictions were lifted which led to the municipality having physical consultations in the four (4) municipalities within the district.		Four (4) public consultation meetings undertaken	Attendance registers	Planning and Economic Development	



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								2019/20 Target	2019/20 Actual Performance	2020/21 Target	2020/21 Adjusted Target	2020/21 Actual Performance						
								4.2				GGPP 1-4.1						
4.3				GGPP 1-4.2	Enhance healthy communities and citizens	Encourage participation in sport and recreational programmes by providing financial support, mentoring and coaching to sport codes.	No. of recreational sports programmes supported with financial, mentoring and coaching	9	9	3	3	0		Due to the COVID restrictions planned sporting and recreational activities had to be cancelled.	Programmes were deferred to the next financial year with the hope that restrictions will be lifted	Eight (8) recreational sports programmes provided with financial support, mentoring and coaching to sport codes.	Signed Close out report by HOD to be endorsed by Portfolio Committee	Community Services
4.4				GGPP 1-4.2		Conduct sites visits to ensure that edibles are in compliance with the Environm	No. of water samples to be taken from sources used for human consumption	240	188	240	200	209		More focus was put on ensuring that the water consumed is safe this increased the actual performance.		Two hundred (200) water samples to be taken from sources used for human consumption	Water samples results	Community Services




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								2019/20 Target	2019/20 Actual Performance	2020/21 Target	2020/21 Adjusted Target	2020/21 Actual Performance						
								4.5				GGPP 1-4.2						
4.6					No of business enterprises inspected for EHR compliance	New Indicator	New Indicator	200	100	88		Target was disturbed by the COVID regulations as more effort was put in programmes that combat the spread of the virus.	The target has been adjusted to accommodate the COVID regulations	Eighty (80) business enterprises inspected for EHR compliance	Inspection Report	Community Services		
4.7				GGPP 1-4.2	Implement skills development programmes	Number of community skills development programmes implemented	9	3	8	3	0		The effects of COVID are still an issue as programmes that were affected by the restrictions were carried over into the 2020/21 financial year, this delays the implementation of new programmes.	The municipality has advised service providers to provide programmes virtually however the district is predominantly rural thus technological advancements are not on par.	Three (3) community skills development programmes implemented	Learner agreements MOU	Corporate Services	


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								2019/20 Target	2019/20 Actual Performance	2020/21 Target	2020/21 Adjusted Target	2020/21 Actual Performance						
								4.8				GGPP 1-4.3						
4.9			GGPP 1-4.3	No of the Council meetings provided with secretariat support	4	14	4	4	15		Pressing Oversight matters were required to be discussed as a result, a special meeting had to be convened.			Four (4) Council meetings provided with secretariat support	Council minutes	Corporate Services		
4.10			GGPP 1-4.3	No of ExCo meetings to be provided with secretariat support	12	13	12	12	13		Pressing Oversight matters were required to be discussed as a result, a special meeting had to be convened.			Twelve (12) ExCo meetings to be provided with secretariat support	ExCo minutes	Corporate Services		
4.11			GGPP 1-4.3	No of the Municipal Public Accounts Committee meetings to be provided with secretariat support	4	5	4	4	6		Pressing Oversight matters were required to be discussed as a result, a special meeting, had to be convened.			Four (4) Municipal Public Accounts Committee meetings to be provided with secretariat support	MPAC minutes	Corporate Services		


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								2019/20 Target	2019/20 Actual Performance	2020/21 Target	2020/21 Adjusted Target	2020/21 Actual Performance						
								4.12				GGPP 1-4.4						
4.13				GGPP 1-4.4	Conduct risk assessment annually.	Date of Organisational Risk Assessment	New Indicator	New Indicator	30-Apr-21	30-Apr-21	20-Jun-21		Due to staff rotation in line with COVID regulations the Risk assessment session was delayed.		Organisational Risk Assessment conducted by the 30th of April 2022	Risk Register	Office of the Municipal Manager	




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								2019/20 Target	2019/20 Actual Performance	2020/21 Target	2020/21 Adjusted Target	2020/21 Actual Performance							
								4.14				GGPP 1-4.4	Monitor the implementation of risk action plans through Risk reports on a quarterly basis.						
4.15				GGPP 1-4.4	Ensure the implementation of the AG Action plan	% Of AG queries cleared as per the AG action plan	New Indicator	New Indicator	50-75%	50-75%	6%		The Audit Report was received late in April, Management didn't have sufficient time to implement actions to correct the findings.	Actions are to correct the findings are currently being implemented to address queries raised.	50-75% of AG queries cleared as per the AG action plan	AG Action Plan Progress Report	All departments		

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								2019/20 Target	2019/20 Actual Performance	2020/21 Target	2020/21 Adjusted Target	2020/21 Actual Performance						
								5.1	MUNICIPAL FINANCIAL VIABILITY AND MANAGEMENT	DIFFERENTIATED APPROACH TO MUNICIPAL FINANCING, PLANNING AND SUPPORT	PROMOTE SOUND FINANCIAL MANAGEMENT SYSTEM AND GOOD GOVERNANCE.	MFVM 1-5.1						
5.2	MFVM 1-5.1	Date of adoption of draft and final budget	31 March 2020 31 May 2020	26 March 2020 29 May 2020	31 March 2021 31 May 2021	31 March 2021 31 May 2021	26 March 2021 28 May 2021					Meeting was scheduled earlier than the targeted date.		Draft budget adopted by the 31st of March 2022 and final budget adopted by the 31st of May 2022	Council Resolution	Budget and Treasury Office		
5.3	MFVM 1-5.1	Date of adoption of section 72 report.	25-Jan-20	24-Jan-20	25-Jan-21	25-Jan-21	29-Jan-21					Reported performance is within legislated date of the 31st of January.		Section 72 report adopted by Council on the 25th of January 2022	Council Resolution	Budget and Treasury Office		
5.4	MFVM 1-5.1	% Of the collection rate	45%	19%	50%	50%	41%					Covid 19 has a bad impact on our Revenue collection. Staff from various departments are not working every day that	The municipality has engaged Councillors to assist with collections in the no-go	50% of the collection rate	System prints out	Budget and Treasury Office		

UMZINYATHI DISTRICT MUNICIPALITY




2020/21 ANNUAL PERFORMANCE REPORT

No.	KEY PERFORMANCE AREA	OUTCOME	GOAL	IDP REF NO.	OBJECTIVE	STRATEGY	KPI	PREVIOUS YEAR		CURRENT YEAR PERFORMANCE			DASHBOARD	REASONS FOR VARIANCE BETWEEN THE TARGET AND ACTUAL PERFORMANCE /COMMENTS	CORRECTIVE MEASURES ON UNDER PERFORMANCE	2021/22 PERFORMANCE TARGET	MEANS OF VERIFICATION	RESPONSIBLE DEPARTMENT
								2019/20 Target	2019/20 Actual Performance	2020/21 Target	2020/21 Adjusted Target	2020/21 Actual Performance						
								5.5				MFVM 1-5.1						




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								2019/20 Target	2019/20 Actual Performance	2020/21 Target	2020/21 Adjusted Target	2020/21 Actual Performance						
5.6				MFVM 1-5.1			Debt coverage ratio	0%	0%	0%	0%	0%				Debt coverage ratio of 0% by 30 June 2022	Extract of Section 71 Report	Budget and Treasury Office
5.7				MFVM 1-5.1			% Of expenditure on infrastructure programmes (MIG)	100%	100%	100%	100%	100%				100% of expenditure on infrastructure programmes (MIG)	System prints out	Technical Services
5.8				MFVM 1-5.1			Outcome of Auditor General's audit opinion	Unqualified	Disclaimer	Unqualified with matters of emphasis	Unqualified with matters of emphasis	Disclaimer		The prior year findings were not resolved (PPE, water losses etc. on Annexure A)	The municipality has developed an AG Action Plan that is currently being implemented to ensure that these	Unqualified with matters of emphasis	Audit Report	Office of the Municipal Manager


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								2019/20 Target	2019/20 Actual Performance	2020/21 Target	2020/21 Adjusted Target	2020/21 Actual Performance						
								5.9				MFVM 1-5.1						
6.1	CROSS CUTTING	APPROACH TO MUNICIPAL FINANCING, RURAL AND GEOGRAPHICAL		CC 1-6.1	Development of spatial strategic documents and development	Updating of Geographical Information	Number of IDP Capital projects captured and mapped	30	33	20	20	25		Additional projects were submitted, which led to the increase in projects to be captured.		Twenty (20) municipal water and sanitation projects captured	Map with spatially referenced projects	Planning and Economic Development


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								2019/20 Target	2019/20 Actual Performance	2020/21 Target	2020/21 Adjusted Target	2020/21 Actual Performance						
								6.2				CC 1-6.1						
6.3				CC 1-6.1		Develop and review Spatial Development Framework	Date of adoption of Spatial Development Framework	30-Jun-20	0	30-Jun-21	30-Jun-21	0		The grant from COGTA was provided late, the service provider had to be changed.	Close out is now available will be submitted to the Council's next sitting.	n/a	Council resolution SDF	Planning and Economic Development

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2020/21 ANNUAL PERFORMANCE REPORT

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								2019/20 Target	2019/20 Actual Performance	2020/21 Target	2020/21 Adjusted Target	2020/21 Actual Performance						
								6.4				CC 2-6.2						
6.5				CC 2-6.2	preventative and responsive in implementation	Disaster Management and Fire services support to all Local Municipalities	% Of disaster cases addressed	100%	100%	100%	100%	100%				100% of disaster cases addressed.	Disaster Quarterly and Annual Report	Community Services

6 CHAPTER 6: FINANCIAL PERFORMANCE

All financial statements will be submitted in the second draft of this document.

6.2 STATEMENT OF FINANCIAL POSITION

All financial statements will be submitted in the second draft of this document.

6.3 STATEMENT OF CHANGES IN NET ASSETS

All financial statements will be submitted in the second draft of this document.

6.4 CASHFLOW STATEMENT

All financial statements will be submitted in the second draft of this document.

6.5 GRANTS REGISTER

All financial statements will be submitted in the second draft of this document.

7 CHAPTER 7: AUDITOR GENERAL FINDINGS

7.1 Component A: Auditor General Opinion on Financial Statements (Year under audit – 2020/21)

TO BE UPDATED DURING THE SUBMISSION OF THE FINAL DRAFT ANNUAL REPORT.

8 CHAPTER 8: ACTION PLAN TO ADDRESS THE AUDIT QUERIES

TO BE UPDATED DURING THE SUBMISSION OF THE FINAL DRAFT ANNUAL REPORT.

9 CHAPTER 9: REPORT OF THE AUDIT COMMITTEE

TO BE UPDATED DURING THE SUBMISSION OF THE FINAL DRAFT ANNUAL REPORT.

10 CHAPTER 10: OVERSIGHT REPORT BY THE MPAC

TO BE UPDATED DURING THE SUBMISSION OF THE FINAL DRAFT ANNUAL REPORT.

Assessment of the performance of External Service Provider

The monitoring of the service provider performance is ensured through the signing of the Service Level Agreement. It is currently being done by user department levels. The end user department is providing monthly reports to the SCM unit as well. Service providers who fail to perform are reported to SCM and the necessary action is taken including the termination of the contract or cancellation of an order.

Example:

Assessment Key	
Good (G)	<i>The service has been provided at acceptable standards and within the time frames stipulated in the SLA/Contract</i>
Satisfactory (S)	<i>The service has been provided at acceptable standards and outside of the timeframes stipulated in the SLA/Contract</i>
Poor (P)	<i>The service has been provided below acceptable standards</i>

No	Name of the Service Provider	Description of the Service Being Provided	Appointment Date / Awardee Date	Tender Amount	Contract Duration (months)	Service Level Agreement Signed (Y / N)	Responsible Department.	Previous Year Performance 2019/20	Assessment of the Service Providers Performance (Performance Score)2020/21		
									Unsatisfactory 1 - 2	Satisfactory 3	Outstanding 4 - 5
1	Muncomp systems (pty) ltd	IMPLEMENTATION OF AN ELECTRONIC DOCUMENT IMAGING AND MANAGEMENT SYSTEM	2013/07/01	R-	36	Yes	CORPORATE SERVICES	4		3	
2	Otis (pty) ltd	MAINTENANCE & REPAIRS OF ELEVATORS	2014/04/01	R 329 633,00	36	Yes	CORPORATE SERVICES	3		3	
3	Aheers property holdings cc	LEASING OF PROPERTY	2013/06/01	R 991 320,00	120	Yes	CORPORATE SERVICES	3		3	
4	Konica minolta south africa (pty) ltd	LEASING OF PHOTOCOPIER MACHINES	2017/11/01	R1 080 061,74	36	Yes	CORPORATE SERVICES	4		3	
5	Sizowakha security and cleaning services	SECURITY SERVICES	2020/02/01	R2 415 000,00	12	Yes	CORPORATE SERVICES	4		3	
6	Zuma and Partners	Legal Services - Muden Canal Matter	2019/10/02	R1 783 494,07	8	Yes	CORPORATE SERVICES	4		3	

No	Name of the Service Provider	Description of the Service Being Provided	Appointment Date / Awarder Date	Tender Amount	Contract Duration (months)	Service Level Agreement Signed (Y / N)	Responsible Department.	Previous Year Performance 2019/20	Assessment of the Service Providers Performance (Performance Score)2020/21		
									Unsatisfactory 1 - 2	Satisfactory 3	Outstanding 4 - 5
7	Ifix business solutions	HOSTING AND CLOUD COMPUTING	2020/06/26	R 368 125,30	1	Yes	CORPORATE SERVICES	N/A		3	
8	Dm ict consultants	DELIVERY AND INSTALLATION OF ICT NETWORK INFRASTRUCTURE	2020/03/26	R 468 293,32	3	Yes	CORPORATE SERVICES	N/A		3	
10	Ccg systems	APPOINTMENT FOR AN INTERGRATED FIANACIAL MANAGEMENT AND INTERNAL CONTROL SYSYTEM	2019/02/20	R8 419 706,00	36	Yes	FINANCE	3	2		
11	Xjm consultants	QUALIFIED SERVICE PROVIDER TO PERFORM VAT RECOVERY & REVIEW	2020/08/06	R1 754 996,36	12	Yes	FINANCE DEPARTMENT	N/A	2		
14	Bonakude consulting pty ltd	THE PROVISION OF AN INTERNAL AUDIT SERVICE FOR THE PERIOD OF 3 YEARS TO UMZINYATHI DISTRICT	2019/10/31	R2 328 924,38	36	Yes	OFFICE OF THE MUNICIPAL MANAGER	N/A			4

No	Name of the Service Provider	Description of the Service Being Provided	Appointment Date / Awardee Date	Tender Amount	Contract Duration (months)	Service Level Agreement Signed (Y / N)	Responsible Department.	Previous Year Performance 2019/20	Assessment of the Service Providers Performance (Performance Score)2020/21		
									Unsatisfactory 1 - 2	Satisfactory 3	Outstanding 4 - 5
		MUNICIPALITY									
15	Urban - econ development economist (pty) ltd	REVIEW OF THE INTERGRATED ECONOMIC DEVELOPMENT STRATEGY/PLAN FOR UMZINYATHI DISTRICT MUNICIPALITY	2017/05/17	R 385 396,00	60	Yes	PLANNING	N/A		3	
16	Eca consulting (pty) ltd	WATER INFRASTRUCTURE AUDIT AND VERIFICATION OF WSDP REVIEW	2018/06/20	R 891 250,00	12	Yes	PLANNING	4		3	
17	Sabalala food & beverages-senzontombela	DEVELOPMENT AGENCY ESTABLISHMENT AND LED TECHNICAL SUPPORT	43431	R8 556 575,00	36	Yes	PLANNING	3		3	
18	Giscom consulting	UMZINYATHI WATER SERVICES DEVELOPMENT PLAN	2019/10/31	R 632 097,50	9	Yes	PLANNING	N/A		3	

No	Name of the Service Provider	Description of the Service Being Provided	Appointment Date / Awarde Date	Tender Amount	Contract Duration (months)	Service Level Agreement Signed (Y / N)	Responsible Department.	Previous Year Performance 2019/20	Assessment of the Service Providers Performance (Performance Score)2020/21		
									Unsatisfactory 1 - 2	Satisfactory 3	Outstanding 4 - 5
		(WSDP)									
19	Tradewind maintenance and supply	SUPPLY AND DELIVERY OF 80 LIGHTNING CONDUCTORS WITH UMZINYATHI DISTRICT MUNICIPALITY	2019/11/04	R 524 400,00	1	Yes	PLANNING	N/A		3	
20	Simplified intelligency solutions	UMZINYATHI MUNICIPALITY HOUSEHOLDS AUDIT AND PROVISION OF OTHER RELATED SERVICES	2019/12/18	R1 690 500,00	4	Yes	PLANNING	N/A		3	
21	Rural metro emergency management services (pty) ltd	TRAINING OF UMZINYATHI DISTRICT MUNICIPALITY FIRE-FIGHTERS ON FIRE FIGHTING COURSE	2019/12/18	R 494 144,50	5	Yes	PLANNING	N/A		3	
22	Vulintaba hotel	Strategic planning session venue	2021/03/02	R 265 580,00	0	Yes	PLANNING	N/A		3	

No	Name of the Service Provider	Description of the Service Being Provided	Appointment Date / Awardee Date	Tender Amount	Contract Duration (months)	Service Level Agreement Signed (Y / N)	Responsible Department.	Previous Year Performance 2019/20	Assessment of the Service Providers Performance (Performance Score)2020/21		
									Unsatisfactory 1 - 2	Satisfactory 3	Outstanding 4 - 5
23	Ziphelele planning	Undertaking of section 78 assessment for uMzinyathi District south landfill site	2020/08/09	R 460 000,00	2	Yes	PLANNING	N/A		3	
24	Wna consulting	NQUTHU WATER SUPPLY - THELEZINI	2020/03/24	R3 657 499,77	-1 444	Yes	TECHNICAL DEPARTMENT	N/A		3	
25	Sdm asset management	Verification of Assets and Compilation of Fixed Asset Register	2020/10/14	R1 023 172,50	2	Yes	TECHNICAL DEPARTMENT	N/A		3	
26	Tradewind maintenance and supply	Supply and Installation of 80 Lightning Conductors for the uMzinyathi District Municipality	2020/11/03	R 578 680,00	0	Yes	TECHNICAL DEPARTMENT	N/A		3	
27	Route 7 trading	DEVELOPMENT OF BOREHOLE FIELDS FOR WATER SUPPLY TO THE PROJECT AREAS OF NGWEBINI, SIKHONKWANE AND OGAZINI IN THE	2020/08/01	R17 890 632,53	6	Yes	TECHNICAL DEPARTMENT	N/A		3	

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									Unsatisfactory 1 - 2	Satisfactory 3	Outstanding 4 - 5
		NQUTHU LOCAL MUNICIPALITY									
28	Mbona saunders & wium civil and structural	MAKHABELENI WATER SCHEME SUPPLY	2009/10/13	R13 988 100,96	11	Yes	TECHNICAL SERVICES	N/A		3	
29	Ibhongo consulting	MBHONO REGIONAL BULK WATER SUPPLY SCHEME	2008/11/20	R1 744 400,00	0	Yes	TECHNICAL SERVICES	3	2		
30	Nhloso development consultants	NTININI WATER SUPPLY PHASE 1A CONSTRUCTION OF BULK WATER PIPELINE 2 CONCRETE RESERVOIRS AND INSTALLATION OF 3 ELECTRICAL BOREHOLE PUMP STATIONS AND ASSOCIATED STRUCTURES	2016/02/22	R7 983 298,32	11	Yes	TECHNICAL SERVICES	3		3	
31	Bvi consulting	DOUGLAS WATER	2008/11/10	R6 604	UNSPECIFIED	Yes	TECHNICAL	3		3	

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									Unsatisfactory 1 - 2	Satisfactory 3	Outstanding 4 - 5
	engineers			120,32	DURATION		SERVICES				
32	Somkhanda plant hire cc	The construction of Keates Drift Water Supply water supply project phase 4C	2016/07/03	R14 265 765,74	16	Yes	TECHNICAL SERVICES	N/A		3	
33	Ibhongo consulting	UMSINGA BULK WATER PHASE 3A PUMPSTATIONS	2010/07/24	R1 771 613,25	UNSPECIFIED DURATION	Yes	TECHNICAL SERVICES	3		3	
34	Ibhongo consulting	UMSINGA BULK WATER PHASE 3B	2010/07/24	R 758 628,30	UNSPECIFIED DURATION	Yes	TECHNICAL SERVICES	3		3	
35	Makhoatse narasimulu & associates (pty) ltd	IMPLEMENTATION OF ACIP FUNDED WASTE WATER TREATMENT PLANTS	2012/01/01	R4 770 166,00	23	Yes	TECHNICAL SERVICES	N/A			5
36	Icon construction (pty) ltd	GREYTOWN BULK WATER SUPPLY-PHASE 2 COMPLETION OF CONSTRUCTION OF 15Km LONG 500/450mm DIAMETER	2016/10/10	R46 000 839,95	7	Yes	TECHNICAL SERVICES	3		3	

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									Unsatisfactory 1 - 2	Satisfactory 3	Outstanding 4 - 5
		RAW WATER STEEL PIPELINE FROM CRAIGEBURN DAM TO GAYWOOD AREA									
37	Ibhongo consulting	UMSINGA BULK WATER PROJECT: SAMPOFU PACKAGE WATER TREATMENT PLANT	NO DATE	R4 479 611,74	UNSPECIFIED DURATION	Yes	TECHNICAL SERVICES	3		3	
38	Somkhanda plant hire cc	THE CONSTRUCTION OF KEATES DRIFT WATER SUPPLY PROJECT PHASE	2016/03/07	R7 699 883,85	6	Yes	TECHNICAL SERVICES	N/A		3	
39	Dlv project managers and engineers (pty) ltd	SITHEMBILE HOUSING BULK WATER SERVICES	2008/08/28	R 909 492,44	UNSPECIFIED DURATION	Yes	TECHNICAL SERVICES	N/A		3	
40	Sikoti investments cc	DOUGLAS WATER SUPPLY SCHEME: construction of 1.6ML reservoir equipping boreholes, rising mains, portable bulk water	2016/02/22	R12 263 266,37	44	Yes	TECHNICAL SERVICES	N/A		3	

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									Unsatisfactory 1 - 2	Satisfactory 3	Outstanding 4 - 5
		pipelines and associated structures									
41	Ssi engineers/royal haskoning dhv	GREYTOWN WATER SUPPLY (PROFESSIONAL SERVICES)	2008/08/24	R53 661 049,07	143	Yes	TECHNICAL SERVICES	3		3	
42	Ibhongo consulting	UMSINGA BULK PIPELINE PHASE 3C (CWAKA-POMEROY BULK PIPELINE)	2016/09/09	R6 628 165,89	18	Yes	TECHNICAL SERVICES	3		3	
43	Rheochem (pty) ltd	SUPPLY AND DELIVERY OF CHEMICALS	2017/11/01	R16 369 684,20	36	Yes	TECHNICAL SERVICES	4		3	
44	Avax sa 181 cc	MSINGA REGIONAL BULK WATER SUPPLY PHASE 3D: CWAKA BULK RETICULATION, RESERVOIR AND PUMP STATION CONSTRUCTION PROJECT	2018/04/21	R28 465 861,66	7	Yes	TECHNICAL SERVICES	3		3	

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									Unsatisfactory 1 - 2	Satisfactory 3	Outstanding 4 - 5
45	Big mac plant & civil (pty) ltd	HIRING OF 6 WATER TANKERS FOR A PERIOD OF 12 MONTHS	2018/10/01	R7 700 000,00	12	Yes	TECHNICAL SERVICES	3		3	
46	Uswazi construction cc	DOUGLAS WATER SUPPLY SCHEME - PHASE 3	2018/08/14	R35 564 728,34	12	Yes	TECHNICAL SERVICES	3		3	
47	Klomac engineering	SUPPLY AND INSTALLATION OF MECHANICAL & ELECTRICAL PUMPING PLANT AND ANCILLARY EQUIPMENT IN CRAIGEBURN RAW WATER PUMP STATION	42142	R17 279 582,40	11	Yes	TECHNICAL SERVICES	3		3	
48	Nhloso development consultants	KEATES DRIFT WATER SUPPLY PROJECT PHASE 3B: CONSTRUCTION OF 2X 1ML CIRCULAR CONCRETE RESERVOIRS AND ASSOCIATED	43514	R13 228 183,00	10	Yes	TECHNICAL SERVICES	3		3	

No	Name of the Service Provider	Description of the Service Being Provided	Appointment Date / Awardee Date	Tender Amount	Contract Duration (months)	Service Level Agreement Signed (Y / N)	Responsible Department.	Previous Year Performance 2019/20	Assessment of the Service Providers Performance (Performance Score)2020/21		
									Unsatisfactory 1 - 2	Satisfactory 3	Outstanding 4 - 5
		CHAMBERS									
49	Vhuyani ncabane trading enterprise	KEATES DRIFT WATER SUPPLY PROJECT PHASE 3C: CONSTRUCTION OF A BOOSTER PUMPSTATION AND APPROXIMATELY 7.1KM OF 250MM DIA RISING MAIN	2019/02/18	R27 947 473,05	10	Yes	TECHNICAL SERVICES	3		3	
50	Mela okuhle trading enterprise	KWAKOPI WATER SUPPLY SCHEME - PHASE 2	2018/11/29	R15 491 965,45	12	Yes	TECHNICAL SERVICES	2		3	
51	Servipro 100 cc	SUPPLY, DELIVERY, INSTALLATION AND COMMISSIONING OF 38 GENERATORS	2018/11/27	R9 187 346,55	1	Yes	TECHNICAL SERVICES	N/A			4
52	Hi-way motors	FUEL FOR RUDIMENTARY SCHEMES	2019/02/04	R2 250 000,00	0	Yes	TECHNICAL SERVICES	4			5

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									Unsatisfactory 1 - 2	Satisfactory 3	Outstanding 4 - 5
53	Fore c electrical cc	HIRING OF GENERATORS RUDIMENTARY SCHEMES FOR	2019/01/01	R7 500 000,00	2	Yes	TECHNICAL SERVICES	4			4
54	Orion lexo trading cc	OPERATIONS AND MAINTAINANCE BOREHOLE REHABILITATION WARD 18	2018/12/01	R15 241 151,34	2	Yes	TECHNICAL SERVICES	4			4
55	Blue seal engineering cc	MECHANICAL SERVICES FOR THE O&M FUNCTION	2019/01/01	R1 050 000,00	3	Yes	TECHNICAL SERVICES	4			4
56	Bvi consulting engineers	KwaKopi water supply - phase 2	2018/11/29	R4 075 189,32	12	Yes	TECHNICAL SERVICES	3		3	
57	Corhaven consulting	IMPLEMENTATION OF WATER SUPPLY PROJECT IN NQUTHU AREA, NTININI WATER SUPPLY SCHEME	2018/12/18	R7 000 000,00	UNSPECIFIED DURATION	Yes	TECHNICAL SERVICES	3		3	

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									Unsatisfactory 1 - 2	Satisfactory 3	Outstanding 4 - 5
58	Ibhongo consulting	PROFFESIONAL SERVICES FOR OTHAME WATER RETICULATION PIPELINE WITH PUBLIC STANDPIPES.	2018/06/12	R4 039 387,07	7	Yes	TECHNICAL SERVICES	3	3		
59	Ibhongo consulting	PROFFESIONAL SERVICES FOR MSINGA REGIONAL BULK WATER SUPPLY PHASE 3D: CWAKA BULK RETICULATION, RESERVOIR AND PUMP STATION CONSTRUCTION PROJECT	2018/04/21	R3 985 220,63	7	Yes	TECHNICAL SERVICES	3	3		
60	Magnacorp/bitline/plz jv	GREYTOWN BULK WATER SUPPLY SCHEME-PHASE 2 CONSTRUCTION OF CIVIL WORKS FOR THE EXTENSION OF GREYTOWN	2018/11/29	R55 694 454,43	12	Yes	TECHNICAL SERVICES	2	3		

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									Unsatisfactory 1 - 2	Satisfactory 3	Outstanding 4 - 5
		WATERWORKS									
61	Lindulwazi project (pty) ltd	WATER TANKERING SERVICES	2019/01/16	R1 750 611,50	1	Yes	TECHNICAL SERVICES	N/A			4
62	Mdg industrial projects (pty) ltd	DEVIATION: SEWER LINE MAINTAINANCE	2019/02/23	R 250 579,50	3	Yes	TECHNICAL SERVICES	N/A			4
63	Makheleni construction cc	MAKHABELENI WATER SUPPLY SCHEME PHASE 6: ZONE E-F CONSTRUCTION OF RURAL DOMESTIC RETICULATION NETWORK AND RISING MAIN	2019/04/11	R26 000 000,00	11	Yes	TECHNICAL SERVICES	4		3	
64	Asiziqalele contractors	TLB - EXCAVATOR SERVICES	2019/01/01	R 210 000,00	3	Yes	TECHNICAL SERVICES	3		3	
65	Vukani consulting	WATER TREATMENT PLANT MAINTAINANCE WORK	2019/05/10	R 521 291,55	2	Yes	TECHNICAL SERVICES	3		3	

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									Unsatisfactory 1 - 2	Satisfactory 3	Outstanding 4 - 5
66	Smd auto	PURCHASE OF WATER TANKERS		R39 698 000,00	0	Yes	TECHNICAL SERVICES	4			4
67	Ibutho projects jv asiziqalele	Nquthu emergency borehole water supply within ntinini area, Construction of bulk and reticulation pipelines, reservoirs and pumpstations	2019/09/12	R33 731 178,38	11	Yes	TECHNICAL SERVICES	3		3	
68	Avax sa 181 cc	Msinga bulk water supply Phase 4A: Construction of NYANDU - SIKHALENI Reticulation Network	2019/07/25	R22 788 748,57	3	Yes	TECHNICAL SERVICES	3		3	
69	Paradox young and associates	DESIGN, SUPERVISE, PROJECT MANAGEMENT AND QUALITY CONTROL IN CAPITAL PROJECT-NTININI WATER PROJECT GEOHYDROLOGICAL		R8 224 570,72	0	Yes	TECHNICAL SERVICES	3		3	

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									Unsatisfactory 1 - 2	Satisfactory 3	Outstanding 4 - 5
		SURVEY DRILLING AND TESTING									
70	Madudla contractors	CWAKA WATER SUPPLY PHASE 2: Msinga regional bulk water supply phase 4A	2019/07/25	R13 541 671,94	12	Yes	TECHNICAL SERVICES	3		3	
71	Df majola construction	Operations and maintenance: Hiring of plant and equipment (Panel)	2019/04/23	R1 123 471,80	36	Yes	TECHNICAL SERVICES	3		3	
72	Unity construction	Ophindo, Sibongile, Chibide (WHITE CITY) Savuye QWABE (SDAKENI) Water supply scheme: Construction of 100m3 Reservoir, pumpstation including associated mechanical and electrical works	2019/07/25	R14 800 500,00	6	Yes	TECHNICAL SERVICES	N/A		3	

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									Unsatisfactory 1 - 2	Satisfactory 3	Outstanding 4 - 5
73	Ibhongo consulting	PROFFESIONAL SERVICES FOR Msinga regional bulk water supply phase 4A	2019/07/25	R1 895 834,07	12	Yes	TECHNICAL SERVICES	3		3	
74	Gr solutions	O&M: MECHANICAL MECHANICS	2019/01/01	R 270 000,00	3	Yes	TECHNICAL SERVICES	N/A			4
75	Pelepele investments	Operations and maintenance: Hiring of plant and equipment (Panel)	2019/04/23	R 287 500,00	36	Yes	TECHNICAL SERVICES	N/A		3	
76	Fore c electrical cc jv Mohammed's hardware	TECHNICAL SUPPORT SERVICES OPERATIONS AND MAINTAINANCE	2019/09/16	R8 740 000,00	3	Yes	TECHNICAL SERVICES	4			4
77	Brand partners	DEVELOPMENT & IMPLEMENTATION OF A COMMUNICATION STRATEGY FOR UMZINYATHI DISTRICT MUNICIPALITY FOR THE PERIOD OF 1 YEAR	2019/11/01	R1 000 000,00	12	Yes	TECHNICAL SERVICES	4			4

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									Unsatisfactory 1 - 2	Satisfactory 3	Outstanding 4 - 5
78	Oxior civil enterprise jv katsila	KWAKOPI WATER SUPPLY SCHEME-PHASE 3	2019/11/11	R32 114 074,30	12	Yes	TECHNICAL SERVICES	3		3	
79	Muravha building	MTHEMBU WEST EXTENSION WATER SUPPLY SCHEME BULK SUPPLY TO ZONE D		R8 100 326,30	0	Yes	TECHNICAL SERVICES	N/A		3	
80	Kfc engineering & industrial supplies	SUPPLY AND DELIVERY OF BULK AND DOMESTIC WATER METERS MATERIAL FOR THE UMZINYATHI DISTRICT MUNICIPALITY	2019/11/07	R 428 173,75	1	Yes	TECHNICAL SERVICES	N/A		3	
81	Bosch projects	COMPILATION OF OPERATIONS AND MAINTENANCE PLAN FOR WATER, WASTEWATER TREATMENT FACILITIES, PUMPS STATIONS, BOREHOLE SCHEME	2019/10/31	R2 000 000,00	1	Yes	TECHNICAL SERVICES	N/A	2		

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									Unsatisfactory 1 - 2	Satisfactory 3	Outstanding 4 - 5
		AND NETWORK.									
82	Sinethemba construction	NTININI-VUMANKALA BOREHOLE WATER SUPPLY PROJECT: BOREHOLES & RETICULATION	2019/12/18	R34 790 000,00	9	Yes	TECHNICAL SERVICES	3		3	
83	Velekuhle general trading	SEVEN WATER SUPPLY SCHEME - EXCELSIOR	2020/01/07	R25 835 112,00	12	Yes	TECHNICAL SERVICES	3		3	
84	Makhaotse narisimuli & associates	CONSULTANT: SEVEN WATER SUPPLY SCHEME - EXCELSIOR	2020/01/07	R3 616 915,68	12	Yes	TECHNICAL SERVICES	N/A		3	
85	Civtech engineers (pty) ltd	CONSULTANT: Upgrade of CWAKA WATER SUPPLY: CONSTRUCTION OF BULK WATER PIPELINE	2020/02/07	R4 980 985,23	0	Yes	TECHNICAL SERVICES	N/A		3	
86	Orion Lexo Trading cc	Payment of Wages	2020/05/08	R1 650 000,00	3	Yes	TECHNICAL SERVICES	4			4

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									Unsatisfactory 1 - 2	Satisfactory 3	Outstanding 4 - 5
87	Fore c electrical	Electrical Work, Renting of Generators	2020/05/08	R5 400 000,00	3	Yes	TECHNICAL SERVICES	4			4
88	Mbulasi Trading cc	Generator Repairs and Maintenance	2020/05/08	R1 620 000,00	3	Yes	TECHNICAL SERVICES	4		3	
89	Mdg industrial projects	Maintenance of Network and Special Works	2020/05/08	R1 260 000,00	3	Yes	TECHNICAL SERVICES	4		3	
90	Gr solutions	Mechanotechnology - Pumps and Dosing Systems in Plants	2020/05/08	R1 050 000,00	3	Yes	TECHNICAL SERVICES	N/A			4
91	Hi way motors	Supply of Fuel for Rudimentary Schemes	2020/05/08	R2 400 000,00	3	Yes	TECHNICAL SERVICES	4			5
92	RF Chiazzari cc/ New generation Lubricants	Supply of Fuel for Rudimentary Schemes	2020/05/08	R2 400 000,00	3	Yes	TECHNICAL SERVICES	4			5
93	Ganton Properties (Pty) Ltd t/a Khonzinkosi Filling	Supply of Fuel for Water Tankers & Cars	2020/05/08	R 900 000,00	3	Yes	TECHNICAL SERVICES	3			5

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									Unsatisfactory 1 - 2	Satisfactory 3	Outstanding 4 - 5
	Station										
94	Orion Lexo Trading cc	Makhabeleni Water Network Maintenance	2020/05/08	R1 650 000,00	3	Yes	TECHNICAL SERVICES	4			4
95	Magnavolt trading 487	Meter Replacement	2020/05/08	R1 440 000,00	3	Yes	TECHNICAL SERVICES	4		3	
96	Okuhlenathi (pty) ltd	Industrial and Engineering Services - Sludge Management	2020/05/08	R 960 000,00	3	Yes	TECHNICAL SERVICES	4		3	
97	Blue Seal Engineering cc	Mechanical Work, Electrical and Pumps	2020/05/08	R1 050 000,00	3	Yes	TECHNICAL SERVICES	4			4
98	Funokuhle construction	Provision for Mechanical Works	2020/05/08	R 780 000,00	3	Yes	TECHNICAL SERVICES	N/A			4
100	Avis vehicle hire	Vehicle Hire	2020/05/08	R1 800 000,00	3	Yes	TECHNICAL SERVICES	N/A		3	
101	Gijima km security services	Security Services - Plants and Schemes	2020/05/08	R3 360 000,00	3	Yes	TECHNICAL SERVICES	4			4
102	Orion Lexo Trading	GREYTOWN MASSIFICATION		R2 800	0	Yes	TECHNICAL	4		3	

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									Unsatisfactory 1 - 2	Satisfactory 3	Outstanding 4 - 5
	cc	PROJECT		000,00			SERVICES				
103	Jg afrika (pty) ltd	Provision of Engineering consulting services for the planning, design, tender and construction monitoring of GUNJANA PHASE 2	2020/03/17	R4 045 804,23	7	Yes	TECHNICAL SERVICES	N/A		3	
104	Khonza ngaye	FITTINGS AND CLEANING MATERIALS		R 608 000,00	0	Yes	TECHNICAL SERVICES			3	
105	Makhaotse narisimuli & associates	CONSULTANT: NKUBUNGWINI AREA	2020/03/17	R1 113 718,88	7	Yes	TECHNICAL SERVICES	N/A		3	
106	Mariswe consulting (pty) ltd	TAYSIDE ABSTRACTION WORKS	2020/03/17	R1 015 543,63	7	Yes	TECHNICAL SERVICES	N/A		3	
107	Okuhlenathi (pty) ltd	SUPPLY AND DELIVERY OF VIP TOILET MATERIALS	2020/06/22	R5 480 000,00	1	Yes	TECHNICAL SERVICES	4		3	

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									Unsatisfactory 1 - 2	Satisfactory 3	Outstanding 4 - 5
108	Orion lexo trading cc	Msinga emergency water supply mitigation against COVID-19 Virus	2020/04/16	R9 033 002,00	3	Yes	TECHNICAL SERVICES	4		3	
109	Orion lexo trading cc	Operations and maintenance function	2020/04/16	R40 156 533,46	3	Yes	TECHNICAL SERVICES	4			4
110	Bvi consulting engineers	KwaKopi water supply - phase 3	2019/11/11	R4 495 970,40	12	Yes	TECHNICAL SERVICES	3		3	
111	Manobath	O&M DEVIATION: JETTING SERVICES	2020/12/22	R 233 750,00	0	Yes	TECHNICAL SERVICES	N/A		3	
112	Mavro projects	O&M DEVIATION: INSTALLATION OF NEW AERATOR	2020/08/07	R1 800 000,00	2	Yes	TECHNICAL SERVICES	N/A	2		
113	Vjsr trading	RENOVATION OF 5A & 5B UMZINYATHI HOUSES IN UNION STREET, DUNDEE	2020/06/10	R1 125 940,50	4	Yes	TECHNICAL SERVICES	N/A		3	
114	Melokuhle	CONSULTANT: MSINGA		R1 664 305,55	0	Yes	TECHNICAL	N/A		3	

No	Name of the Service Provider	Description of the Service Being Provided	Appointment Date / Awarde Date	Tender Amount	Contract Duration (months)	Service Level Agreement Signed (Y / N)	Responsible Department.	Previous Year Performance 2019/20	Assessment of the Service Providers Performance (Performance Score)2020/21		
									Unsatisfactory 1 - 2	Satisfactory 3	Outstanding 4 - 5
	management	DUMBE WATER SUPPLY					SERVICES				
115	Gijima km transport	SUPPLY, INSTALLATION OF ABOVE GROUND DUAL FUEL STATIC TANK INCLUDING MAINTENANCE AND FUELLING	2021/03/02	R24 853 056,00	12	Yes	TECHNICAL SERVICES	4			4
116	Velekuhle general trading	NKOBONGWENI WATER SUPPLY - MSINGA AREA	2021/06/08	R22 745 243,72	12	Yes	TECHNICAL SERVICES	3		3	
117	Big mac plant & civil (pty) ltd	CONSTRUCTION OF VIP TOILETS: MSINGA AREA	2021/06/08	R21 427 202,50	12	Yes	TECHNICAL SERVICES	3		3	
118	Makheleni construction cc	BUFFALO RIVER ABSTARACTION SCHEME AND UPGRADE PHASE 2 - ENDUMENI LM TAYSIDE HIGHLIFT PUMP STATION UPGRADE AND RISING MAIN REFURBISHMENT	2021/03/11	R34 498 520,30	9	Yes	TECHNICAL SERVICES	4		3	

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									Unsatisfactory 1 - 2	Satisfactory 3	Outstanding 4 - 5
119	Royal haskoningdhv	CONSULTANT: BIGGARDSBERG WATER SUPPLY	2021/03/17	R4 000 000,00	-1 456	Yes	TECHNICAL SERVICES	2		3	
120	Unatho cc	CONSTRUCTION OF GUNJANA WATER SUPPLY SCHEME ZONE 5B	2020/12/15	R17 987 596,75	12	Yes	TECHNICAL SERVICES	N/A		3	
121	Goba (pty) ltd	ETHEMBENI/KEATESDRIFT SUB REGIONAL WSP	2012/07/10	R22 982 544,28	UNSPECIFIED DURATION	Yes	WATER SERVICES	3		3	
122	Kfc engineering & supplies	SUPPLY AND DELIVERY OF WATER METER AND RESTRICTOR WASHER	2018/09/26	R 267 375,00	1	Yes	WATER SERVICES	N/A		3	

Chapter 12: Audited Annual Financial Statements

TO BE UPDATED DURING THE SUBMISION OF THE FINAL DRAFT AR.

CHAPTER 13: CONCLUSION

During 2020/21 financial year, the municipality managed to deliver on its developmental mandate of improving the quality of life and providing quality service to its community, in spite of operating in an environment with challenges with the major one being drought as dams and boreholes went dry which were meant to provide water to the communities, and the municipality had to resort in providing water through water tankers, and also taking into account the financial constraints and escalating service delivery costs. This was done regardless of the international pandemic that hit the world at large and threatened the implementation and achievement of planned projects and programmes.

A number of activities were put on hold in adherence to the set regulations that were promulgated to combat the spread of the virus and decrease the incline in the number of confirmed COVID 19 cases.

Furthermore, the municipality operated with a slow start with regards to implementing water and sanitation projects however as the champions of services delivery, the municipality continued to provide service delivery expectations to the community of uMzinyathi with the assistance of KZN Cogta officials. Continued support in the 2021/22 financial year is urged from other spheres of government to enable the municipality to provide basic needs as mantaed by the Constitution.

Great appreciation goes to the Council of Umzinyathi District Municipality, Amakhosi, members of the Executive Committee, the Portfolio Committees of Council, Management, the Municipal Public Accounts Committee, and the Audit Committee for guidance and the support given to Management and Staff of Umzinyathi District Municipality during the 2020/2021 period.

The Council, Amakhosi, Management and Members of staff wishes to thank the community in trusting the municipality in delivering to them their basic needs and passes its

condolences to all citizens that lost loved ones due to COVID 19 virus. They also wish a speedy recovery to those that are currently infected and urges all citizens within the district to follow the COVID 19 regulations and combat the fight against the invisible enemy.

THUTHUKA MZINYA